

106.D. Futures Industry Transfer

NYMEX COMEX

Division _____ Membership Number _____

INDIVIDUALLY OWNED MEMBERSHIP INTEREST

The undersigned transferor and transferee have read and understand the provisions of the Exchange Rule 106 regarding transfers in general, and regarding leasing a membership. In particular, and agree to abide by the provisions contained in these rules.

TRANSFEROR (Owner of Record):

Name (please print) _____

Signature _____ Date _____

TRANSFEEE:

Name (please print) _____

Signature _____ Date _____

FIRM OWNED MEMBERSHIP INTEREST

TRANSFEROR (Employee and/or Authorized Signor):

Name (Please Print)

OWNER OF RECORD:

Firm Name (Please Print)

Authorized Signature

TRANSFEEE:

Name (Please Print)

Signature

Date

Rule 106.D. Trading Authorization

CME CBOT NYMEX COMEX

Division _____ **Membership Number** _____

INDIVIDUALLY OWNED MEMBERSHIP INTEREST

TO BE COMPLETED AND SIGNED BY OWNER

Pursuant to Exchange Rule 106.D.7., I _____
(Transferor)

hereby grant authorization to _____
(Transferee)

to clear transactions on the books of _____
(Clearing Firm)

Transferor's signature _____

Date _____

Pursuant to Exchange Rule 106.D.7., the transferor must approve any change in the transferee's clearing firm in order to remain qualified to trade. The authorization will transfer to any successor of the clearing firm.

FIRM OWNED MEMBERSHIP INTEREST

TO BE COMPLETED AND SIGNED BY AUTHORIZED SIGNATURE OF FIRM

Pursuant to Exchange Rule 106.D.7., _____
(Firm)

hereby grant authorization to _____
(Transferee)

to clear transactions on the books of _____
(Clearing Firm)

Firm

Authorized Signature

Title

Date