



## Special Executive Report

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**DATE:** December 22, 2017  
**SER#:** 8068  
**SUBJECT:** CME Group Exchanges – PRIIPs Rules Implementation; PRIIPs/KIDs on CME Group Website Now Available

As noted in [SER 8040](#), dated December 15, 2017, Chicago Mercantile Exchange Inc. (“CME”), The Board of Trade of the City of Chicago, Inc. (“CBOT”), New York Mercantile Exchange, Inc. (“NYMEX”), and Commodity Exchange, Inc. (“COMEX”) (collectively, the “Exchanges” or the “CME Group Exchanges”) provided notice regarding the adoption of new Rule 570. (“Restriction on Sale of Exchange Products in the EU to EU Retail Investors Under PRIIPs”) and amendments to Rule 960. (“Omnibus and Carrying Brokers Accounts”) (collectively, the “Rule Amendments”) to comply with European Union (“EU”) regulation on Packaged Retail and Insurance-Based Investment Products (“PRIIPs”). The Rule Amendments will be implemented on January 1, 2018.

Pursuant to the Rule Amendments, the CME Group Exchanges will require those who offer or sell in-scope products to EU retail clientele to provide the KIDs from the CME Group website. Pursuant to our rules, members of the CME Group Exchanges will be required to provide a KID prior to offering, selling or otherwise arranging a transaction for a CME Group product to an EU retail customer. Further, for clearing member firms that carry omnibus accounts, such firms may only carry omnibus accounts for entities that received a notice (i) notifying the omnibus account of the EU Retail Customer restrictions contained in the rules and (ii) requiring it to send a similar notice to its omnibus customers.

As noted in [SER 8040](#), the Exchanges created a suite of Key Information Documents (KIDs) which cover certain products. KIDs will be made available in the following languages: English, French, Dutch, Italian, Spanish and German and will be made available on a rolling basis.

**The initial slate of KIDs is now available, free of charge, on CME Group’s website, at the following link: [www.cmegroup.com/priipskids](http://www.cmegroup.com/priipskids).**

### General queries

Please address any queries not covered by the content of this notice to [EURegulation@cmegroup.com](mailto:EURegulation@cmegroup.com)