

CME GROUP RULE 106.J. MEMBER FIRM MEMBERSHIP

CME EQUITY (NON-CLEARING) MEMBERS

MEMBERSHIP TYPE	ELIGIBLE PARTICIPANTS	PRIVILEGES EXTENDED TO	PRODUCTS	ELIGIBLE TRADERS (1)	MEMBERSHIP REQUIREMENTS	GUARANTY FUND DEPOSIT	CAPITAL REQUIREMENTS / PARENT GUARANTEES	FINANCIAL REPORTING	AUDITS	NFA FEES	APPLICATION AND MEMBERSHIP TRANSFER FEES
CME RULE 106.J. EQUITY MEMBER	ALL FIRMS INCLUDING FCMs, HEDGE FUNDS, COMMODITY POOLS AND OTHER COLLECTIVE INVESTMENT VEHICLES ----- WORLDWIDE	MEMBER FIRM AND 100% OWNED SUBSIDIARIES ----- ELECTRONIC AND FLOOR	ALL	W-2 EMPLOYEES (2) IND. CONTRACTORS 1099-MISC (2) IND. CONT. ≥ 250K HOLDBACKS (3) BONA-FIDE OWNERS ≥ 250K (4) INDIV. EQUITY MEMBERS WITHIN DIVISION RULE 106.F. TRANSFERS CTAs (5)	PURCHASE OR ASSIGN: 2 CME 2 IMM 2 IOM 1 GEM 6,000 CME GROUP SHARES (5) ----- MAY BE OWNED BY: 1) EQUITY MEMBER FIRM; 2) PERSON/ENTITY WITH ACCEPTABLE PROPRIETARY INTEREST; OR 3) IF A FUND, THE INVESTMENT MANAGER OF THE FUND ----- ONE CME, ONE IMM, ONE IOM AND 50% OF CME GROUP SHARES MAY BE INDEPENDENTLY ASSIGNED	NONE	NONE	NONE	REGULATORY: NO CLEARING FEE: YES - INDIRECTLY THROUGH CLEARING FIRM PROP TRADING: YES	NO UNLESS COMMODITY POOL IS OPERATED BY NFA MEMBER CPO	\$3,800 PROVIDED NO INDIVIDUALS ON MEMBERSHIPS

