



Special Executive Report

S-5927

September 06, 2011

WEEKLY POSTING MEMBERSHIP/SHARE SALES AND TRANSFERS

Members having any information regarding the transferees listed below are requested to communicate the same to the Shareholder Relations and Membership Services Department ("the Department") on or before **September 26, 2011**.

In accordance with CME Rule 110, "At the conclusion of the 20 day claim filing period, the Market Regulation Department and the Department shall conduct an investigation of all claims properly filed against the seller's membership or its proceeds."

All claims against the seller of a membership shall be submitted in writing to the Department on or before **September 26, 2011**.

<u>TRANSFEEE</u>	<u>DIVISION</u>	<u>TRANSFEROR</u>	<u>RULE/AMOUNT</u>	<u>DATE</u>
JINWOO KIM	AM	@ M&N TRADING, LLC	106D Transfer	8/29/2011
ALLEN G BARBARICH	AM	FRANK L. CULIN	\$150,000	8/29/2011
EDWARD A. PRYSTALSKI	FULL	@ JACK DREXLER	106D Transfer	8/29/2011
RUTGER H. BRINKHUIS	AM	TERRENCE E. MORRIS	106D Transfer	8/29/2011
TERRENCE E. MORRIS	AM	@ WILLEM VAN MALENSTEIN	106D Reinstatement	8/29/2011
GEORGE MAKRIS	COM	@ BYRON D. VASILIOU	106D Transfer	8/29/2011
J. BRIAN SCHAER	COM	@ DOUGLAS R. PEKNY	106D Reinstatement	8/29/2011
ALEC M. DISANTO II	FULL	NICHOLAS JOHN KUSHUBA	106D Reinstatement	8/29/2011
WALDOCK INVESTMENT COMPANY	FULL	@ DUSTIN M. RUSAKIEWICZ	106D Reinstatement	8/29/2011
JASON P. WEESE	FULL	@ WALDOCK INVESTMENT COMPANY	106D Transfer	8/29/2011
BYRON D. VASILIOU	COM	ERIC L. WINIECKE	106D Reinstatement	8/30/2011
TIMOTHY G. MACNAMARA	FULL	ALEC DISANTO REVOCABLE TRUST, ALEC M. DISANTO II	106D Transfer	8/30/2011
RICHARD A. KING	FULL	ANDREW D. LITTLE	106D Reinstatement	8/30/2011
NATHAN V. VARUGHESE	FULL	@ RICHARD A. KING	106D Transfer	8/30/2011
MARY J. O'CONNOR	FULL	JACOB J. SIDOTI	106D Reinstatement	8/31/2011
CHAD R. GRAMANN	COM	@ KATHRYN LEONARD OTTER	106D Transfer	8/31/2011
DONALD W. SCHECK	COM	@ JENNIFER L. LUHM	106D Reinstatement	8/31/2011
JACOB J. SIDOTI	FULL	JOAN C. BOYLE TRUST U/A/D 1-16-02, JOAN BOYLE	106D Transfer	8/31/2011

TRANSFEEE	DIVISION	TRANSFEROR	RULE/AMOUNT	DATE
THOMAS M. GUARNIERI SR	FULL	PATRICK F. GIRONDI	106D Reinstatement	8/31/2011
MICHAEL F TAYLOR	AM	@ W.H. TRADING L.L.C.	106F Transfer	8/31/2011
JOSEPH N. GULOTTA	AM	@ EDWIN JOHNSON	106D Reinstatement	8/31/2011
DANIEL L. COOK	AM	@ JOSEPH N. GULOTTA	106D Transfer	8/31/2011
DENNIS M. O'SHAUGHNESSY	FULL	NORMAN J. SHANKER	106D Reinstatement	8/31/2011
T. GRAHAM ALEXA	FULL	@ DENNIS M. O'SHAUGHNESSY	106D Transfer	8/31/2011
PATRICK F. GIRONDI	FULL	@ WILLIAM P. SULLIVAN	106D Transfer	8/31/2011
JAMES S. BOLANOWSKI	COM	CHRISTOPHER M. BOBOWSKI	106D Reinstatement	8/31/2011
ANDREW L. SERUYA	FULL	MARC H. SERUYA REVOCABLE TRUST U/A/D 9/7/1988 AMENDED 5/5/10, MARC H. SERUYA	106C Transfer	9/1/2011
THOMAS M. HARRINGTON JR.	FULL	@ KAREN GARIBOTTI WARD	106D Transfer	9/1/2011
MARK ISHIKAWA	COM	MATTHEW J LOPPATTO	106D Reinstatement	9/1/2011
JOHN NELS SUNDERLIN	IDEM	@ HAROLD W. LAVENDER JR.	106D Reinstatement	9/1/2011
JONG H. KIM	AM	@ MICHAEL A. WILLIAMS	106D Transfer	9/1/2011
CHRISTOPHER J. VOSS	FULL	THOMAS HAFNER	106D Transfer	9/1/2011
MARC H. SERUYA	FULL	MARC H. SERUYA REVOCABLE TRUST U/A/D 9/7/1988 AMENDED 5/5/10, MARC H. SERUYA	106K Transfer	9/1/2011
PENSON FINANCIAL SERVICES, INC	FULL	PENSON FUTURES	\$325,000 (match bid/offer)	9/1/2011
BRETT J. BLASBERG	FULL	TIMOTHY D. SMITH	106D Transfer	9/1/2011
MARC H. SERUYA	FULL	ANDREW L. SERUYA	106C Reinstatement	9/1/2011
MICHAEL P. NEAGLE	COM	MARK ISHIKAWA	106D Transfer	9/1/2011
DAVID GLANCY	COM	@ NILAY A PARIKH	106D Reinstatement	9/2/2011
LOUIS GERARD MORANDE	GIM	@ DENNIS R. MILLER	106D Transfer	9/2/2011
DENNIS R. MILLER	GIM	@ JEFFREY DAVIDSON	106D Reinstatement	9/2/2011

