

TO: Clearing Member Firms
Back Office Managers

FROM: CME Clearing

DATE: December 27, 2016

ADVISORY #: 16-546

SUBJECT: Manual Submission Instructions for Amendments to Swap Data
Recordkeeping and Reporting

On December 19, 2016, the Commodity Futures Trading Commission (the “Commission”) issued limited no-action relief for reporting obligations for certain cleared swaps under Part 45 of the Commission’s Regulations. The no-action relief is subject to certain conditions. One such condition is that reporting entities, when submitting swaps for clearing, submit the unique swap identifier (“USI”) of the original swap and the legal entity identifier (“LEI”) of the Swap Data Repository (“SDR”) to which the original swap was reported.

CME Clearing’s inbound clearing message allows for the submission of the LEI of the SDR to which the original swap was reported and the USI of the original swap. [Advisory 14-430](#) sets forth the how this information should be provided when submitting via the Clearport API.

In order to ensure that you are able to meet your regulatory obligations, CME has also established a manual method of submitting the required information, as an interim measure, while reporting entities modify their inbound clearing submissions.

Effective today, December 27, 2016, please provide the following required information (in csv format), if unable to submit via Clearport API, to our Repository Support Team at repositorysupport@cmegroup.com. This will be a manual method of submitting the required information, as an interim measure, while reporting entities modify their inbound clearing submissions.

1. USI of the cleared swap
2. LEI of the non-reporting counterparty
3. LEI of the reporting counterparty
4. USI of the original swap
5. LEI of the SDR to which the original swap was reported

If you have any questions or require any further information, please contact repositorysupport@cmegroup.com.