



**Rule 106.J.**

**Equity Member Firm**

CBOT   NYMEX   COMEX

**Division** \_\_\_\_\_ **Membership Number** \_\_\_\_\_

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Pursuant to Rule 106.J., I \_\_\_\_\_, being a bona fide  
(Officer's Name)

officer of \_\_\_\_\_ authorize and direct the transfer  
(106J Firm Name)

of this firm-owned membership interest from \_\_\_\_\_  
(Transferor)

to \_\_\_\_\_.  
(Transferee)

Does transferee have an acceptable proprietary interest in the Clearing Member Firm? \_\_\_\_\_  
(If yes, contact Audit Department) (**Proprietary interest is \$500,000 minimum ownership**)

The transferee has read and understands the provisions of Rule 106.J. and agrees to abide by the provisions contained in the rule.

\_\_\_\_\_  
Officer's Signature

\_\_\_\_\_  
Transferee's Signature

\_\_\_\_\_  
Date