* = New Applicant

** = Shareholder

= New Applicant Previously Posted

@ = Only CBOT membership privilege to date for this person

NEW APPLICANTS FOR MEMBERSHIP

The applicants listed below are applying for membership and will be transferred membership at a later date. Any member having any information regarding these applicants is requested to communicate the same to the Shareholder Relations and Membership Services Department on or before **September 26, 2011**. Photographs of new applicants will be displayed on the bulletin boards located on the East side of the upper and lower trading floors.

<u>APPLICANT NAME</u>	<u>DIVISION</u>
DARRYL A. BEHM LAGRANGE, IL 60525	* FULL
MATTHEW J. GARBER GLENVIEW, IL 60026	* FULL
RUIRONG HUO CHICAGO, IL 60611	* FULL
JONG H. KIM CHICAGO, IL 60654	* AM
RAVIT E. MANDELL NEW YORK, NY 10179	AM
MILAN MEDAKOVIC SCHERERVILLE, IN 46375	* IDEM
WILLIAM P. O'CONNOR CHICAGO, IL 60604	* AM
LYNDA POGOFSKY HIGHLAND PARK, IL 60035	FULL

* = Delegate Applicant
** = Revocable Family Transfer Applicant

TRADING MEMBER FIRM WITHDRAWALS

Headlands Technologies has withdrawn as a CBOT Rule H. Trading Member Firm – Full Division, effective September 6, 2011.

Jeffries and Company Inc. has withdrawn as a CBOT Rule 106.H. Trading Member Firm – AM Division, effective September 2, 2011.

EQUITY MEMBER FIRM APPROVAL

Headlands Technologies LLC has been approved as a CBOT Rule J. Equity Member Firm – Full Division, effective September 6, 2011.

EQUITY MEMBER FIRM WITHDRAWAL

Automat Limited has withdrawn as a CBOT Rule 106.J. Equity Member Firm – AM Division, effective August 30, 2011.

CLEARING MEMBER APPROVALS

Phillip Futures Inc. has been approved as a CBOT clearing member, effective August 31, 2011.

CLEARING MEMBER REPLACEMENT

Penson Financial Services, Inc. has been approved as a CBOT clearing member, effective August 31, 2011. Penson Financial Services, Inc. has replaced Penson Futures.

Penson Futures has withdrawn as a CBOT clearing member. All Exchange related claims must be filed with the Exchange on or before November 4, 2011. The following memberships owned by Penson Futures were acquired by Penson Financial Services, Inc. in connection with the replacement:

<u>TRANSFeree</u>	<u>DIVISION</u>	<u>TRANSFEROR</u>	<u>DATE</u>
Penson Financial Services, Inc	FULL	Penson Futures	9/1/2011

CHICAGO BOARD OF TRADE

Weekly Bulletin

(As of September 02, 2011)

Last Sales		
B-1 (Full) Membership	\$325,000	August 26, 2011
B-2 (Associate) Membership	\$150,000	August 29, 2011
B-3 (GIM) Membership	\$60,000	June 16, 2011
B-4 (IDEM) Membership	\$10,000	August 25, 2011
B-5 (COM) Membership	\$36,500	August 26, 2011