## **CFTC Rule Filings**

Date of Filing	Entity	Description	Filing Type	CFTC Filing
12/31/14	CME/CBOT/NYMEX/ COMEX	Revisions to Rule 814 ("Settlement Variation")	DCO/DCM	14-585 (1) 14-585 (2) 14-585 (3) 14-585 (4)
12/30/14	CME	Request for eligibility to clear Swaptions	DCO	<u>14-328</u>
12/23/14	CME/CBOT/NYMEX/ COMEX	REVISED: Issuance of CME Group Market Regulation Advisory Notice RA1411-5 concerning each Exchange's Rule 534 ("Wash Trades Prohibited")	DCM	14-476R (1) 14-476R (2) 14-476R (3) 14-476R (4)
12/23/14	CME/CBOT/NYMEX/ COMEX	REVISED: Modifications to the International Incentive Program	DCM	14-551R (1) 14-551R (2) 14-551R (3) 14-551R (4)
12/23/14	CME/CBOT/NYMEX/ COMEX	REVISED: Modifications to the International Cross- Asset Volume Incentive Program	DCM	14-549R (1) 14-549R (2) 14-549R (3) 14-549R (4)
12/23/14	CME Clearing	Rule amendments made effective during the week of December 15, 2014	DCO	<u>14-584</u>
12/22/14	COMEX	Notification of product terms and conditions amendments made effective during the week of December 15, 2014	DCM	<u>14-583</u> EXHIBIT B
12/22/14	NYMEX	Notification of product terms and conditions amendments made effective during the week of December 15, 2014	DCM	<u>14-582</u>
12/22/14	СВОТ	Notification of product terms and conditions amendments made effective during the week of December 15, 2014.	DCM	<u>14-581</u>
12/22/14	СМЕ	Amendments to the ECM-H Out of Division fees for certain CME futures and options products	DCM	<u>14-580</u>
12/22/14	NYMEX	DELISTING: Contract months beyond December 2016 for the Capesize Timecharter Average (Baltic) Futures contract	DCM	<u>14-518</u>
12/22/14	NYMEX	DELISTING: Thirty-four (34) futures and option contracts	DCM	<u>14-506</u> <u>APPENDIX B</u>
12/22/14	NYMEX	DELISTING: Contract months for twenty-four (24) electricity futures and option contracts	DCM	<u>14-387</u>
12/19/14	CME Clearing	Notification of rule amendments made effective during the week of December 8, 2014.	DCO	<u>14-579</u>
12/19/14	СМЕ	REVISED: Indian Rupee FX Futures Market Maker Program	DCM	<u>14-560R</u>
12/19/14	СМЕ	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-560RS
12/18/14	СМЕ	Revisions to CME Rules 10202.D. ("Daily Price Limits") and 10102.D. ("Daily Price Limits") concerning daily price limits in Feeder Cattle and Live Cattle futures contracts	DCM	<u>14-575</u>
12/18/14	СВОТ	Notification of product terms and conditions amendments made effective during the week of December 8, 2014	DCM	<u>14-577</u>
12/18/14	СВОТ	Notification of rule amendments made effective during the week of December 8, 2014.	DCM	<u>14-578</u>

12/18/14	CME	Amendments to CME By-law Section 8.7 ("Transparency of Governance Arrangements") effective on Tuesday, January 6, 2015	DCM	<u>14-574</u> <u>APPENDIX A</u>
12/17/14	CME	Notice of Emergency Action pertaining to modification of Feeder and Live Cattle Futures price limits	DCM	14-573
12/17/14	NYMEX	Migration of open interest and subsequent delisting of certain Low Sulphur Gasoil futures contracts	DCM	<u>14-484</u> <u>APPENDIX A</u>
12/17/14	NYMEX	Administrative amendments to 39 Gasoil-related futures and options contracts	DCM	<u>14-503</u> <u>APPENDIX B</u>
12/17/14	NYMEX	Modifications to fee schedule for certain European Emissions futures and options products	DCM	<u>14-557</u>
12/16/14	CME/CBOT/NYMEX/ COMEX	Modifications to New Trader Incentive Program	DCM	14-567 (1) 14-567 (2) 14-567 (3)
12/16/14	NYMEX	Modifications to Natural Gas Financial Products Market Maker Program	DCM	<u>14-572</u>
12/16/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-572S
12/16/14	COMEX	Implementation of Aluminum Futures Volume Pool Incentive Program	DCM	<u>14-566</u>
12/16/14	COMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-566S
12/16/14	COMEX	Modifications to Aluminum Futures Volume Incentive Program	DCM	<u>14-446</u>
12/16/14	COMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-446S
12/16/14	COMEX	Modifications to Aluminum Futures Market Maker Program	DCM	<u>14-445</u>
12/16/14	COMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-445S
12/16/14	NYMEX/COMEX	Modifications to Core Metals Products Single-Tiered Fee Rebate for High Volume Liquidity Providers	DCM	14-535 (1) 14-535 (2)
12/16/14	NYMEX/COMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-535S (1) 14-535S (2)
12/16/14	СМЕ	Amendments to Rule 807 ("Open Long Positions During Delivery Month")	DCM	<u>14-534</u>
12/16/14	СМЕ	Implementation of CME Electronic Incentive Program	DCM	<u>14-552</u>
12/16/14	СВОТ	Self-certification of CBOT Financial and Regulatory Bulletin #15-01	DCM	<u>14-556</u>
12/16/14	CME/CBOT/NYMEX/ COMEX	Self-certification of Financial and Regulatory Bulletin # 15-02	DCM	14-511 (1) 14-511 (2) 14-511 (3) 14-511 (4)
12/16/14	NYMEX	Modifications to the NN Fee Waiver Program	DCM	<u>14-571</u>
12/16/14	NYMEX	Modifications to the NYMEX Natural Gas Basis Market Maker Program	DCM	<u>14-568</u>
12/16/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-568S

12/16/14	NYMEX	Modifications to the NYMEX Natural Gas Basis ClearPort Fee Discount Program	DCM	<u>14-569</u>
12/16/14	NYMEX	Extension of the NYMEX Natural Gas Basis Fee Waiver Program	DCM	<u>14-570</u>
12/15/14	СВОТ	Modifications to the CBOT ETH Agricultural Trading Incentive Program	DCM	<u>14-558</u>
12/15/14	СВОТ	Modifications to the CBOT Agricultural Back Month Incentive Program	DCM	<u>14-559</u>
12/15/14	СВОТ	Modifications to the Grain and Oilseed Futures Volume Incentive Program	DCM	<u>14-509</u>
12/12/14	COMEX	Notification of product terms and conditions amendments made effective during the week of December 1, 2014	DCM	<u>14-565</u> <u>EXHIBIT B</u>
12/12/14	СВОТ	Notification of product terms and conditions amendments made effective during the week of December 1, 2014	DCM	<u>14-564</u>
12/12/14	СМЕ	Notification of product terms and conditions amendments made effective during the week of December 1, 2014.	DCM	<u>14-563</u>
12/12/14	NYMEX	Notification of product terms and conditions amendments made effective during the week of December 1, 2014.	DCM	<u>14-562</u>
12/12/14	CME/CBOT/NYMEX/ COMEX	Modifications to Asia-Pacific Incentive Program	DCM	14-555 (1) 14-555 (2) 14-555 (3) 14-555 (4)
12/12/14	CME/CBOT/NYMEX/ COMEX	Modifications to Retail Broker Incentive Program	DCM	14-553 (1) 14-553 (2) 14-553 (3) 14-553 (4)
12/12/14	CME CLEARING	Notification of rule amendments made effective during the week of December 1, 2014	DCO	<u>14-561</u>
12/12/14	СМЕ	Modifications to CME Individual Member Equity Index Maxi-Mini Incentive Program	DCM	<u>14-547</u>
12/11/14	NYMEX	Modifications to Energy Options RFQ Incentive Program	DCM	<u>14-554</u>
12/11/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-554\$
12/11/14	СВОТ	Modifications to CBOT Non-Member Fee Discount Program for E-mini Dow Futures	DCM	<u>14-546</u>
12/11/14	СМЕ	Modifications to CME FX Futures Cross Currency Liquidity Market Making Program	DCM	<u>14-541</u>
12/11/14	CME	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-541\$
12/11/14	NYMEX	Amendments to Floating Price Rule for two (2) Brent Crude Oil CFD futures contracts	DCM	<u>14-466</u>
12/11/14	CME/CBOT	Modifications to CME/CBOT Asset Manager Fixed Income Incentive Program	DCM	14-481 (1) 14-481 (2)
12/11/14	CME/CBOT	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-541S (1) 14-541S (2)
12/11/14	CME/CBOT/NYMEX/ COMEX	Implementation of Latin America Proprietary Trading Incentive Program	DCM	14-543 (1) 14-543 (2) 14-543 (3) 14-543 (4)

12/11/14	СМЕ	Modifications to E-mini Nikkei 225 Yen- Denominated Futures Market Maker Program	DCM	<u>14-536</u>
12/11/14	СМЕ	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-536S
12/11/14	СМЕ	Modifications to Nikkei 225 Futures Volume Incentive Program	DCM	<u>14-548</u>
12/11/14	СМЕ	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-548\$
12/11/14	CME	Modifications to CME E-Micro FX Market Maker Program	DCM	<u>14-537</u>
12/11/14	СМЕ	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-537\$
12/11/14	NYMEX	Modifications to NYMEX Natural Gas Options Gateway Incentive Program	DCM	<u>14-545</u>
12/11/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-545\$
12/11/14	NYMEX	Modifications to NYMEX Crude and Refined Options Gateway Incentive Program	DCM	<u>14-544</u>
12/11/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-544\$
12/11/14	NYMEX	Modifications to Gulf Coast Refined Products Incentive Program	DCM	<u>14-517</u>
12/11/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-517S
12/10/14	СМЕ	Modifications to CME FX EFP Surcharge Volume Incentive Program	DCM	<u>14-502</u>
12/10/14	CME	Extension of CME Livestock, Dairy, and Forestry Futures Volume Discount Program	DCM	<u>14-542</u>
12/10/14	NYMEX	Modifications to NYMEX Brent Liquidity Provider Program	DCM	<u>14-550</u>
12/8/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-550S
12/8/14	NYMEX	Delisting contract months beyond December 2014 for the NY Harbor ULSD vs. Gasoil Futures contract	DCM	<u>14-471</u>
12/8/14	NYMEX	Delisting three (3) electricity option contracts	DCM	<u>14-435</u> <u>APPENDIX B</u>
12/5/14	СВОТ	Modifications to Market Maker Program for Electronic Treasury Options	DCM	<u>14-508</u>
12/5/14	СВОТ	Implementation of new CBOT Rule 589 ("Special Price Fluctuation Limits")	DCM	<u>14-532</u> <u>APPENDIX C</u>
12/5/14	СВОТ	Implementation of special price fluctuation limit rules for 13 Interest Rate futures and 10 Interest Rate options contracts	DCM	<u>14-531</u>
12/5/14	СМЕ	Implementation of new CME Rule 589 ("Special Price Fluctuation Limits")	DCM	<u>14-530</u> <u>APPENDIX C</u>
12/5/14	СМЕ	Implementation of special price fluctuation limit rules for 59 foreign exchange ("FX") futures and 24 FX options contracts	DCM	<u>14-529</u>
12/5/14	NYMEX	Implementation of new NYMEX Rule 589 ("Special Price Fluctuation Limits")	DCM	<u>14-528</u> <u>APPENDIX C</u>

12/5/14	NYMEX	Implementation of special price fluctuation limit	DCM	14-527
		rules for 4 metals futures and options contracts  Implementation of new COMEX Rule 589 ("Special		14-526
12/5/14	COMEX	Price Fluctuation Limits")	DCM	APPENDIX C
12/5/14	COMEX	Implementation of special price fluctuation limit rules for 9 metals futures and 7 metals options contracts	DCM	<u>14-525</u>
12/5/14	NYMEX	REVISED LISTING: Seven (7) electricity option contracts for trading on CME Globex and the NYMEX trading floor as well as for submission for clearing through CME ClearPort	DCM	14-457R (1) 14-457R (2) 14-457R (3) 14-457R (4) 14-457R (5) 14-457R (6) 14-457R (7) APPENDIX B
12/5/14	NYMEX	Notification of product terms and conditions amendments made effective during the week of November 24, 2014.	DCM	<u>14-539</u> <u>Exhibit A</u>
12/5/14	CME CLEARING	Notification of rule amendments made effective during the week of November 24, 2014.	DCO	<u>14-538</u>
12/4/14	СМЕ	Amendments to Chapter 8H of CME Rulebook	DCO	<u>14-540</u>
12/4/14	NYMEX	Extension of Non-Core Crude and Refined Liquidity Provider Program	DCM	<u>14-524</u>
12/4/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-524S
12/4/14	CME	Extension of S&P 500 Floor Broker Incentive Program	DCM	<u>14-522</u>
12/3/14	NYMEX/COMEX	Establishment of a block trade minimum threshold in the Gasoline Euro-bob Oxy NWE Barges (Argus)  Average Price Option contract	DCM	14-487 (1) 14-487 (2)
12/3/14	NYMEX	Expansion of contract month listing schedule for Indonesian Coal (McCloskey sub-bituminous)  Futures contract	DCM	<u>14-450</u>
12/3/14	СВОТ	Modifications to Market Maker Program for Long- Term U.S. Treasury Bond Futures	DCM	<u>14-501</u>
12/3/14	СМЕ	Extension of the CME OTC FX Fee Waiver Program	DCO	<u>14-523</u>
12/2/14	CME/CBOT	Modifications to CME/CBOT Interest Rate Options Give Up Incentive Program	DCM	<u>14-519 (1)</u> <u>14-519 (2)</u>
12/2/14	CME/CBOT	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-519S
12/2/14	NYMEX	Extension of NYMEX Capped Give-Up Incentive Program.	DCM	14-520
12/2/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-520S
12/2/14	NYMEX	REVISED LISTING: New iron ore futures contract	DCM	14-470R APPENDIX B
12/2/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-470S
12/2/14	NYMEX	Modifications to Brent Crude Oil Options Fee Waiver Program	DCM	<u>14-521</u>

