

CME GROUP MARKET DATA NON-PROFESSIONAL SUBSCRIBER

FREQUENTLY ASKED QUESTIONS

ELIGIBILITY

- 1. What are the criteria to qualify as a Non-Professional Subscriber?**

The criteria to qualify as a Non-Professional Subscriber are outlined in the [Non-Professional Subscriber Certification Form](#).
- 2. What is the definition of “small business entity?”**

Small business entity is not a defined term. The limiting factor is found in point “H” on the Non-Professional Self-Certification Form.
- 3. I am a user of market data at my firm. Can I qualify as a Non-Professional Subscriber?**

The qualification and criteria for Non-Professional status are done at the Subscriber, not user level. If you are one of any number of users at a firm, your firm is the Subscriber. Subscribers may be individual users if they have executed the Subscriber Agreement for their own use with the data provider.
- 4. When are Non-Professional Subscriber fees effective?**

Fees for Non-Professional Subscribers who are grandfathered (waived of fees until December 31, 2014), are effective from January 1, 2015. Non-Professional Subscriber fees for new users as of March 1, 2014 are effective immediately.
- 5. How can I qualify for the Non-Professional rate?**

If the Subscriber meets the criteria for a Non-Professional Subscriber, the Non-Professional Subscriber Certification Form should be completed with each data provider.
- 6. Are Non-Professional Subscriber fees applicable, if I meet some, but not all of the criteria?**

No, all criteria must be met to qualify for the Non-Professional Subscriber fees.
- 7. If a Non-Professional Subscriber's status changes, after meeting and qualifying under all the criteria, what should be done?**

The Subscriber should notify their data provider if a change occurs that would disqualify them from Non-Professional status. Professional fees would then apply.
- 8. Whose responsibility is it to ensure that the Subscriber meets Non-Professional status?**

It is both the Distributor's and the Subscriber's responsibility to make sure that the eligibility requirements for Non-Professional status and fees are met.
- 9. Why are Non-Professional Subscriber fees limited to only execution platforms?**

Real time fees have always applied to display platforms (those with no execution capabilities, but with viewable data). There has been no change to this policy/fee structure.
- 10. What if a Subscriber has more than two execution platforms, across multiple Distributors?**

If a Subscriber has more than two access points to market data on trading terminals/execution platforms per Distributor they are considered a Professional Subscriber with each Distributor. If the same Subscriber instead has no more than two access points to market data on trading terminals/execution platforms per Distributor, they may qualify as a Professional with one Distributor, and Non-Professional with the other Distributor, based on the rest of the criteria. Each Distributor qualifies their Subscribers as Professional or Non-Professional. Standard Unit of Count policies apply.
- 11. I am an individual member; I hold a seat on the Exchange/ have Membership, am I a Professional or Non-Professional Subscriber?**

If you hold a seat on the Exchange/ have membership, you are considered a Professional Subscriber. Part of the Non-Professional criteria states that the Subscriber must NOT hold or lease any type of membership.
- 12. SEC and CFTC are US concepts. I am based in Europe; where do I qualify/what other boards confirm my eligibility?**

If you are registered in any country with a corresponding governing agency, you are considered a Professional Subscriber.
- 13. Is Dubai Mercantile Exchange (DME) data included in the bundled Non-Professional Subscriber fees (Top of Book and/ or Market Depth)?**

No, DME Information is not included in the bundled Non-Professional Subscriber Fees. The bundled fees include CME, CBOT, NYMEX and COMEX. Please refer to the Schedule 5 (Fee Schedule) of the MDLA.

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