CBOT

MEMBERSHIP TYPE	ELIGIBLE PARTICIPANTS	PRIVILEGES EXTENDED TO	PRODUCTS	ELIGIBLE TRADERS (1)	MEMBERSHIP REQUIREMENTS	GUARANTY FUND DEPOSIT	CAPITAL REQUIREMENTS / PARENT GUARANTEES	FINANCIAL REPORTING	AUDITS	NFA FEES	APPLICATION AND MEMBERSHIP TRANSFER FEES
CBOT RULE 106.J. EQUITY MEMBER FIRM	ALL FIRMS EXCLUDING HEDGE FUNDS, COMMODITY POOLS AND OTHER COLLECTIVE INVESTMENT VEHICLES ----- WORLDWIDE	MEMBER FIRM ONLY ----- ELECTRONIC AND FLOOR	ALL WITHIN DIVISION OF MEMBERSHIP HELD	W-2 EMPLOYEES (2) IND. CONTRACTORS 1099-MISC (2) IND. CONT. ≥ 250K HOLDBACKS (3) BONA-FIDE OWNERS ≥ 250K (4) INDIV. EQUITY MEMBERS WITHIN DIVISION RULE 106.F. TRANSFERS CTAs (5)	PURCHASE OR ASSIGN: 1 FULL + 6,000 CME GROUP SHARES (6); OR 1 AM + 1,750 CME GROUP SHARES ----- MAY BE OWNED BY: 1) EQUITY MEMBER FIRM; 2) RULE 106.I. AFFILIATE MEMBER; 3) PRINCIPAL OR EMPLOYEE OF EQUITY MEMBER FIRM; OR 4) PERSON/ENTITY WITH ACCEPTABLE PROPRIETARY INTEREST ----- 50% OF CME GROUP SHARES MAY BE INDEPENDENTLY ASSIGNED	NONE	NONE	NONE	REGULATORY: NO CLEARING FEE: YES - INDIRECTLY THROUGH CLEARING FIRM PROP TRADING: YES	NO	\$2,000
CBOT RULE 106.J. EQUITY CLOSELY HELD CORPORATE MEMBER FIRM (Grandfathered as of June 30, 2010)	ALL FIRMS EXCLUDING FCMs, HEDGE FUNDS, COMMODITY POOLS AND OTHER COLLECTIVE INVESTMENT VEHICLES ----- WORLDWIDE ----- MUST BE WHOLLY OWNED BY EITHER (A) INDIVIDUAL MEMBER OF CBOT (EQUITY OR LESSEE MEMBER) OR (B) ONE INDIVIDUAL MEMBER OF CBOT AND EMPLOYEES OF THE FIRM	MEMBER FIRM ONLY ----- ELECTRONIC AND FLOOR	ALL WITHIN DIVISION OF MEMBERSHIP HELD	W-2 EMPLOYEES (2) IND. CONTRACTORS 1099-MISC (2) IND. CONT. ≥ 250K HOLDBACKS (3) BONA-FIDE OWNERS ≥ 250K (4) INDIV. EQUITY MEMBERS WITHIN DIVISION RULE 106.F. TRANSFERS CTAs (5)	PURCHASE OR ASSIGN: 1 FULL + 6,000 CME GROUP SHARES (6); OR 1 AM + 1,750 CME GROUP SHARES ----- MAY BE OWNED BY: 1) EQUITY MEMBER FIRM; 2) RULE 106.I. AFFILIATE MEMBER; 3) PRINCIPAL OR EMPLOYEE OF EQUITY MEMBER FIRM; OR 4) PERSON/ENTITY WITH ACCEPTABLE PROPRIETARY INTEREST ----- 50% OF CME GROUP SHARES MAY BE INDEPENDENTLY ASSIGNED	NONE	NONE	NONE	REGULATORY: NO CLEARING FEE: YES - INDIRECTLY THROUGH CLEARING FIRM PROP TRADING: YES	NO	\$2,000

CME GROUP RULE 106.J. MEMBER FIRM MEMBERSHIP

NYMEX

MEMBERSHIP TYPE	ELIGIBLE PARTICIPANTS	PRIVILEGES EXTENDED TO	PRODUCTS	ELIGIBLE TRADERS	MEMBERSHIP REQUIREMENTS	GUARANTY FUND DEPOSIT	CAPITAL REQUIREMENTS / PARENT GUARANTEES	FINANCIAL REPORTING	AUDITS	NFA FEES	APPLICATION AND MEMBERSHIP TRANSFER FEES
NYMEX RULE 106.J. MEMBER FIRM	ALL FIRMS ----- WORLDWIDE	MEMBER FIRM ONLY ----- ELECTRONIC AND FLOOR	ALL	W-2 EMPLOYEES (2) IND. CONTRACTORS 1099-MISC (2) IND. CONT. ≥ 250K HOLDBACKS (3) BONA-FIDE OWNERS ≥ 250K (4) INDIV. EQUITY MEMBERS WITHIN DIVISION RULE 106.F. TRANSFERS CTAs (5)	PURCHASE OR ASSIGN: 2 FULL 6,000 CME GROUP SHARES (6) ----- MAY BE OWNED BY: 1) THE MEMBER FIRM; 2) PRINCIPALS OR EMPLOYEES; 3) PERSON/ENTITY WITH AN ACCEPTABLE PROPRIETARY INTEREST; OR 4) IF MEMBER FIRM IS A FUND, THE INVESTMENT MANAGER OF THE FUND ----- ONE MEMBERSHIP AND 50% OF CME GROUP SHARES MAY BE INDEPENDENTLY ASSIGNED	NONE	NONE	NONE	REGULATORY: NO CLEARING FEE: YES - INDIRECTLY THROUGH CLEARING FIRM PROP TRADING: YES	NO UNLESS COMMODITY POOL IS OPERATED BY NFA MEMBER CPO	\$2,300