12/2/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-521S
12/1/14	СМЕ	Modifications to Eurodollar Options Market Maker Program	DCM	14-504
12/1/14	СВОТ	Modifications to Fed Fund Options Floor Broker Pool Program	DCM	<u>14-505</u>
12/1/14	СМЕ	Request for determination of non-materiality for amendments to affidavits required for delivery of heifers and for adoption of protocols corresponding to affidavits in connection with Live Cattle Futures contract	DCM	<u>14-495</u>
11/28/14	СМЕ	Modifications to the CME FX Premium Quoted Options Market Maker Program	DCM	<u>14-516</u>
11/26/14	СМЕ	Notification of product terms and conditions amendments made effective during the week of November 17, 2014.	DCM	<u>14-513</u>
11/26/14	COMEX	Notification of product terms and conditions amendments made effective during the week of November 17, 2014	DCM	<u>14-514</u> <u>EXHIBIT B</u>
11/26/14	CME	Modifications to the CME Commodity Trading Advisors/Hedge Fund Incentive Program	DCM	<u>14-515</u>
11/26/14	CME/CBOT	Establishment of block trade minimum thresholds in five (5) new CBOT Treasury Invoice Spreads	DCM	14-486 (1) 14-486 (2)
11/26/14	СМЕ	Extension of Globex Give Up Fee Waiver Program for CME Short-Term Interest Rate (STIR) Options.	DCM	14-507
11/26/14	CME CLEARING	Notification of rule amendments made effective during the week of November 17, 2014.	DCO	<u>14-512</u>
11/25/14	CME/CBOT/NYMEX/ COMEX	REVISED: Clarifying amendments to CME/CBOT/NYMEX/COMEX Rule 818.C (Netting and Offset) and CME Rules 8G01 and 8H01.	DCM/DCO	14-477R (1) 14-477R (2)
11/25/14	CME	Modifications to CME Futures and Options Tier Aggregation	DCM	<u>14-510</u>
11/25/14	СВОТ	Modifications to CBOT Market Maker Program for Deferred 30-Day Federal Fund Futures	DCM	<u>14-499</u>
11/24/14	CME/CBOT/NYMEX/ COMEX	Modifications to Emerging Markets Bank Incentive Program	DCM	14-498 (1) 14-498 (2) 14-498 (3) 14-498 (4)
11/26/14	CME/CBOT/NYMEX/ COMEX	Modifications to the Latin America Commodity Commercial Incentive Program.	DCM	14-497 (1) 14-497 (2) 14-497 (3) 14-497 (4)
11/26/14	CME/CBOT/NYMEX/ COMEX	Modifications to the Latin America Fund Manager Incentive Program	DCM	14-496 (1) 14-496 (2) 14-496 (3) 14-496 (4)
11/26/14	NYMEX	Amendments to listed fees for three (3) electricity option contracts	DCM	14-500
11/21/14	СМЕ/СВОТ	Modifications to the Agricultural Options Market Maker Program.	DCM	<u>14-488 (1)</u> <u>14-488 (2)</u>
11/21/14	СМЕ	Acceptance of iTraxx for clearing	DCO	<u>14-491</u> EXHIBIT 1
11/21/14	СМЕ	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-491S

11/21/14	CME CLEARING	Weekly Notification of Rule Amendments - Week of November 10, 2014	DCO	<u>14-493</u>
11/21/14	COMEX	Weekly Notification of Product Terms and Conditions Amendments - Week of November 10, 2014	DCM	<u>14-494</u>
11/21/14	СВОТ	Weekly Notification of Product Terms and Conditions Amendments - Week of November 10, 2014	DCM	14-490
11/20/14	CME	Modifications to fee schedule that applies to over- the-counter ("OTC") Credit Default Swaps ("CDS")	DCO	<u>14-441</u>
11/20/14	CME	Changes to CDS Product Terms for Acceptance of iTraxx	DCO	<u>14-492</u> EXHIBIT 1
11/20/14	NYMEX/COMEX	Establishment of a block trade minimum threshold in eleven (11) new NYMEX products concurrent with the launch	DCM	14-482 (1) 14-482 (2)
11/20/14	NYMEX	LISTING: Three (3) new cash-settled crude oil futures contracts	DCM	14-467 (1) 14-467 (2) 14-467 (3) APPENDIX B
11/20/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-467S
11/20/14	NYMEX	Implementation of the NYMEX Iron Ore Incentive Program	DCM	<u>14-489</u>
11/20/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-489S
11/19/14	CME	Implementation of the Eurodollar Pack and Bundle Deferred Contract Liquidity Program	DCM	14-485
11/20/14	CME	SUPPLEMENTAL FILING UNDER FOIA CME has elected to disclose this information.	DCM	<u>14-485S</u>
11/19/14	NYMEX	REVISED: Amendments to Thirty-Four (34) Electricity Futures and Option Contracts	DCM	<u>14-403R</u> <u>APPENDIX C</u>
11/17/14	NYMEX/COMEX	REVISED: Amendments to metals physically delivered futures rules.	DCM	14-454RR (1) 14-454RR (2) APPENDIX A
11/17/14	NYMEX/COMEX	REVISED: Increase in Position Limits for Five (5) Copper Contracts	DCM	14-474R (2) APPENDIX A
11/17/14	NYMEX/COMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-474S
11/17/14	CME	REVISED LISTING: US Dollar/Chilean Peso (USD/CLP) Futures	DCM	14-465R APPENDIX C
11/17/14	CME/CBOT/NYMEX/ COMEX	Adoption of Rule 771 ("Alternative Notice of Intention to Deliver")	DCM/DCO	14-478 (1) 14-478 (2) 14-478 (3) 14-478 (4) 14-478 (5) EXHIBIT B
11/17/14	СВОТ	Weekly Notification of Product Terms and Conditions Amendments - Week of November 3, 2014	DCM	14-480
11/17/14	CME	Amendments to the position limits and position accountability of CME's USD Malaysian Crude Palm Oil Calendar Swap (Cleared Only)	DCO	<u>14-434</u> <u>APPENDIX A</u>
11/13/14	CME CLEARING	Weekly Notification of Rule Amendments - Week of November 3, 2014	DCO	<u>14-479</u>

11/12/14	CME	Amendments to Rulebook Chapter 7 and introduction of new Rule 719 ("Initial Regularity for Delivery against a New Futures Contract")	DCM	<u>14-451</u>
11/12/14	СВОТ	Amendments to Rulebook Chapter 7 and introduction of new Rule 719 ("Initial Regularity for Delivery against a New Futures Contract")	DCM	14-452
11/12/14	NYMEX/COMEX	Amendments to Rulebook Chapter 7 and introduction of new Rule 719 ("Initial Regularity for Delivery against a New Futures Contract")	DCM	14-453 (1) 14-453 (2) APPENDIX A
11/10/14	NYMEX	DELISTING: Certain Contract Months for Seven (7) Low Sulphur Based Futures and Options Contracts	DCM	14-423
11/7/14	CME CLEARING	Notification of Rule Amendments Week of October 27, 2014	DCO	14-472
11/6/14	CME	Notification of Rule Amendments Week of October 27, 2014	DCM	14-468
11/6/14	NYMEX	LISTING: Crude Oil Mid-Curve Option Contract	DCM	<u>14-255</u> <u>APPENDIX C</u>
11/6/14	NYMEX	LISTING: Canadian Heavy Crude Oil (Net Energy) BALMO Futures Contract	DCM	<u>14-432</u> <u>APPENDIX B</u>
11/6/14	NYMEX	Amendments to Four (4) Electricity Futures Contracts	DCM	<u>14-121</u> <u>APPENDIX E</u>
11/6/14	NYMEX	LISTING: Three (3) New Natural Gas Option Contracts	DCM	14-426 (1) APPENDIX B
11/6/14	CME/CBOT	Establishing Block Trade Minimum Threshold for USD/CLP Futures Contract and Issuance of CME/CBOT MRAN RA1407-3	DCM	14-464 (1) 14-464 (2)
11/6/14	NYMEX/COMEX	Establishing Block Trade Minimum Threshold in 5 New Products and Issuance of NYMEX/COMEX MRAN RA1410-4	DCM	14-400 (1) 14-400 (2)
11/3/14	NYMEX	Amendments to Strike Prices for Daily Natural Gas Option Contract	DCM	14-444
11/6/14	CME	DELISTING: Certain Contract Months for Urea (Prilled) FOB Yuzhny Swaps (Clearing Only) Contract	DCM	14-427
10/31/14	CME	LISTING: USD Malaysian Palm Olein Calendar Swap (Cleared Only) for clearing.	DCO	14-254 Appendices: 1-C, 1-D, 1-E, 1-F, 1-G
10/31/14	CME	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-254S
10/31/14	CME	Notification of product terms and conditions amendments made effective during the week of October 20, 2014.	DCM	14-459
10/31/14	NYMEX	Notification of product terms and conditions amendments made effective during the week of October 20, 2014.	DCM	<u>14-461</u>
10/30/14	NYMEX	Decrease in spot month position limits for Palladium futures and option contracts.	DCM	<u>14-463</u> <u>APPENDIX A</u>
10/30/14	CME	Proposed changes to add limited recourse and portfolio gain haircut settlement cycle ("Base Haircuts") to financial safeguards package for Base	DCO	<u>14-462</u>
10/30/14	СВОТ	Notification of product terms and conditions amendments made effective during the week of October 20, 2014.	DCM	14-460
10/30/14	CME/NYMEX	Deletion of Rules 990 ("Special Capital Provisions for Clearing Members Guaranteeing and Clearing OTC Contracts Executed by NYMEX Floor Members") and 992 ("Participation by NYMEX Floor Members in	DCM	14-456 (1) 14-456 (2)

		Special Program for Over-the-Counter Trading with FCM Guarantee")		
10/30/14	CME CLEARING	Notification of rule amendments made effective during the week of October 20, 2014.	DCO	<u>14-458</u>
10/29/14	CME/CBOT/NYMEX	REVISED: Adoption of rules for liquidity risk management.	DCO	<u>13-565ARR</u>
10/27/14	CME	Modification to manner in which daily settlement prices are determined in CME Live Cattle, CME Feeder Cattle, and CME Lean Hog futures	DCM	<u>14-430</u>
10/27/14	СВОТ	LISTING: Treasury Invoice Swaps	DCM	14-455 (1) 14-455 (2) 14-455 (3) 14-455 (4) 14-455 (5) APPENDIX 2
10/24/14	СВОТ	Amendments to support the trading of CBOT Treasury Invoice Swap Spreads on CME Globex.	DCM	<u>14-421</u>
10/23/14	СМЕ	Enhancements to the CDS Risk Model	DCO	<u>14-448</u>
10/8/14	СМЕ	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-4485
10/23/14	CME	Amendments to CME Rule 813 ("Daily Settlement Price") and amendments to price increment rules for six (6) Bundle futures and option contracts	DCM	<u>14-436</u>
10/23/14	CME CLEARING	Notification of rule amendments made effective during the week of October 13, 2014.	DCO	<u>14-449</u>
10/22/14	NYMEX	Amendments to the minimum tick size for two (2) natural gas futures products	DCM	14-363
10/20/14	СМЕ	DELISTING: Twelve (12) monthly and seasonal strip snowfall, rainfall, frost product chapters and twelve (12) hurricane futures & options product chapters	DCM	<u>14-384</u> <u>APPENDIX A</u>
10/16/14	NYMEX	Amendments to contract size of Iron Ore 62% Fe, CFR North China (Platts) Futures and Iron Ore 62% Fe, CFR North China (Platts) Average Price Option contracts	DCM	14-332R APPENDIX D
10/17/14	NYMEX/COMEX	Modifications to Globex Core Metals Volume Incentive Program	DCM	<u>14-440 (1)</u> <u>14-440 (2)</u>
10/17/14	CME	Modifications to fee schedule applicable to over-the- counter ("OTC") Interest Rate Swaps ("IRS")	DCO	<u>14-442</u>
10/17/14	СМЕ	Amendments to listing cycle in Urea (Prilled) FOB Yuzhny Swaps (Clearing Only) contract	DCM	<u>14-419</u>
10/17/14	CME CLEARING	Notification of rule amendments made effective during the week of October 6, 2014	DCO	<u>14-443</u>
10/17/14	COMEX	Notification of product terms and conditions amendments made effective during the week of October 6, 2014	DCM	<u>14-439</u> <u>EXHIBIT B</u>
10/17/14	СВОТ	Notification of product terms and conditions amendments made effective during the week of October 6, 2014	DCM	<u>14-438</u>
10/15/14	CME/CBOT/NYMEX/ COMEX	Modifications to the Central Bank Incentive Program	DCM	14-433 (1) 14-433 (2) 14-433 (3) 14-433 (4)
10/10/14	СВОТ	Revised 2015 Soybean Meal Futures and Soybean Oil Futures delivery differential adjustments	DCM	14-407

