



Platforms Connected to CME Clearing QUALIFICATION STANDARDS & APPLICATION FORM

Submit Complete form to OTCConnectivity@cmegroup.com

Overview

Platforms connected to CME Clearing provide or host direct connectivity to CME Group for the efficient clearing of OTC derivative transactions.

Additionally, some of the platforms provide efficient, integrated access to related CME Group products and services, such as futures markets and related analytic tools.

Minimum Requirements

- Must host and facilitate affirmation, matching or execution of OTC derivatives in one or more of the asset classes for which CME group provides OTC clearing services
- Must provide direct connectivity to CME ClearPort or enable access through a third party vendor for CME OTC Clearing in at least one asset class

1) Contact Information

Please provide information in the spaces provided:

Date Submitted (MM/DD/YY):

Contact Name:

Contact Email:

Contact Phone:

Firm Name (as you want it to appear):

Website URL to direct viewers:

Please post this contact information on cmegroup.com

2) Overview

Please provide a brief description of your services (max: 100 characters)

3) OTC Submission

Please indicate what type(s) of connectivity you provide and have been certified for, and indicate the asset classes in which certified connectivity to CME ClearPort has been established for clearing OTC transactions executed via the platform.

Direct Connectivity

Interest Rates
Energy
Credit Default Swaps

Foreign Exchange
Agriculture

Connected via 3rd Party Vendor

Interest Rates
Energy
Credit Default Swaps

Foreign Exchange
Agriculture

4) Form of Execution

Please indicate form of execution.

Affirmation Confirmation CLOB RFS RFQ Other

By submitting this form, you are granting permission for CME Group to contact your firm via email regarding products, services, events and resources related to the OTC trading.

5) Please indicate if your platform offers anonymous execution.

Yes No N/A

6) Please indicate if your firm offers allocations of block transactions.

Yes No

7) Please indicate if your platform supports submission to CME Swap Data Repository.

Yes No

8) Access to CME Group Listed Markets

Please indicate the specific products for which your platform provides access:

Interest Rates Treasury Futures Eurodollar Futures Other

Energy

Equity Indices

Foreign Exchange

Agriculture

Please indicate which forms of integrated access are provided for allowing users to transact in CME Group listed markets:

Certified Globex Access & Connectivity

Ex-pit submission of futures via ClearPort

Outright trading

Futures blocks

Spreading vs. OTC products

EFPs

Auto hedging of OTC products

9) Integrated Analytics

Please indicate and describe the types of integrated analytics provided in support of CME Group cleared and listed markets:

Relative value assessment: Does your platform provide the ability to assess the comparative value of OTC instruments and CME Group listed products? Please describe.

Hedge construction: Does your platform provide the ability to calculate hedges for OTC transactions using CME Group listed products? Please describe.

Other:

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