COMEX

MEMBERSHIP TYPE	ELIGIBLE PARTICIPANTS	PRIVILEGES EXTENDED TO	PRODUCTS	ELIGIBLE TRADERS	MEMBERSHIP REQUIREMENTS	GUARANTY FUND DEPOSIT	CAPITAL REQUIREMENTS / PARENT GUARANTEES	FINANCIAL REPORTING	AUDITS	NFA FEES	APPLICATION AND MEMBERSHIP TRANSFER FEES
COMEX RULE 106.J. MEMBER FIRM	ALL FIRMS ----- WORLDWIDE	MEMBER FIRM ONLY ----- ELECTRONIC AND FLOOR	ALL WITHIN DIVISION OF MEMBERSHIP HELD	W-2 EMPLOYEES (2) IND. CONTRACTORS 1099-MISC (2) IND. CONT. ≥ 250K HOLDBACKS (3) BONA-FIDE OWNERS ≥ 250K (4) INDIV. EQUITY MEMBERS WITHIN DIVISION RULE 106.F. TRANSFERS CTAs (5)	PURCHASE OR ASSIGN: 2 FULL OR 2 OPTION ----- MAY BE OWNED BY: 1) THE MEMBER FIRM; 2) PRINCIPALS OR EMPLOYEES; 3) PERSON/ENTITY WITH AN ACCEPTABLE PROPRIETARY INTEREST; OR 4) IF MEMBER FIRM IS A FUND, THE INVESTMENT MANAGER OF THE FUND ----- ONE MEMBERSHIP IN EACH DIVISION REQUIRED FOR MEMBERSHIP MAY BE INDEPENDENTLY ASSIGNED	NONE	NONE	NONE	REGULATORY: NO CLEARING FEE: YES - INDIRECTLY THROUGH CLEARING FIRM PROP TRADING: YES	NO UNLESS COMMODITY POOL IS OPERATED BY NFA MEMBER CPO	\$2,300

(1) THE MEMBERSHIP STATUS OF THE INDIVIDUAL ENTERING THE TRADE WILL IMPACT THE LEVEL OF MEMBER FEE CHARGED FOR CBOT RULE 106.J. MEMBER FIRMS.

(2) EQUIVALENT W-2 OR EQUIVALENT 1099-MISC DOCUMENT OF A FOREIGN JURISDICTION IS ACCEPTABLE.

(3) FOR CLEARING MEMBERS, CME AND CBOT RULE 106.I. MEMBERS AND CME, CBOT, NYMEX AND COMEX RULE 106.J. MEMBERS, INDEPENDENT CONTRACTORS WHO MAINTAIN AT LEAST \$250,000 IN HOLDBACKS MAY HAVE THEIR COMPENSATION REPORTED ON A 1099-MISC AND/OR 1099-B.

(4) FOR CLEARING MEMBERS, CME AND CBOT RULE 106.I. MEMBERS AND CME, CBOT, NYMEX AND COMEX RULE 106.J. MEMBERS, INDIVIDUAL OWNERS' CAPITAL INTEREST AS WELL AS ANY HOLDBACKS MAY BE ADDED TOGETHER TO MEET THE \$250,000 PROPRIETARY INTEREST.

(5) REGISTERED CTAs; EXEMPT CTAs UNDER CFTC REGULATIONS 4.14(a)(4), 4.14(a)(5), 4.14(a)(8)(i)(D), OR 4.14(a)(10); INVESTMENT MANAGERS AUTHORIZED BY THE FINANCIAL SERVICES AUTHORITY; AND OTHER EQUIVALENT AUTHORIZED ENTITIES ARE ACCEPTABLE.