10/10/14	CME CLEARING	Notification of rule amendments made effective during the week of September 29, 2014.	DCO	<u>14-429</u>
10/9/14	COMEX	Notification of product terms and conditions amendments made effective during the week of September 29, 2014.	DCM	<u>14-428</u> <u>EXHIBIT B</u>
10/9/14	СМЕ	Amendments of the Profercy reference price used in the Urea (Granular) FOB US Gulf Coast Swaps (Clearing Only) contract	DCO	<u>14-411</u>
10/9/14	CME	Amendments to CME Globex electronic trading hours for seven (7) CME livestock futures and options contracts	DCM	<u>14-408</u>
10/8/14	NYMEX	Modifications to Natural Gas Options Liquidity Provider Program	DCM	14-425
10/8/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-425S
10/6/14	СВОТ	DELISTING: Previously listed contract months beyond December 2014 for Dow Jones Industrial Average Index Futures (\$25 Multiplier) contract	DCM	<u>14-386</u>
10/6/14	CME	DELISTING: Four (4) stock index futures and options on futures contracts	DCM	<u>14-385</u> <u>APPENDIX B</u>
10/6/14	COMEX	DELISTING: Short-Term Gold Option contract	DCM	<u>14-358</u> <u>APPENDIX B</u>
10/2/14	СВОТ	Amendments to CBOT Rulebook Chapter 7 ("Delivery Facilities and Procedures")	DCM	<u>14-417</u> <u>EXHIBIT A</u>
10/2/14	NYMEX/COMEX	Amendments to NYMEX/COMEX Rulebook Chapter 7 ("Delivery Facilities and Procedures")	DCM	14-416 (1 of 2) APPENDIX A 14-416 (2 of 2) APPENDIX A
10/2/14	NYMEX	LISTING: Four (4) new crude oil futures contracts	DCM	14-392R APPENDIX B
10/2/14	CME CLEARING	Notification of rule amendments made effective during the week of September 22, 2014.	DCO	<u>14-424</u>
10/2/14	NYMEX/COMEX	Establishment of a block trade minimum threshold in five (5) new products concurrent with launch	DCM	14-413 (1 of 2) 14-413 (2 of 2)
10/1/14	СМЕ	Revisions to Rule 816 ("Guaranty Fund Deposit")	DCO	14-422 (1 of 4)
10/1/14	СВОТ	Revisions to Rule 816 ("Guaranty Fund Deposit")	DCM	14-422 (2 of 4)
10/1/14	NYMEX	Revisions to Rule 816 ("Guaranty Fund Deposit")	DCM	14-422 (3 of 4)
10/1/14	COMEX	Revisions to Rule 816 ("Guaranty Fund Deposit")	DCM	14-422 (4 of 4)
10/1/14	NYMEX	LISTING: Physically-delivered Illinois Basin Coal Futures contract	DCM	<u>14-420</u> <u>APPENDIX B</u>
10/1/14	CME	Amendments to spot month and all months position limits for the RUB/USD futures and options contracts	DCM	<u>14-418</u> <u>APPENDIX A</u>
10/1/14	СВОТ	Changes to CME Globex Trade Matching Algorithms for futures spread transactions for seven (7) CBOT Interest Rate futures contracts	DCM	<u>14-406</u> <u>APPENDIX A</u>
10/1/14	СМЕ	Amendments to seven (7) Cleared OTC Spot, Forwards and Swaps contracts	DCM	<u>14-409</u>
9/30/14	CME/CBOT	Establishment of Basis Trade at Index Close ("BTIC") block trades at a block minimum threshold of 50 contracts in USD Denominated Ibovespa futures	DCM	14-414 (1 of 2) 14-414 (2 of 2)

9/30/14	СМЕ	Reduction of USD Denominated Ibovespa futures minimum price fluctuation and amendments to CME Rulebook Chapter 354 to permit Basis Trade at Index Close ("BTIC") block trades for the USD Denominated Ibovespa futures contract.	СМЕ	<u>14-361</u>
9/30/14	NYMEX	Expansion of contract month listing for U.S. Midwest Domestic Hot-Rolled Coil Steel (CRU) Index futures contract	NYMEX	<u>14-369</u>
9/29/14	CME	DELISTING three (3) S&P GSCI Commodity Index contracts.	DCM	14-360 (2 of 2) APPENDIX A
9/29/14	CME	DELISTING three (3) S&P GSCI Commodity Index contracts.	DCM	14-360 (1 of 2) APPENDIX A
9/29/14	СМЕ	DELISTING ten (10) Live Cattle Weekly Options and Lean Hog CSO contracts.	DCM	<u>14-359</u> <u>APPENDIX A</u> APPENDIX B
9/26/14	СМЕ	REVISED: Limited exemption from CME limits on the value of letters of credit clearing members are eligible to deposit on behalf of qualifying customers in satisfaction of the clearing members' core performance bond requirements.	DCO	14-393R
9/26/14	CME CLEARING	Notification of rule amendments made effective during the week of September 15, 2014.	DCO	<u>14-412</u>
9/26/14	COMEX	Notification of product terms and conditions amendments made effective during the week of September 15, 2014.	DCM	<u>14-410</u> <u>EXHIBIT C</u>
9/24/14	CME	Revisions to Rule 850 ("Fees")	DCM	14-102 (1 of 5)
9/24/14	СВОТ	Revisions to Rule 850 ("Fees")	DCM	14-102 (2 of 5)
9/24/14	NYMEX	Revisions to Rule 850 ("Fees")	DCM	14-102 (3 of 5)
9/24/14	COMEX	Revisions to Rule 850 ("Fees")	DCM	14-102 (4 of 5)
9/24/14	CME CLEARING	Revisions to Rule 850 ("Fees")	DCO	14-102 (5 of 5)
9/22/14	СМЕ	Delisting Chinese Renminbi/Japanese Yen (RMB/JPY) Cross Rate Futures, Options on RMB/JPY Cross Rate Futures and the Weekly Options on RMB/JPY Cross Rate Futures, effective immediately.	DCM	<u>14-372</u> <u>APPENDIX A</u>
9/19/14	СМЕ	REVISED: Changes to CDS product definitions to incorporate the ISDA 2014 Credit Derivatives Definitions.	DCM	<u>14-307R</u> <u>EXHIBIT 1</u>
9/19/14	NYMEX	Modifications to the Agency Cross Fee Schedule.	DCM	14-389 (1 of 2) EXHIBIT 1
9/19/14	COMEX	Modifications to the Agency Cross Fee Schedule.	DCM	14-389 (2 of 2) EXHIBIT 1
9/19/14	СМЕ	Addition of two (2) NYMEX products to the list of Agency Cross eligible products and the issuance of Market Regulation Advisory Notice RA1406-5.	DCM	<u>14-401 (1 of 4)</u>
9/19/14	СВОТ	Addition of two (2) NYMEX products to the list of Agency Cross eligible products and the issuance of Market Regulation Advisory Notice RA1406-5.	DCM	14-401 (2 of 4)
9/19/14	NYMEX	Addition of two (2) NYMEX products to the list of Agency Cross eligible products and the issuance of Market Regulation Advisory Notice RA1406-5.	DCM	14-401 (3 of 4)
9/19/14	COMEX	Addition of two (2) NYMEX products to the list of Agency Cross eligible products and the issuance of Market Regulation Advisory Notice RA1406-5.	DCM	14-401 (4 of 4)

9/19/14	CME	Amendments to CME ClearPort hours for twenty (20) commodity index cleared OTC swap contracts	DCM	<u>14-382</u>
9/19/14	COMEX	Notification of product terms and conditions amendments made effective during the week of September 8, 2014.	DCM	<u>14-402</u> <u>EXHIBIT A</u>
9/19/14	CME CLEARING	Notification of rule amendments made effective during the week of September 8, 2014.	DCO	<u>14-404</u>
9/19/14	СМЕ	Acceptance of CDX North American Investment Grade Index Series 23 ("CDX IG 23") and CDX North American High Yield Index Series 23 ("CDX HY 23") for clearing.	DCO	<u>14-405</u>
9/18/14	СВОТ	Changes to CME Globex Trade Matching Algorithms for futures and spread transactions for seven (7)  CBOT Interest Rate futures contracts.	DCM	14-348 APPENDIX A
9/17/14	CME	REVISED: Changes to the CDS Risk Model	DCO	<u>14-291R</u>
9/16/14	NYMEX	Implementation of the Natural Gas Options Liquidity Provider Program	DCM	<u>14-399</u>
9/16/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-399\$
9/16/14	NYMEX	Implementation of the Globex Metals Spreads Incentive Program for Highly Passive Participants.	DCM	14-390 (1 of 2
9/15/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-390S
9/16/14	COMEX	Implementation of the Globex Metals Spreads Incentive Program for Highly Passive Participants.	DCM	14-390 (2 of 2
9/15/14	COMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-390S
9/16/14	NYMEX	Modifications to the Energy Options Market Maker Program.	DCM	<u>14-396</u>
9/16/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-396\$
9/16/14	NYMEX	Modifications to the NYMEX Capped Give-Up Incentive Program.	DCM	14-397
9/16/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-397S
9/16/14	СМЕ	Modifications to the Agricultural Options Market Maker Program.	DCM	14-398 (1 of 2
9/16/14	CME	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-398\$
9/16/14	СВОТ	Modifications to the Agricultural Options Market Maker Program.	DCM	14-398 (2 of 2
9/16/14	СВОТ	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-398\$
9/15/14	NYMEX	Modifications to the Non-Core Crude and Refined Resting Volume Incentive Program.	DCM	<u>14-395</u>
9/15/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-395\$
9/15/14	NYMEX	Modifications to the Non-Core Crude and Refined Liquidity Provider Program.	DCM	14-394

9/15/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-3945
9/15/14	NYMEX	Modifications to the Brent Futures and Inter- Commodity Spread Market Maker Program.	DCM	<u>14-391</u>
9/15/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-3915
9/15/14	COMEX	Adoption of Rule 575 ("Disruptive Practices Prohibited") and Issuance of CME Group Market Regulation Advisory Notice RA1405-5	DCM	14-367R (4 of 4)
9/15/14	NYMEX	Adoption of Rule 575 ("Disruptive Practices Prohibited") and Issuance of CME Group Market Regulation Advisory Notice RA1405-5	DCM	14-367R (3 of 4)
9/15/14	СВОТ	Adoption of Rule 575 ("Disruptive Practices Prohibited") and Issuance of CME Group Market Regulation Advisory Notice RA1405-5	DCM	14-367R (2 of 4)
9/15/14	СМЕ	Adoption of Rule 575 ("Disruptive Practices Prohibited") and Issuance of CME Group Market Regulation Advisory Notice RA1405-5	DCM	14-367R (1 of 4)
9/11/14	СМЕ	Amendments to Rule 279.H02.A ("Day of Cash Settlement") of Chapter 279H – Cleared OTC U.S. Dollar/Indian Rupee (USD/INR) Spot, Forwards and Swaps	DCM	<u>14-388</u>
9/11/14	СМЕ	Amendments to six (6) CME futures contracts	DCM	<u>14-381</u>
9/11/14	CME CLEARING	Notification of rule amendments made effective during the week of September 1, 2014.	DCO	14-383
9/10/14	СМЕ	Amendments to Rule 400 ("General Provisions")	DCM	<u>14-354 (1 of 4)</u>
9/10/14	СВОТ	Amendments to Rule 400 ("General Provisions")	DCM	14-354 (2 of 4)
9/10/14	NYMEX	Amendments to Rule 400 ("General Provisions")	DCM	14-354 (3 of 4)
9/10/14	COMEX	Amendments to Rule 400 ("General Provisions")	DCM	14-354 (4 of 4)
9/10/14	COMEX	REVISED: Amendments to Rule 623 ("Right to Counsel") to disallow certain persons from representing parties to an arbitration claim and elimination of two legacy NYMEX Board resolutions concerning arbitration	DCM	14-356R (4 of 4)
9/10/14	NYMEX	REVISED: Amendments to Rule 623 ("Right to Counsel") to disallow certain persons from representing parties to an arbitration claim and elimination of two legacy NYMEX Board resolutions concerning arbitration	DCM	14-356R (3 of 4)
9/10/14	СВОТ	REVISED: Amendments to Rule 623 ("Right to Counsel") to disallow certain persons from representing parties to an arbitration claim and elimination of two legacy NYMEX Board resolutions concerning arbitration	DCM	14-356R (2 of 4)
9/10/14	СМЕ	REVISED: Amendments to Rule 623 ("Right to Counsel") to disallow certain persons from representing parties to an arbitration claim and elimination of two legacy NYMEX Board resolutions concerning arbitration	DCM	14-356R (1 of 4)
9/9/14	CME SDR	Modifications to the CME SDR Fee Schedule.	SDR	14-043

9/9/14	СМЕ	Request for approval to amend Exchange rules governing daily price limits for four (4) CME dairy products. Proposed effective date is Sunday, November 2, 2014 for trade day Monday, November 3, 2014.	DCM	<u>14-314</u>
9/9/14	CME	REVISED: Implementation of the Bundle Futures Market Maker Program.	DCM	<u>14-374R</u>
9/9/14	CME	REVISED: SUPPLEMENTAL FILING UNDER FOIA	DCM	14-374RS
9/5/14	CME	Changes to CDS product definitions to incorporate the ISDA 2014 Credit Derivatives Definitions.	DCO	<u>14-307</u>
9/5/14	CME	Establishment of a block trade minimum threshold in 2-Yr, 3-Yr and 5-Yr Eurodollar Futures and Options and Issuance of CME/CBOT MRAN RA1405-3.	DCM	<u>14-365 (1 of 2)</u>
9/5/14	СМЕ	LISTING: Self-certification of terms and conditions for Two-Year Bundle futures, Three-Year Bundle futures, and Five-Year Bundle futures, and companion options for each of these three futures products	DCM	14-217 (1 of 12) APPENDIX G.1
9/5/14	СВОТ	Modifications to the contract months and listing cycles for Corn, Soybeans, Wheat, and KC HRW Wheat Options on Short-Dated New Crop Futures	DCM	<u>14-350</u>
9/5/14	COMEX	Establishment of a block trade minimum threshold for the Crude Oil Option on Semi-Annual Strip contract	DCM	14-366 (2 of 2)
9/5/14	NYMEX	Establishment of a block trade minimum threshold for the Crude Oil Option on Semi-Annual Strip contract	DCM	14-366 (1 of 2)
9/5/14	NYMEX	Terms and conditions and analysis of underlying market in connection with initial listing of Crude Oil Option on Semi-Annual Strip contract	DCM	14-364 (2 of 2) APPENDIX B
9/5/14	NYMEX	Listing: Crude Oil Option on Semi-Annual Strip contract	DCM	14-364 (1 of 2) APPENDIX B
9/5/14	СВОТ	Notification of product terms and conditions amendments made effective during the week of August 25, 2014.	DCM	<u>14-379</u>
9/5/14	COMEX	Notification of product terms and conditions amendments made effective during the week of August 25, 2014	DCM	<u>14-378</u> EXHIBIT A
9/5/14	NYMEX	Notification of product terms and conditions amendments made effective during the week of August 25, 2014	DCM	<u>14-377</u> EXHIBIT A
9/5/14	CME CLEARING	Notification of rule amendments made effective during the week of August 25, 2014.	DCO	<u>14-380</u>
9/4/14	СВОТ	Change to CME Globex match algorithm for four (4) CBOT and two (2) CME Stock Index contracts	DCM	14-241 (2 of 2) APPENDIX A
9/4/14	CME	Change to CME Globex match algorithm for four (4) CBOT and two (2) CME Stock Index contracts	DCM	14-241 (1 of 2) APPENDIX A
9/4/14	СВОТ	Change to CME Globex match algorithm for futures and futures spread transactions on Kansas City Hard Red Winter Wheat (KC HRW Wheat) futures contracts	DCM	<u>14-270</u> <u>APPENDIX A</u>
9/4/14	NYMEX	Amendments to Rule 712.05 ("Prices and Fluctuations") of European Gasoil (100mt) Bullet Futures contract	DCM	<u>14-311</u>
9/4/14	СМЕ	Amendments to the minimum fluctuation for Options on NASDAQ 100 Index futures (CME Rule 357A01.C.) and Options on E-mini NASDAQ 100 Index futures (CME Rule 359A01.C.)	DCM	<u>14-347</u>

9/4/14	СМЕ	Acceptance of swaptions for clearing, effective the earlier of November 3, 2014 or the receipt of regulatory approval.	DCO	14-327
9/4/14	CME	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-327\$
9/4/14	NYMEX	REVISED: Rule amendments in connection with the initial listing of the Natural Gas Weekly Financial Option contract.	DCM	14-331RR (2 of 2) APPENDIX B
9/4/14	NYMEX	REVISED: Listing of the Natural Gas Weekly Financial Option contract.	DCM	14-331RR (1 of 2) APPENDIX B
9/3/14	NYMEX	Revisions to Position Limit, Position Accountability and Reportable Level Table in the Interpretations & Special Notices Section of Chapter 5 to add diminishing balances to two (2) energy products.	DCM	<u>14-373</u> <u>EXHIBIT A</u>
8/29/14	COMEX	Notification of product terms and conditions amendments made effective during the week of August 18, 2014.	DCM	<u>14-371</u> <u>EXHIBIT C</u>
8/29/14	CME CLEARING	Notification of rule amendments made effective during the week of August 18, 2014.	DCO	<u>14-370</u>
8/29/14	NYMEX	Notification of rule amendments made effective during the week of August 18, 2014.	DCM	14-357
8/29/14	COMEX	Revisions to Rule 409.D ("Appeals")	DCM	14-355 (4 of 4)
8/29/14	NYMEX	Revisions to Rule 409.D ("Appeals")	DCM	14-355 (3 of 4)
8/29/14	СВОТ	Revisions to Rule 409.D ("Appeals")	DCM	14-355 (2 of 4)
8/29/14	СМЕ	Revisions to Rule 409.D ("Appeals")	DCM	14-355 (1 of 4)
8/28/14	COMEX	Revisions to Rule 812 to disallow settlement pay/collect adjustments resulting from changes to a final settlement price after 10 business days from publication of the final settlement price.	DCM	14-352 (4 of 4)
8/28/14	NYMEX	Revisions to Rule 812 to disallow settlement pay/collect adjustments resulting from changes to a final settlement price after 10 business days from publication of the final settlement price.	DCM	14-352 (3 of 4)
8/28/14	СВОТ	Revisions to Rule 812 to disallow settlement pay/collect adjustments resulting from changes to a final settlement price after 10 business days from publication of the final settlement price.	DCM	14-352 (2 of 4)
8/28/14	СМЕ	Revisions to Rule 812 to disallow settlement pay/collect adjustments resulting from changes to a final settlement price after 10 business days from publication of the final settlement price.	DCM	14-352 (1 of 4)
8/28/14	COMEX	Amendments to Rule 107101.7 ("Contract Specifications") of Chapter 107 ("Aluminum Futures") to provide additional transparency and clarity for insurance coverage of registered (warranted) aluminum	DCM	14-325
8/22/14	NYMEX	REVISED: Rule amendments in connection with the initial listing of the Natural Gas Weekly Financial Option contract.	DCM	14-331R (2 of 2) APPENDIX B
8/22/14	NYMEX	REVISED: Listing of Natural Gas Weekly Financial Option contract.	DCM	14-331R (1 of 2) APPENDIX B
8/22/14	CME CLEARING	Notification of rule amendments made effective during the week of August 11, 2014.	DCO	14-362

8/21/14	СВОТ	Amendments to the month end settlement price procedure documents for U.S. Equity Index futures contracts	DCM	14-218 (2 of 2)
8/21/14	СМЕ	Amendments to the month end settlement price procedure documents for U.S. Equity Index futures contracts	DCM	14-218 (1 of 2)
8/21/14	COMEX	Establishment of a block trade minimum threshold in the Natural Gas Weekly Financial options contract and the issuance of MRAN RA1407-4.	DCM	14-351 (2 of 2)
8/21/14	NYMEX	Establishment of a block trade minimum threshold in the Natural Gas Weekly Financial options contract and the issuance of MRAN RA1407-4.	DCM	14-351 (1 of 2)
8/21/14	СМЕ	Listing of European-style weekly and monthly options on the Australian Dollar/U.S. Dollar (AUD/USD) futures contract and listing of Europeanstyle weekly options on the Canadian Dollar/U.S. Dollar (CAD/USD), British Pound Sterling/U.S. Dollar (GBP/USD), Euro/U.S. Dollar (EUR/USD), Japanese Yen/U.S. Dollar (JPY/USD), and Swiss Franc/U.S. Dollar (CHF/USD) futures contracts.	DCM	<u>14-260</u>
8/20/14	NYMEX	Modifications to the listed fees for certain international non-core petroleum futures products	DCM	<u>14-353</u> <u>EXHIBIT 1</u>
8/15/14	COMEX	Implementation of the Copper Futures Commercial Partnership Program.	DCM	<u>14-343</u>
8/15/14	COMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-343S
8/15/14	NYMEX	Modifications to the Energy Natural Gas Cross- Venue Incentive Program for High Volume Participants.	DCM	<u>14-346</u>
8/15/14	COMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-346S
8/15/14	CME CLEARING	Notification of rule amendments made effective during the week of August 4, 2014.	DCO	<u>14-349</u>
8/15/14	COMEX	Notification of product terms and conditions amendments that were made effective during the week of August 4, 2014.	DCM	<u>14-339</u>
8/15/14	СВОТ	Notification of product terms and conditions amendments that were made effective during the week of August 4, 2014.	DCM	<u>14-338</u>
8/15/14	СМЕ	Notification of product terms and conditions amendments that were made effective during the week of August 4, 2014.	DCM	14-337
8/15/14	COMEX	Modifications to CME Ibovespa Index USD- Denominated Futures Market Maker Program.	DCM	<u>14-342</u>
8/15/14	COMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-342S
8/15/14	COMEX	Modifications to Globex Core Metals Volume Incentive Program.	DCM	14-335 (2 of 2)
8/15/14	COMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-335S (2 of 2)
8/15/14	NYMEX	Modifications to Globex Core Metals Volume Incentive Program.	DCM	14-335 (1 of 2)
8/15/14	COMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-335S (1 of 2)
8/15/14	NYMEX	Proposed implementation of European and Asian Petroleum Products High Performer Program.	DCM	<u>14-345</u>

8/15/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-345S
8/15/14	NYMEX	Modifications to European and Asian Petroleum Products Gateway Incentive Program	DCM	14-344
8/15/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-3445
8/14/14	СМЕ	Modifications to Nikkei 225 Yen-Based Market Maker Program.	DCM	<u>14-340</u>
8/14/14	NYMEX	Modifications to Core Globex Energy Tiers Incentive Program.	DCM	<u>14-333</u>
8/14/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-333S
8/14/14	NYMEX	Modifications to Bi-Venue Energy Tiers Incentive Program.	DCM	<u>14-341</u>
8/14/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-341S
8/14/14	NYMEX	Modifications to Brent Crude Oil Options Fee Waiver Program.	DCM	<u>14-336</u>
8/14/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-336S
8/13/14	NYMEX	Modifications to Energy Options Market Maker Program.	DCM	14-334
8/13/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-334S
8/12/14	NYMEX	Modifications to NYMEX LN & OS Options Market Maker Program	DCM	<u>14-259</u>
8/12/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-259S
8/12/14	СВОТ	REVISED: Amendments to position limits for Expiring U.S. Treasury Bond Futures, Short-Term Treasury Note (2-Year) Futures , and Long-Term U.S. Treasury (Ultra) Futures	DCM	14-313R APPENDIX A
8/12/14	СМЕ	REVISED: Revisions to rules governing the day of trading termination for Live Cattle Options in the January Bi-Monthly Listing Cycle	DCM	<u>14-281R</u>
8/12/14	NYMEX	REVISED: Revisions to Position Limit, Position Accountability and Reportable Level Table located in the Interpretations and Special Notices Section of Chapter 5 of the NYMEX Rulebook comprised of corrections and administrative changes reflecting the accurate terms of the contracts	DCM	<u>14-257R</u> <u>EXHIBIT A</u>
8/11/14	NYMEX	Delisting of the Australian Coking Coal (Argus) Low Vol Futures Contract	DCM	14-300 (2 of 2) APPENDIX B
8/11/14	NYMEX	Delisting of the Australian Coking Coal (Platts) Futures Contract	DCM	14-300 (1 of 2) APPENDIX A
8/11/14	NYMEX	Delisting Texas Gas, Zone SL Natural Gas (Platts Gas Daily/ Platts IFERC) Index Futures Contract	DCM	14-283 (3 of 3) APPENDIX A
8/11/14	NYMEX	Delisting Texas Gas, Zone SL Natural Gas (Platts IFERC) Basis Futures Contract	DCM	14-283 (2 of 3) APPENDIX A
8/11/14	NYMEX	Delisting Texas Gas, Zone SL Natural Gas (Platts Gas Daily) Swing Futures Contract	DCM	14-283 (1 of 3) APPENDIX A