(6) FOR CME, CBOT AND NYMEX CLEARING MEMBERS WITH SHARES, CBOT RULE 106.S. FAMILY OF FUNDS EQUITY MEMBERS, AND CME, CBOT AND NYMEX RULE 106.J. MEMBERS, 6,000 CME GROUP SHARES ARE REQUIRED IF THE ENTITY JOINS 1 EXCHANGE; 9,000 CME GROUP SHARES IF 2 EXCHANGES AND 12,000 CME GROUP SHARES IF 3 EXCHANGES. NOTE: COMEX CLEARING AND RULE 106.J. MEMBER FIRMS HAVE NO CME GROUP SHARE REQUIREMENT. 50% OF SHARES MAY BE INDEPENDENTLY ASSIGNED.

THE SUMMARY SHEETS ONLY PROVIDE AN OUTLINE OF THE REQUIREMENTS FOR MEMBERSHIP AND INCENTIVE PROGRAM PARTICIPATION RELATING TO FUTURES AND OPTIONS ON THE EXCHANGES OF CME GROUP INC. PLEASE REFER TO THE RULEBOOKS, QUESTION & ANSWER GUIDES AND FEE POLICY BULLETINS WHICH MAY BE FOUND ON CME GROUP'S WEB SITE AT WWW.CMEGROUP.COM FOR COMPLETE DETAILS AND REQUIREMENTS OF THE MEMBERSHIP AND INCENTIVE PROGRAM OPTIONS.

CME

MEMBERSHIP TYPE	ELIGIBLE PARTICIPANTS	PRIVILEGES EXTENDED TO	PRODUCTS	ELIGIBLE TRADERS	MEMBERSHIP REQUIREMENTS	GUARANTY FUND DEPOSIT	CAPITAL REQUIREMENTS / PARENT GUARANTEES	FINANCIAL REPORTING	AUDITS	NFA FEES	APPLICATION AND MEMBERSHIP TRANSFER FEES
CME RULE 106.J. EQUITY MEMBER	HEDGE FUNDS AND COMMODITY POOLS WORLDWIDE	MEMBER FIRM AND 100% OWNED SUBSIDIARIES ELECTRONIC AND FLOOR	ALL	W-2 EMPLOYEES (1) IND. CONTRACTORS 1099-MISC (1) IND. CONTRACTORS ≥ 250K HOLDBACKS (2) BONA-FIDE OWNERS ≥ 250K (3) INDIV. EQUITY MEMBERS WITHIN DIVISION RULE 106.F TRANSFERS CTAs (4)	PURCHASE OR ASSIGN: 2 CME 2 IMM 2 IOM 1 GEM 6,000 CME GROUP SHARES (5) ----- MAY BE OWNED BY: 1) FUND; 2) FUND MGMT CO. OR 3) PERSON/ENTITY WITH ACCEPTABLE PROPRIETARY INTEREST IN FUND MGMT CO. ----- ONE CME, ONE IMM, ONE IOM AND 50% OF CME GROUP SHARES MAY BE INDEPENDENTLY ASSIGNED	NONE	NONE	NONE	REGULATORY: NO CLEARING FEE: YES - INDIRECTLY THROUGH CLEARING FIRM	NO UNLESS COMMODITY POOL IS OPERATED BY NFA MEMBER CPO	\$3,800 PROVIDED NO INDIVIDUALS ON MEMBERSHIP
CME RULE 106.S. FAMILY OF FUNDS MEMBER	FAMILY OF FUNDS OF A HEDGE FUND CME RULE 106.J. EQUITY MEMBER UNDER A FUND MANAGEMENT COMPANY WORLDWIDE NOTE: MANAGED ACCOUNTS ARE NOT ELIGIBLE	APPROVED FUNDS OF FUND FAMILIES OF A HEDGE FUND CME RULE 106.J. EQUITY MEMBER ELECTRONIC AND FLOOR	ALL WITHIN DIVISION OF MEMBERSHIP HELD	W-2 EMPLOYEES (1) IND. CONTRACTORS 1099-MISC (1) IND. CONTRACTORS ≥ 250K HOLDBACKS (2) BONA-FIDE OWNERS ≥ 250K (3) INDIV. EQUITY MEMBERS WITHIN DIVISION RULE 106.F TRANSFERS CTAs (4)	PURCHASE 1 MEMBERSHIP FOR EVERY 5 FUNDS ----- MAY BE OWNED BY: 1) CLEARING OR RULE 106.J. FUND; 2) FUND MGMT CO. OF CLEARING OR RULE 106.J. FUND; OR 3) FUND THAT IS PART OF THE FAMILY OF FUNDS CLEARING OR RULE 106.J. FIRM	NONE	NONE	NONE	REGULATORY: NO CLEARING FEE: YES - INDIRECTLY THROUGH CLEARING FIRM	NO UNLESS COMMODITY POOL IS OPERATED BY NFA MEMBER CPO	\$300 PROVIDED NO INDIVIDUALS ON MEMBERSHIP
CME RULE 106.H. TRADING MEMBER	HEDGE FUNDS AND COMMODITY POOLS WORLDWIDE	MEMBER FIRM ONLY ELECTRONIC AND FLOOR	ALL WITHIN DIVISION OF MEMBERSHIP HELD	W-2 EMPLOYEES (1) IND. CONTRACTORS 1099-MISC (1) BONA-FIDE OWNERS INDIV. EQUITY MEMBERS WITHIN DIVISION CTAs (4)	PURCHASE 1 MEMBERSHIP OR LEASE 2 MEMBERSHIPS ----- MAY BE OWNED BY FUND OR THE INVESTMENT MANAGER OF FUND	NONE	NONE	NONE	REGULATORY: NO CLEARING FEE: YES - INDIRECTLY THROUGH CLEARING FIRM	NO UNLESS COMMODITY POOL IS OPERATED BY NFA MEMBER CPO	\$2,000