8/8/14	COMEX	Establishment of a block trade minimum threshold in one (1) new NYMEX product and issuance of NYMEX/COMEX Market regulation Advisory Notice RA1406-4	DCM	14-326 (2 of 2)
8/8/14	NYMEX	Establishment of a block trade minimum threshold in one (1) new NYMEX product and issuance of NYMEX/COMEX Market regulation Advisory Notice RA1406-4	DCM	14-326 (1 of 2)
8/8/14	NYMEX	Listing of a Dry Bulk Freight Futures Contract – Capesize 2014 Timecharter Average (Baltic) Futures Contract	DCM	14-263 (2 of 2) APPENDIX B
8/8/14	NYMEX	Listing of a Dry Bulk Freight Futures Contract – Capesize 2014 Timecharter Average (Baltic) Futures Contract	DCM	14-263 (1 of 2) APPENDIX B
8/8/14	CME	REVISED: Amendments to the delivery procedures for the Live Cattle futures contract (Rulebook Chapter 101, clearing and trading floor code: LC; CME Globex code: LE; CME ClearPort code: 48)	DCM	<u>14-272R</u>
8/8/14	NYMEX	REVISED: Amendments to the Floating Price Rule for two (2) European Refined Product futures and Listing Schedule for three (3) European Refined Product futures	DCM	<u>14-278R</u>
8/8/14	CME CLEARING	Notification of rule amendments made effective during the week of July 28, 2014.	DCO	<u>14-330</u>
8/7/14	COMEX	Revisions to CME/CBOT Rule 539 and issuance of Market Regulation Advisory Notice RA1404-5 concerning pre-execution communications	DCM	14-290 (4 of 4)
8/7/14	NYMEX	Revisions to CME/CBOT Rule 539 and issuance of Market Regulation Advisory Notice RA1404-5 concerning pre-execution communications	DCM	14-290 (3 of 4)
8/7/14	СВОТ	Revisions to CME/CBOT Rule 539 and issuance of Market Regulation Advisory Notice RA1404-5 concerning pre-execution communications	DCM	14-290 (2 of 4)
8/7/14	CME	Revisions to CME/CBOT Rule 539 and issuance of Market Regulation Advisory Notice RA1404-5 concerning pre-execution communications	DCM	14-290 (1 of 4)
8/6/14	COMEX	Notification of Product Amendments made effective during the week of July 28, 2014	DCM	<u>14-324</u>
8/6/14	NYMEX	Notification of Product Amendments made effective during the week of July 28, 2014	DCM	<u>14-323</u>
8/5/14	NYMEX	NYMEX Henry Hub Back Month Liquidity Provider Program CFTC Submission No. 1408-0512-1145	DCM	<u>14-282</u>
8/5/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-2825
8/5/14	CME/CBOT/NYMEX	Clarifying amendments to Rule 802.H CFTC Submission No. 1408-0511-4214-86	DCO	<u>14-277</u>
8/4/14	COMEX	Revisions to Rule 854 CFTC Submission No. 1408-0419-1806-47	DCM	14-310 (4 of 4)
8/4/14	NYMEX	Revisions to Rule 854 CFTC Submission No. 1408-0419-1806-48	DCM	14-310 (3 of 4)
8/4/14	СВОТ	Revisions to Rule 854 CFTC Submission No. 1408-0419-0730-09	DCM	14-310 (2 of 4)
8/4/14	CME	Revisions to Rule 854 CFTC Submission No. 1408-0419-0136-72	DCM	14-310 (1 of 4)
8/4/14	COMEX	Revisions to Rule 984 CFTC Submission No. 1408-0418-3604-81	DCM	14-309 (2 of 2)

8/4/14	NYMEX	Revisions to Rule 984 CFTC Submission No. 1408-0418-2652-60	DCM	14-309 (1 of 2)
8/4/14	СМЕ	Delisting flexible options on Class 3 Milk, Class 4 Milk, Butter, Live Cattle, Feeder Cattle, Lean Hogs, and Random Length Lumber CFTC Submission No. 1408-0415-5758-56	DCM	14-268
8/1/14	NYMEX	Weekly notification of Rule Amendments made effective during the week of July 21, 2014 CFTC Submission No. 14-322 is: 1408-0118-2844-43	DCM	<u>14-322</u> <u>EXHIBIT A</u>
8/1/14	СВОТ	Weekly notification of Product Amendments made effective during the week of July 21, 2014 CFTC Submission No. 14-322 is: 1408-0118-2138-13	DCM	<u>14-321</u>
8/1/14	СВОТ	Weekly notification of Rule Amendments made effective during the week of July 21, 2014 CFTC Submission No. 14-322 is: 1408-0118-0538-14	DCM	14-320
8/1/14	СМЕ	Weekly notification of Product Amendments made effective during the week of July 21, 2014 CFTC Submission No. 14-322 is: 1408-0118-4940-07	DCM	<u>14-319</u>
8/1/14	СМЕ	Weekly notification of Rule Amendments made effective during the week of July 21, 2014 CFTC Submission No. 14-322 is: 1408-0118-5510-32	DCM	14-318
8/1/14	COMEX	REVISED: listing of Brent Crude Oil weekly option contract.	DCM	14-303 (2 of 2) APPENDIX B
8/1/14	NYMEX	REVISED: listing of Brent Crude Oil weekly option contract, terms and conditions, and analysis of the underlying market.  CFTC Submission No. 1407-3121-2515-02	DCM	14-303 (1 of 2) APPENDIX B
8/1/14	CME CLEARING	Notification of rule amendments made effective during the week of July 21, 2014.  CFTC Submission No. 1408-0117-2938-77	DCO	<u>14-317</u> <u>EXHIBIT A</u>
8/1/14	COMEX	Issuance of NYMEX/COMEX Market Regulation Advisory Notice RA1405-4 concerning block trades CFTC Submission No. 1408-0115-4417-18	DCM	14-315 (2 of 2)
8/1/14	NYMEX	Issuance of NYMEX/COMEX Market Regulation Advisory Notice RA1405-4 concerning block trades CFTC Submission No. 1408-0115-3553-23	DCM	14-315 (1 of 2)
8/1/14	COMEX	Removal of contrary instructions for Copper Options and other administrative amendments to the product rulebook chapter  CFTC Submission No. 1408-0113-2534-58	DCM	<u>14-244</u>
8/1/14	NYMEX	REVISED: Modifications to NYMEX Henry Hub Financial Market Making Program. Electronic Portal Number: 1407-2119-0708-22-1	DCM	<u>14-297R</u>
7/31/14	NYMEX	REVISED: Amendments to the contract quantity and value rule for one (1) petroleum futures contract CFTC Submission No. 1407-3123-0402-81	DCM	14-306R (2 of 2) APPENDIX B
7/31/14	NYMEX	REVISED: Amendments to the contract quantity and value rule for one (1) petroleum futures contract CFTC Submission No. 1407-2517-4815-23-1	DCM	14-306R (1 of 2) APPENDIX B
7/31/14	СМЕ	REVISED: Notification of Product Amendments made effective during the week of July 14, 2014 CFTC Submission No. 1407-2419-4317-85-1	DCM	<u>14-301R</u> <u>EXHIBIT C</u>
7/31/14	СМЕ	REVISED: Notification of Rule Amendments made effective during the week of July 14, 2014 CFTC Submission No. 1407-3122-1338-48	DCM	<u>14-316</u>
7/30/14	NYMEX	REVISED: Amendments to CME Globex minimum tick size for three (3) natural gas contracts.	DCM	<u>14-274RR</u>
7/28/14	NYMEX	Delisting of the NY Harbor ULSD vs. Low Sulphur Gasoil (1,000mt) Futures contract.	DCM	<u>14-284</u> <u>APPENDIX B</u>
7/28/14	СМЕ	Delisting of four (4) interest rate futures and option contracts, effective immediately.	DCM	<u>14-271</u> <u>APPENDIX B</u>

7/25/14	CME CLEARING	Notification of rule amendments made effective during the week of July 14, 2014.	DCO	<u>14-305</u>
7/24/14	СВОТ	Notification of Product Rule Amendments made effective during the week of July 14, 2014	DCM	<u>14-302</u> <u>EXHIBIT C</u>
7/18/14	NYMEX	REVISED: Amendments to the contract quantity and value rule for three (3) petroleum futures contracts.	DCM	<u>14-249R</u> <u>EXHIBIT B</u>
7/23/14	NYMEX	REVISED: Amendments to the termination of trading rules for ninety-eight (98) electricity futures contracts.	DCM	<u>14-232R</u>
7/23/14	CME/CBOT/NYMEX/ COMEX	REVISED: Amendments to CME/CBOT/NYMEX rules 814 (Settlement Variation) and 901 (General Requirements and Obligations), to become effective on August 4, 2014.	DCO	<u>14-280R</u>
7/22/14	COMEX	Amendments to increase position limits for four (4)  Copper contracts.  Electronic Portal Number: 1407-2216-3253-80		<u>14-299</u> <u>APPENDIX A</u>
7/22/14	COMEX	Weekly Notification of Organizational Rules and Rule Amendments made effective during the week of July 7, 2014 Electronic Portal Number: 1407-2120-5744-28		<u>14-295</u>
7/22/14	COMEX	Weekly Notification of Product-Related Amendments to Rules made effective during the week of July 7, 2014 Electronic Portal Number: 1407-2120-5744-28		<u>14-296</u> EXHIBIT B
7/22/14	NYMEX	Weekly Notification of Organizational Rules and Rule Amendments made during the week of July 7, 2014 Electronic Portal Number: 1407-2120-4506-43		14-293
7/22/14	СВОТ	REVISED: Notification of rule amendments made effective during the week of July 7, 2014 Electronic Portal Number: 1407-2120-3623-56		<u>14-287</u>
7/21/14	СВОТ	Modifications to NYMEX Henry Hub Financial Trader Incentive Program. Electronic Portal Number: 1407-2119-2608-55		14-298
7/21/14	СВОТ	SUPPLEMENTAL FILING UNDER FOIA		14-2985
7/21/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA		14-297S
7/18/14	NYMEX	Revisions to contract month listing of six (6) Brent futures and options contracts.		<u>14-250</u>
7/18/14	CME/CBOT	Amendments to CME and CBOT Equity Index Futures Contracts.		<u>14-285</u>
7/18/14	CME DCO	Notification of Rule Amendments for the week of July 7, 2014 Electronic Portal Number: 1407-1816-4454-71		<u>14-292</u>
7/18/14	CME/CBOT/NYMEX/ COMEX	REVISED: Amendments to rules for liquidity risk management. Please note, 13-565A amends Submission Nos. 13- 565 (Adoption of New Rules for Liquidity Risk Management) Electronic Portal Number: 1407-1811-0804-70		<u>13-565AR</u>
7/18/14	CME SEF	Amendments to SEF Rule 803 to reflect recent expiration of CFTC No-Action Relief Electronic Portal Number: 1407-1810-1400-80		<u>14-273</u>
7/18/14	NYMEX	Weekly Notification of Product-Related Amendments to Rules made during the week of July 7, 2014 Electronic Portal Number: 1407-2120-5140-52		14-294
7/17/14	CME	Notification of rule amendments made effective during the week of July 7, 2014 Electronic Portal Number: 1407-1718-2339-86		<u>14-286</u>

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7/17/14	CME	Rule amendments to the delivery procedures for the Live Cattle futures contract (Rulebook Chapter 101, clearing and trading floor code: LC; CME Globex code: LE; CME ClearPort code: 48), proposed to be effective on Tuesday, September 2, 2014 Electronic Portal Number: 1407-1718-1255-62	<u>14-272</u>
7/17/14	СМЕ	Revisions to Live Cattle Rules to expand daily price limits in the expiring month contract during the final two (2) trading days  Electronic Portal Number: 1407-1716-1620-58	<u>14-109</u>
7/10/14	CME CLEARING	Notification of rule amendments made effective during the week of June 30, 2014.	<u>14-276</u>
7/9/14	COMEX	Notification of rule amendments that were made effective during the week of June 30, 2014.	<u>14-275</u> <u>EXHIBIT C</u>
7/3/14	CME CLEARING	Notification of rule amendments made effective during the week of June 23, 2014.	14-269
7/2/14	COMEX	Notification of rule amendments that were made effective during the week of June 23, 2014.	<u>14-267</u>
7/2/14	NYMEX	Notification of rule amendments that were made effective during the week of June 23, 2014.	<u>14-266</u> <u>EXHIBIT 2</u>
7/2/14	СВОТ	Notification of rule amendments that were made effective during the week of June 23, 2014.	<u>14-265</u>
7/2/14	CME	Notification of rule amendments that were made effective during the week of June 23, 2014.	<u>14-264</u>
7/1/14	COMEX	REVISED: Listing of Gold Weekly Option, Silver Weekly Option, and Copper Weekly Option contracts for submission for clearing through CME ClearPort.	<u>14-229R</u>
7/1/14	NYMEX	REVISED: Listing of Crude Oil Weekly Option and Natural Gas Weekly Option contracts for submission for clearing through CME ClearPort.	<u>14-228R</u>
6/27/14	CME/CBOT	Modifications to the Agricultural Futures Resting Order Program.	<u>14-258</u>
6/27/14	СВОТ	Modifications to the Euro Deliverable Swap Future Market Making Program.	<u>14-256</u>
6/27/14	СВОТ	SUPPLEMENTAL FILING UNDER FOIA	14-256\$
6/27/14	CME CLEARING	Notification of rule amendments made effective during the week of June 16, 2014.	<u>14-261</u>
6/27/14	СВОТ	REVISED: Amendments to the Oat Futures contract.	<u>14-248R</u>
6/26/14	СМЕ	Amendments to the delivery specifications and procedures for the Live Cattle futures contract.	14-208
6/26/14	CME/CBOT/NYMEX/ COMEX	Revision to Market Regulation Advisory Notice RA1311-5R ("RA1311-5R") concerning the appropriate method of entering execution time for EFRPs into CME ClearPort.	<u>14-251</u>
6/26/14	NYMEX	Notification of rule amendments that were made effective during the week of June 16, 2014.	14-253
6/26/14	NYMEX	Expansion of the contract month listing schedule of twenty-six (26) Asia BALMO futures contracts which are listed for trading on the NYMEX trading floor, CME Globex electronic trading platform and for submission for clearing through CME ClearPort.	14-148

6/23/14	СМЕ	Delisting of twelve (12) 10-Year Sovereign Yield Spread Futures contracts [CME Rulebook Chapter 502 ("10-Year Sovereign Yield Spread Futures")].	<u>14-236</u> <u>APPENDIX B</u>
6/20/14	CME CLEARING	Notification of rule amendments made effective during the week of June 9, 2014.	<u>14-252</u>
6/19/14	СМЕ	Supplemental: Contains information regarding addition of CME Globex trading and CME ClearPort Clearing venues to Soybean Board Crush Spread Options Contract.	<u>14-183S</u>
6/19/14	NYMEX	Weekly notification of rule amendments that were made effective during the week of June 9, 2014.	<u>14-246</u>
6/18/14	CME/CBOT/NYMEX/ COMEX	REVISED: Amendments to Rule 300.D.  ("Disqualification from Certain Committees and Governing Boards").	<u>14-247R</u>
6/17/14	СВОТ	Weekly notification of rule amendments that were made effective during the week of June 9, 2014.	<u>14-245</u>
6/16/14	CME	Modifications to CME's OTC IRS Fee Schedule.	<u>14-239</u>
6/16/14	CME CLEARING	Supplemental filing containing information in regard to additional exchange-traded fund as acceptable performance bond for Base guaranty fund Products.	<u>14-220S</u>
6/16/14	NYMEX	Delisting of the NYMEX U.S. Dollar/Colombian Peso futures contract.	<u>14-202</u> <u>APPENDIX B</u>
6/16/14	NYMEX/COMEX	Plans to implement Globex Core Metals Volume Incentive Program.	14-223
6/16/14	NYMEX/COMEX	SUPPLEMENTAL FILING UNDER FOIA	14-223S
6/13/14	CME/CBOT	Product title amendments for two DJ-UBS Commodity Index futures, and three DJ-UBS Commodity Index Cleared Swaps to reflect a change in the index calculation agent from DJ to Bloomberg.	<u>14-243</u> <u>APPENDIX B</u>
6/13/14	СМЕ	Self-certification of a CME Clearing Advisory Notice concerning performance bond collateral categorization changes.	<u>14-240</u>
6/13/14	CME CLEARING	Notification of rule amendments made effective during the week of June 2, 2014.	<u>14-242</u>
6/13/14	СМЕ	Addition of Clarifying Language to CME Rulebook Chapters for Annual, Semi-Annual, and Quarterly Great British Pound/U.S. Dollar Realized Variance Futures, Japanese Yen/U.S. Dollar Realized Variance Futures, Australian Dollar/U.S. Dollar Realized Variance Futures, and Euro/U.S. Dollar Realized Variance Futures Contracts.	<u>14-178</u>
6/11/14	NYMEX	Implementation of the Core Globex Energy Tiers Incentive Program.	<u>14-222</u>
6/11/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	14-222\$
6/11/14	NYMEX	Weekly notification of rule amendments that were made effective during the week of June 2, 2014.	14-238
6/11/14	СВОТ	Weekly notification of rule amendments that were made effective during the week of June 2, 2014.	14-237
6/11/14	NYMEX	REVISED: Implementation of the NYMEX Electronic Growth Transition Program.	<u>14-221R</u>
6/11/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	14-221SR

6/11/14	NYMEX	Modifications to the Cross-Venue Energy Products Incentive Program for High Volume Contributors.	<u>14-194</u>
6/11/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	14-194S
6/11/14	NYMEX	Modifications to the NYMEX Crude and Refined Futures Market Maker Program.	14-224
6/11/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	14-224S
6/10/14	СМЕ	Modifications to the Weather Futures Market Maker Program.	14-235
6/10/14	NYMEX	Modifications to the NYMEX Natural Gas and Power Incentive Program for Commercial Firms.	14-203
6/10/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	14-203S
6/10/14	NYMEX	Modifications to the NYMEX Crude and Refined Calendar Spread Options Incentive Program.	14-219
6/10/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	14-219S
6/10/14	NYMEX	Modifications to the Bi-Venue Energy Tiers Incentive Program.	14-207
6/10/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	14-207S
6/10/14	NYMEX	Modifications to the NYMEX Natural Gas and Power Incentive Program for Hedge Funds.	14-204
6/10/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	14-204\$
6/10/14	NYMEX	Modifications to the NYMEX Commercial Brent Spread Incentive Program.	14-198
6/10/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	14-198\$
6/10/14	NYMEX	Modifications to the Brent Futures and Inter- Commodity Spread Market Maker Program.	14-196
6/10/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	14-196S
6/10/14	CME	Additional exchange-traded fund as acceptable performance bond for Base guaranty fund Products.	14-220
6/9/14	NYMEX/COMEX	Modifications to the Combined Energy & Metals Globex Volume Incentive Program for High Volume Contributors.	14-189
6/9/14	NYMEX/COMEX	SUPPLEMENTAL FILING UNDER FOIA	14-1895
6/9/14	NYMEX/COMEX	Modifications to the Energy & Metals ETH & Back Month Globex Volume Incentive Program for High Volume Contributors.	14-187
6/9/14	NYMEX/COMEX	SUPPLEMENTAL FILING UNDER FOIA	14-1875
6/9/14	NYMEX	Modifications to the NYMEX Brent Liquidity Provider Program.	14-197
6/9/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	14-1975

6/9/14	NYMEX	Modifications to the Energy Natural Gas Cross- Venue Incentive Program for High Volume Participants.	14-209
6/9/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	14-209S
6/6/14	NYMEX	Modifications to the NYMEX OTC Broker Rebate Incentive Program.	14-193
6/6/14	NYMEX	Modifications to the NYMEX OTC Broker Incentive Program.	14-192
6/6/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	14-192S
6/6/14	NYMEX	Modifications to the NYMEX OTC Broker Incentive Program.	14-191
6/6/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	14-191\$
6/6/14	CME CLEARING	Weekly notification of rule amendments made effective during the week of May 26, 2014.	<u>14-234</u>
6/6/14	NYMEX	Modifications to the Brent - DME Oman Spread Market Maker Program.	14-199
6/6/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	14-199\$
6/6/14	NYMEX	Extension of the NYMEX Brent Crude Oil Last Day Financial Futures Fee Waiver Program.	<u>14-200</u>
6/6/14	NYMEX	Modifications to the NYMEX European and Asian Petroleum Products Incentive Program.	14-213
6/6/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	14-213S
6/6/14	NYMEX	Expansion of listing schedule for the Australian Coking Coal (Platts) Low Volume Futures contract.	14-233
6/6/14	NYMEX	Extension of the NYMEX European Carbon Products Globex Incentive Program.	14-211
6/6/14	NYMEX/COMEX	Extension of the Volume Incentive Program for NYMEX and COMEX Metals Products.	14-210
6/6/14	СВОТ	SUPPLEMENTAL FILING UNDER FOIA	14-210S
6/6/14	NYMEX	Modifications to the NYMEX European Coal and Dry Freight Incentive Program	14-206
6/6/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	14-206S
6/6/14	NYMEX	Amendments to ninety-one (91) Electricity futures contracts.	14-177
6/5/14	СМЕ	Listing of six (6) American-style weekly options on the Australian Dollar/U.S. Dollar, Canadian Dollar/U.S. Dollar, British Pound Sterling/U.S. Dollar, Euro/U.S. Dollar, Japanese Yen/U.S. Dollar, and Swiss Franc/U.S. Dollar futures contracts.	14-227
6/5/14	NYMEX	Modifications to the NYMEX Energy Products Resting Order Incentive Program.	<u>14-188</u>
6/5/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	14-1885

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6/5/14	COMEX	Weekly notification of rule amendments made effective during the week of May 26, 2014.	<u>14-231</u> <u>EXHIBIT C</u>
6/5/14	СМЕ	Weekly notification of rule amendments made effective during the week of May 26, 2014.	14-230
6/5/14	СМЕ	Implementation of the S&P 500 Floor Broker Incentive Program.	14-214
6/5/14	СМЕ	Extension of the CME OTC FX Fee Waiver Program.	14-216
6/5/14	NYMEX	Extension of the NYMEX International Firm Volume Incentive Program.	14-186
6/5/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	14-186S
6/5/14	NYMEX	Extension of the Volume Incentive Program for NYMEX Energy Products.	14-185
6/5/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	14-185\$
6/4/14	СВОТ	Amendments to three (3) CBOT inter-commodity spread options, one of which has minimal open interest.	<u>14-201R</u>
6/4/14	NYMEX	Implementation of the Gulf Coast Refined Products Incentive Program.	<u>14-225</u>
6/4/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	14-225\$
6/4/14	NYMEX	Modifications to the listed fees for certain North American crude and refined futures products.	14-226 EXHIBIT 1
6/4/14	СМЕ	Withdrawal of CME Submission No. 14-165	<u>14-165W</u>
5/30/14	СВОТ	Amendments to Soybean Board Crush Spread Options with open interest.	14-183
5/30/14	NYMEX/COMEX	Weekly notification of rule amendments that were made effective during the week of May 19, 2014.	<u>14-205</u> <u>EXHIBIT B</u>
5/30/14	CME/CBOT	Amendments for correction of errata in the CME and CBOT rulebooks relating to Equity Index futures and options on Equity Index futures.	<u>14-190</u> <u>APPENDIX B</u>
5/30/14	CME/CBOT	Extension of OTC interest rate swaps denominated in MXN to 21 years.	<u>14-215</u>
5/30/14	СМЕ	Amendments to five (5) CME Cleared OTC Foreign Exchange Spot, Forwards and Swaps Contracts.	14-175
5/30/14	CME	Amendments to CME Globex trading hours for Random Length Lumber futures and options contracts.	14-174
5/30/14	CME CLEARING	Weekly notification of rule amendments made effective during the week of May 19, 2014.	14-212
5/28/14	СВОТ	Implementation of USD Deliverable Swap Futures Volume Incentive Program.	14-154
5/28/14	СВОТ	SUPPLEMENTAL FILING UNDER FOIA	14-154\$
5/28/14	NYMEX/COMEX	REVISED: Implementation of a new fee schedule that supports the CME Direct Agency Broker Cross transaction type.	<u>14-163R</u> <u>EXHIBIT 1</u>

5/23/14	СВОТ	Non-Material Agricultural Rule Change: Amendments to Options on Intercommodity Wheat Futures Spreads.	<u>14-201R</u>
5/23/14	CME CLEARING	Notification of rule amendments made effective during the week of May 12, 2014.	14-195
5/22/14	CME/CBOT/NYMEX/ COMEX	Revisions to NYMEX and COMEX Rule 539 and the issuance of CME Market Regulation Advisory Notice RA1403-5.	<u>14-184</u> <u>EXHIBIT B</u>
5/19/14	NYMEX	Delisting of nineteen (19) petroleum and refined futures and options contracts.	<u>14-168</u> <u>APPENDIX A</u>
5/16/14	CME CLEARING	Notification of rule amendments made effective during the week of May 5, 2014.	<u>14-179</u>
5/15/14	CME/NYMEX/ COMEX	Implementation of the Cross-Product Option Market Maker Program.	<u>14-176</u>
5/15/14	NYMEX	Modifications to the NYMEX Natural Gas Basis Market Maker Program.	<u>14-169</u>
5/15/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	14-169\$
5/15/14	NYMEX	Implementation of the Non-Core Crude and Refined Resting Volume Incentive Program.	14-172
5/15/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	14-172S
5/15/14	NYMEX	Implementation of the Non-Core Crude and Refined Liquidity Provider Program.	14-171
5/15/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	14-171S
5/15/14	NYMEX/COMEX	Weekly notification of rule amendments that were made effective during the week of May 5, 2014.	<u>14-170</u> <u>EXHIBIT B</u>
5/15/14	NYMEX	Modifications to the NYMEX European and Asian Fuel Oil Broker Incentive Program	<u>14-159</u>
5/15/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	14-159\$
5/15/14	СМЕ	Modifications to the E-mini S&P Select Sector Index Futures Market Maker Program.	14-146
5/15/14	СМЕ	SUPPLEMENTAL FILING UNDER FOIA	14-146S
5/15/14	СМЕ	Modifications to the Globex Give Up Fee Waiver Program for CME Short-Term Interest Rate (STIR) Options.	14-158
5/15/14	СВОТ	Implementation of the Fed Fund Options Floor Broker Pool Program.	<u>14-152</u>
5/13/14	NYMEX	Modifications to the NYMEX Natural Gas Basis ClearPort Fee Discount Program.	14-160
5/12/14	CME/CBOT/NYMEX	Modifications to the New Trader Incentive Program.	14-080
5/8/14	NYMEX/COMEX	Weekly notification of rule amendments that were made effective during the week of April 28, 2014.	14-164 <u>EXHIBIT D</u> <u>EXHIBIT F</u>
5/8/14	СВОТ	Weekly notification of rule amendments that were made during the week of April 28, 2014.	14-162

5/8/14	СМЕ	Weekly notification of rule amendments that were made effective during the week of April 28, 2014.	<u>14-161</u>
5/5/14	СМЕ	New rule to allow for compression of IRS Contracts by coupon blending.	14-157
5/2/14	СВОТ	Amendments to variable price limits for grain and oilseed futures contracts.	14-153
5/2/14	СВОТ	Delisting CBOT Euro Interest Rate Swap (IRS) futures contracts from the CBOT trading floor venue.	<u>14-151</u>
5/2/14	CME CLEARING	Notification of rule amendments made effective during the week of April 21, 2014.	14-155
5/2/14	NYMEX	Increasing position limits and accountability levels for eighteen (18) natural gas contracts.	<u>14-144</u> <u>APPENDIX A</u>
5/1/14	COMEX	Initial listing of a physically-delivered aluminum futures contract.	<u>14-138</u> <u>APPENDIX B</u>
5/1/14	NYMEX	Amendments to the exercise process for four (4)  NYMEX coal option contracts.	14-057
4/30/14	СВОТ	Listing of weekly and end of month options on E- mini Dow Jones Industrial Average Index (\$5 Multiplier) and weekly and end of month options on Dow Jones Industrial Average Index (\$10 Multiplier) futures contracts.	<u>14-081</u> <u>APPENDIX D</u>
4/30/14	CME/CBOT	Implementation of various block trade revisions and the issuance of CME & CBOT Market Regulation Advisory Notice RA1404-3.	14-145
4/29/14	СВОТ	Weekly notification of rule amendments that were made effective during the week of April 21, 2014.	14-150
4/28/14	NYMEX	REVISED: Implementation of the LN & OS Options  Market Maker Program.	<u>14-133R</u>
4/25/14	CME SEF	Extension of the fee waiver for energy and metals cleared swaps.	<u>14-132</u>
4/25/14	CME CLEARING	Notification of rule amendments made effective during the week of April 14, 2014.	<u>14-149</u>
4/24/14	NYMEX	REVISED: Modifications to the Energy Options RFQ Incentive Program.	<u>14-131R</u>
4/24/14	СВОТ	Weekly notification of rule amendments that were made effective during the week of April 14, 2014.	14-147
4/21/14	NYMEX/COMEX	Revisions to NYMEX/COMEX Rulebook Chapter 7.	<u>14-140</u> <u>EXHIBIT A</u>
4/21/14	COMEX	Implementation of the Aluminum Futures Volume Incentive Program.	14-142
4/21/14	COMEX	SUPPLEMENTAL FILING UNDER FOIA	14-142S
4/21/14	COMEX	Implementation of the Aluminum Futures Market Maker Program.	<u>14-141</u>
4/21/14	COMEX	SUPPLEMENTAL FILING UNDER FOIA	14-1415
4/21/14	NYMEX/COMEX	Issuance of Market Regulation Advisory Notice RA1404-4 concerning the establishment of a block trade minimum threshold in one (1) new product.	14-139
4/17/14	CME CLEARING	Notification of rule amendments made effective during the week of April 7, 2014.	<u>14-143</u>

4/17/14	NYMEX	Notification of rule amendments that were made effective during the week of April 7, 2014.	<u>14-137</u>
4/17/14	COMEX	Notification of rule amendments that were made effective during the week of April 7, 2014.	<u>14-136</u> <u>EXHIBIT C</u>
4/17/14	СМЕ	Notification of rule amendments that were made effective during the week of April 7, 2014.	<u>14-135</u>
4/17/14	СВОТ	Notification of rule amendments that were made effective during the week of April 7, 2014.	14-134
4/17/14	NYMEX	Amendments to the Floating Price Reference for the Gasoil 0.1 Cargoes CIF Med (Platts) vs. Gasoil futures contract.	<u>14-107</u>
4/17/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	14-133S
4/15/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	14-131S
4/14/14	СМЕ	Issuance of CME Group Special Executive Report S- 7074 concerning the contract month rollover date in CME GSCI™ Commodity Index futures.	<u>14-130</u>
4/14/14	СМЕ	Delisting of 1-month and 3-month Euro/U.S. Dollar Realized Volatility futures contracts.	<u>14-111</u> <u>APPENDIX B</u>
4/14/14	NYMEX	Delisting of six (6) PJM electricity futures contracts.	<u>14-087</u> <u>APPENDIX A</u>
4/11/14	СМЕ	Changes to the log shocks of the margin model for OTC IRS.	<u>14-129</u>
4/11/14	СМЕ	SUPPLEMENTAL FILING UNDER FOIA	14-129S
4/11/14	CME CLEARING	Notification of rule amendments made effective during the week of March 31, 2014.	<u>14-128</u>
4/11/14	NYMEX	REVISED: Listing of Crude Oil Weekly Option and Natural Gas Weekly Option contracts for trading	<u>14-088R</u> <u>APPENDIX B</u>
4/11/14	NYMEX/COMEX	Issuance of Market Regulation Advisory Notice RA1403-4 concerning the establishment of a block trade minimum threshold in three (3) new products.	<u>14-124</u>
4/11/14	NYMEX	Listing of two (2) Dubai crude oil futures contracts.	<u>14-078</u> <u>APPENDIX B</u>
4/11/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	14-078S
4/11/14	NYMEX	Initial listing of one (1) crude oil crack spread futures contract.	<u>14-117</u> <u>APPENDIX B</u>
4/11/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	14-117S
4/10/14	CME/CBOT/NYMEX/ COMEX	Issuance of Market Regulation Advisory Notice RA1402-5 concerning changes to the deadline for Position Change Submission adjustments.	<u>14-123</u>
4/9/14	COMEX	Weekly notification of rule amendments that were made effective during the week of March 31, 2014.	<u>14-126</u>
4/9/14	NYMEX	Weekly notification of rule amendments that were made effective during the week of March 31, 2014.	14-125
4/4/14	СМЕ	REVISED: Listing of twelve (12) futures and options on futures contracts for the following indexes - CME Hurricane Index (CHI) Seasonal, CME Hurricane Index (CHI) Seasonal Maximum, CME Hurricane	14-074RR APPENDIX B APPENDIX C

	1	I	
		Index (CHI) Seasonal Cat-In-A-Box, CME Hurricane Index (CHI) Seasonal Maximum Cat-In-A-Box, CME Hurricane Index (CHI) Second Event Seasonal Maximum and Second Event Seasonal Maximum Cat-In-A-Box	
4/4/14	CME CLEARING	Notification of rule amendments made effective during the week of March 24, 2014.	14-122
4/4/14	СМЕ	Issuance of CME Market Regulation Advisory Notice RA1401-2 concerning the registration and identification of broker associations.	14-120
4/3/14	CME	Withdrawal of CME Submission No. 14-106 (S&P 500 Floor Broker Incentive Program).	<u>14-106W</u>
4/3/14	COMEX	Weekly notification of rule amendments that were made effective during the week of March 24, 2014.	14-118
4/2/14	СМЕ/СВОТ	REVISED: Establishment of a block trade minimum threshold in four (4) new products and issuance of CME & CBOT Market Regulation Advisory Notice RA1403-3.	<u>14-110R</u>
4/1/14	CME/CBOT/NYMEX/ COMEX	Revisions to CME/CBOT/NYMEX/COMEX Rule 536.D.	<u>14-101</u>
3/31/14	CME/CBOT	Withdrawal of CME/CBOT Submission No. 14-104R (REVISED – Establishment of Block Trade Minimum Thresholds in Four (4) New Products and Issuance of CME & CBOT Market Regulation Advisory Notice RA1402-3).	<u>14-104RW</u>
3/31/14	СМЕ	Modification to the manner in which S&P GSCI Commodity Index futures daily settlement prices are determined.	14-099
3/28/14	СМЕ	REVISED: Amendments to position limits and position accountability levels of Cleared Over-the-Counter Foreign Exchange Spot, Forward and Swap Contracts.	<u>14-103R</u> <u>APPENDIX A</u>
3/28/14	СМЕ	Self-certification of two (2) CME Clearing Advisory Notices concerning acceptable collateral for guaranty fund deposits and the categorization of performance bond collateral.	14-114
3/28/14	NYMEX/COMEX	Establishment of a block trade minimum threshold in five (5) new products and issuance of NYMEX & COMEX Market Regulation Advisory Notice RA1402-4.	<u>14-105</u>
3/28/14	COMEX	Listing of Gold Weekly Option, Silver Weekly Option, and Copper Weekly Option contracts for trading	<u>14-098</u> <u>APPENDIX B</u>
3/28/14	CME/CBOT/NYMEX	REVISED: Application of excess defaulting clearing member assets in crossover default scenarios; and harmonization of Defaulted Base Clearing Member Collateral definition.	<u>14-097R</u>
3/28/14	CME CLEARING	Clearing house notification of rule amendments made effective during the week of March 17, 2014.	<u>14-115</u>
3/28/14	NYMEX	Expansion of the contract month listing schedule of the Coal (API 8) cfr South China (Argus/McCloskey)  Futures contract.	<u>14-063</u>
3/28/14	NYMEX	Amendments to NYMEX Rule 712 ("Prices and Fluctuations") of the European Gasoil (100mt) Bullet Futures contract.	14-054
3/27/14	CME/CBOT/NYMEX/ COMEX	Withdrawal of CME/CBOT/NYMEX Submission Nos. 13-565 (Adoption of new rules for liquidity risk management) and 13-565R (REVISED – Adoption of new rules for liquidity risk management).	<u>13-565W</u>
3/27/14	СМЕ	Amendments to Euro/British Pound Sterling (EUR/GBP) Cross Rate Futures, Euro/Swiss Franc (EUR/CHF) Cross Rate Futures, and British Pound	14-112

		Sterling/Swiss Franc (GBP/CHF) Cross Rate Futures contracts to amend the final settlement price procedures	
3/26/14	NYMEX	Weekly notification of rule amendments that were made effective during the week of March 17, 2014.	<u>14-113</u>
3/24/14	СВОТ	REVISED: Listing of Mini-Sized KC HRW Wheat Futures.	<u>14-094R</u> <u>APPENDIX G</u>
3/21/14	СМЕ	Amendments to the terms and conditions of CME Three-Month Eurodollar futures and CME One- Month Eurodollar futures.	<u>14-090</u>
3/21/14	CME/CBOT/NYMEX/ COMEX	Revisions to CME/CBOT/NYMEX/COMEX Rule 854.	<u>14-100</u>
3/21/14	CME CLEARING	Notification of rule amendments made effective during the week of March 10, 2014.	<u>14-108</u>
3/17/14	NYMEX	Implementation of the Energy Options Market Maker Program.	<u>14-093</u>
2/17/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	14-093\$
3/17/14	СМЕ	Withdrawal of CME Submission No. 14-089 (S&P 500 Floor Broker Incentive Program).	<u>14-089W</u>
3/17/14	NYMEX	Implementation of the NYMEX Globex Give-Up Incentive Program.	<u>14-085</u>
2/17/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	14-085S
3/17/14	СМЕ	Delisting of CME E-Mini Three-Month Eurodollar futures contract.	<u>14-086</u> <u>APPENDIX 2</u>
3/16/14	NYMEX	Amendments to the final settlement for two (2) Chicago Unleaded Gasoline (Platts) Futures contracts	<u>14-095</u> <u>APPENDIX C</u>
3/14/14	СМЕ	REVISED Amendments to CME Rule 281H.02.A. of the Cleared OTC U.S. Dollar/Indonesian Rupiah (USD/IDR) Spot, Forwards and Swaps contracts, effective on Thursday, March 27, 2014 for trade date Friday, March 28, 2014.	<u>14-091R</u>
3/14/14	CME CLEARING	Notification of rule amendments made effective during the week of March 3, 2014.	<u>14-096</u>
3/13/14	СМЕ	Notification of rule amendments that were made effective during the week of March 3, 2014.	14-092
3/12/14	СМЕ	Acceptance of CDX North American Investment Grade Index Series 22 ("CDX IG 22") and CDX North American High Yield Index Series 22 ("CDX HY 22") for clearing.  Additionally, CME is hereby notifying the Commission of the removal from the list of accepted	14-066
		CDX Indices, certain products whose termination dates have passed.	
3/12/14	СМЕ	Rule changes clarifying the obligations of CME's clearing division ("CME Clearing") for physical deliveries.	14-077
3/12/14	CME/CBOT	Modifications to the E-mini Equity Index Futures  Market Maker Program.	14-084
3/12/14	СМЕ	REVISED: Implementation of the Nikkei 225 Yen- Based Market Maker Program.	<u>14-069R</u>

3/11/14	CME	implementation of the Mexican Peso (MXN) Over- the-Counter (OTC) Interest Rate Swaps (IRS) House Fee Waiver Program.	<u>14-082</u>
3/7/14	СМЕ	Listing of Options on Yen Denominated Nikkei Stock Average futures contract	<u>14-068</u> <u>APPENDIX B</u>
3/7/14	СМЕ	Expansion of listing cycle for Onshore Chinese Renminbi/U.S. Dollar (RMB/USD) futures contract	14-036
3/7/14	СМЕ	Amendments to termination of trading rules for Onshore Chinese Renminbi/Euro Futures and Onshore Chinese Renminbi/Japanese Yen futures contracts.	<u>14-040</u>
3/7/14	СМЕ	Amendments to listing schedule and increase in minimum number of out-of-the-money strike prices for weekly options on NASDAQ 100 Index and weekly options on E-mini NASDAQ 100 Index Futures contracts.	<u>14-065</u>
3/7/14	CME CLEARING	Notification of rule amendments made effective during the week of February 24, 2014.	14-083
3/4/14	CME/CBOT/NYMEX	Revisions to Rule 980.F	14-023
3/4/14	NYMEX	Weekly notification of rule amendments that were made effective during the week of January 13, 2014.	<u>14-026</u>
3/3/14	COMEX	Decreasing position limits for four (4) Copper contracts.	<u>14-073</u> <u>APPENDIX A</u>
3/3/14	СМЕ	Delisting of SGI WISE US Top Portfolio Index futures, SGI WISE US Bottom Portfolio Index futures, and SGI WISE US Long/Short Portfolio Index futures contracts.	<u>14-061</u>
2/28/14	CME/CBOT	Revisions to Daily and Final Settlement Procedures Documents.	<u>14-075</u>
2/28/14	СМЕ	REVISED: Amendments to the delivery specifications and procedures for the Live Cattle Futures contract.	<u>14-071R</u>
2/28/14	СМЕ	Amendments to the CBOT Rulebook to implement variable price limits for grain and oilseeds futures and to eliminate price limits on grain and oilseeds options.	14-062
2/28/14	СМЕ	Amendments to the delivery specifications and procedures for the Live Cattle Futures contract	<u>14-071</u>
2/28/14	CME CLEARING	Notification of rule amendments made effective during the week of February 17, 2014.	<u>14-079</u>
2/28/14	СВОТ	Amendments to the CBOT Dow Jones-UBS Commodity Index Futures and Dow Jones-UBS Roll Select Commodity Index Futures contracts	14-070
2/28/14	CME/CBOT/NYMEX/ COMEX	REVISED: Amendments to CME/CBOT/NYMEX/COMEX Rules 770, 853, and 854.	<u>14-028R</u>
2/12/14	CME/CBOT/NYMEX	REVISED: Adoption of Rule 815 ("Third Party Execution Platforms for Swaps")	<u>14-060R</u>
2/21/14	СВОТ	Listing of 2-Year, 5-Year, and 10-Year Euro Interest Rate Swap Futures	14-051 APPENDIX 2
2/21/14	СВОТ	Implementation of the Euro Deliverable Swap Future Market Making Program.	<u>14-067</u>
2/21/14	СВОТ	SUPPLEMENTAL FILING UNDER FOIA	14-067S

2/21/14	CME CLEARING	Notification of rule amendments made effective during the week of February 10, 2014.	<u>14-072</u>
2/20/14	СВОТ	Amendments to 67 CBOT product chapters in connection with CFTC core principle 7 ("Availability of General Information") compliance.  THIRD REVISION: Modified eleven of the original 67 product chapters to continue to include information regarding "Limit on Holdings of Registered and Outstanding Shipping Certificates."	<u>14-015RRR</u>
2/14/14	NYMEX	REVISED: Modifications to Crude and Refined Futures Market Maker Program.	<u>14-047R</u>
2/14/14	CME CLEARING	Rule amendments made effective during the week of February 3, 2014.	14-064
2/13/14	СВОТ	Modifications to KC HRW Wheat Incentive Program.	<u>14-056</u>
2/13/14	NYMEX	Listing of eight (8) new Middle East refined product futures contracts.	<u>14-059</u> <u>APPENDIX B</u>
2/13/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	14-059\$
2/13/14	NYMEX/COMEX	Establishment of a block trade minimum threshold in eight (8) new NYMEX products and the issuance of NYMEX & COMEX Market Regulation Advisory Notice RA1401-4.	14-053
2/13/14	CME	Rule amendments that were made effective during the week of February 3, 2014.	<u>14-058</u>
2/10/14	NYMEX	Modifications to the NYMEX Crude and Refined Calendar Spread Options Incentive Program.	<u>14-041</u>
2/10/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	14-041S
2/10/14	CME/CBOT/NYMEX/ COMEX	Revisions to CME/CBOT/NYMEX/COMEX Rule 508.	14-027
2/7/14	СВОТ	Revisions to CBOT Treasury futures daily and final settlement price documents available on the CME Group website.	14-046
2/7/14	CME	Extension of the Weather Futures Market Maker Program.	<u>14-052</u>
2/7/14	CME CLEARING	Clearing house division notification of rule amendments made effective during the week of January 27, 2014.	14-055
2/6/14	CME	Notification of rule amendments made effective during the week of January 27, 2014.	14-050
2/6/14	NYMEX	Notification of rule amendments made effective during the week of January 27, 2014.	14-049
2/6/14	СВОТ	Notification of rule amendments made effective during the week of January 27, 2014.	14-048
2/6/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	14-047S
2/5/14	CME/CBOT/NYMEX/ COMEX	Adoption of Rule 537 ("Trade Data Submitted to the Exchange")	14-030
2/3/14	СМЕ	Amendments to CME Rule 704 and the addition of an Appendix to CME Rule Chapter 7.	14-045

1/31/14	CME CLEARING	Notification of rule amendments made effective during the week of January 20, 2014.	<u>14-044</u>
1/31/14	CME	Withdrawal of Submission No. 14-029	<u>14-029W</u>
1/30/14	СМЕ	Amendments to CME Rule 106.I. ("Affiliate Member Firm") and CME Rule 106.J. ("Equity Member Firm") regarding required registration for Qualified Affiliates and Subsidiaries.	<u>14-037</u>
1/30/14	СВОТ	Notification of rule amendments that were made effective during the week of January 20, 2014	14-042
1/29/14	CME/CBOT/NYMEX/ COMEX	Modifications to the Central Bank Incentive Program	14-038
1/27/14	CME	Delisting of twelve (12) CME hurricane contracts.	<u>14-035</u> <u>APPENDIX A</u>
1/24/14	NYMEX	Amendments to Rule 704.B to implement an approval process for proposed storage or handling fee changes by a regular facility, effective on Monday, February 10, 2014.	<u>14-034</u> <u>EXHIBIT B</u>
1/24/14	СВОТ	Amendments to rules governing CBOT Standard Options on U.S. Treasury Note and Bond Futures contracts.	14-032
1/24/14	CME CLEARING	REVISED: Notifications of rule amendments made during the week of January 13, 2014.	<u>14-033R</u>
1/22/14	СВОТ	Listing of KC HRW Wheat Calendar Spread Options.	<u>14-031</u> <u>APPENDIX D</u>
1/22/14	NYMEX	REVISED: Declaration of Force Majeure pursuant to NYMEX Rule 7B01 (Declarations of Force Majeure) with respect to the Union Registry's announcement of a closure on Jan 29, 2014.	<u>14-022R</u>
1/21/14	NYMEX	Delisting of two (2) NYMEX heating oil futures contracts.	<u>14-025</u> <u>APPENDIX A</u>
1/17/14	NYMEX	Delisting certain contract days for Daily European Union Allowance (EUA) futures as result of UK Registry closure on Jan 29, 2014.	14-021
1/17/14	NYMEX	Modifications to the Crude and Refined Futures Market Maker Program.	<u>14-008</u>
1/17/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	14-008S
1/17/14	CME CLEARING	Notification of clearing house division rule amendments made effective during the week of January 6, 2014.	14-024
1/16/14	NYMEX	Modifications to the Brent Futures and Inter- Commodity Spread Market Maker Program.	<u>14-019</u>
1/16/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	14-019\$
1/15/14	NYMEX	Amendments to the product titles and floating price rules for two (2) group three unleaded gasoline (Platts) futures contracts	<u>14-020</u> <u>APPENDIX C</u>
1/15/14	NYMEX	Amendments to increase position limits and accountability levels for 177 existing NYMEX electricity futures and option contracts	<u>14-017</u> <u>APPENDIX A</u>
1/15/14	COMEX	Notification of rule amendments that were made effective during the week of January 6, 2014.	14-016
1/15/14	СВОТ	Notification of rule amendments that were made effective during the week of January 6, 2014.	14-014

1/15/14	CME	Notification of rule amendments that were made effective during the week of January 6, 2014.	<u>14-013</u>
1/15/14	NYMEX	Notification of rule amendments that were made effective during the week of January 6, 2014.	14-012 EXHIBIT 4
1/15/14	CME SEF	Amendments to Swap Execution Facility ("SEF") Division rules in compliance with recent guidance and no-action relief issued by divisions within the CFTC.	14-018
1/10/14	NYMEX	Modifications to 2014 NYMEX Natural Gas Options Incentive Program.	14-004
1/10/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	14-004S
1/10/14	NYMEX	Modifications to 2014 NYMEX Crude and Refined Options Incentive Program.	14-003
1/10/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	14-003S
1/10/14	CME CLEARING	Notification of rule amendments made effective during the week of December 30, 2013.	14-009
1/10/14	СМЕ	Modifications to the fee schedule for Over-the- Counter ("OTC") Credit Default Swap ("CDS") Clearing.	14-010
1/9/14	СВОТ	Weekly notification of rule amendments that were made effective during the week of December 30, 2013.	<u>14-005</u> <u>APPENDIX A</u>
1/9/14	СМЕ	Amendments to the listing and fee schedules for the S&P GSCI-ER Index futures contract.	14-007
1/8/14	NYMEX/COMEX	Modifications to the Cross-Venue Energy and Metals Products Incentive Program for High Volume Contributors.	14-006
1/3/14	CME CLEARING	Notification of rule amendments made effective during the week of December 23, 2013.	<u>14-002</u>
1/2/14	NYMEX	Amendments to the floating price and final settlement rules for eight (8) western electricity futures contracts based on the Mid-Columbia and Palo Verde trading points	14-001 APPENDIX B
1/2/14	СМЕ	Weekly notification of rule amendments that were made effective during the week of December 23, 2013.	<u>13-592</u>
1/2/13	CME	Revisions to CME Rule 8G802.B.2 (IRS Product Limited Recourse).	<u>13-590</u>