CBOT

MEMBERSHIP TYPE	ELIGIBLE PARTICIPANTS	PRIVILEGES EXTENDED TO	PRODUCTS	ELIGIBLE TRADERS	MEMBERSHIP REQUIREMENTS	GUARANTY FUND DEPOSIT	CAPITAL REQUIREMENTS / PARENT GUARANTEES	FINANCIAL REPORTING	AUDITS	NFA FEES	APPLICATION AND MEMBERSHIP TRANSFER FEES
CBOT RULE 106.S. FAMILY OF FUNDS EQUITY MEMBER	HEDGE FUNDS, COMMODITY POOLS, AND OTHER COLLECTIVE INVESTMENT VEHICLES WORLDWIDE NOTE: MANAGED ACCOUNTS ARE NOT ELIGIBLE	MEMBER FIRM AND UP TO 5 AFFILIATED HEDGE FUNDS / POOLS ----- EACH ADDITIONAL AFFILIATED HEDGE FUND / POOL (BEYOND THE 6) MUST PURCHASE OR ASSIGN A MEMBERSHIP ELECTRONIC AND FLOOR	ALL ----- FOR ADDITIONAL ENTITIES ALL WITHIN DIVISION OF MEMBERSHIP HELD	W-2 EMPLOYEES (1) IND. CONTRACTORS 1099-MISC (1) IND. CONTRACTORS ≥ 250K HOLDBACKS (2) BONA-FIDE OWNERS ≥ 250K (3) INDIV. EQUITY MEMBERS WITHIN DIVISION RULE 106.F TRANSFERS CTAs (4)	PURCHASE OR ASSIGN: 4 FULL 2 AM 6,000 CME GROUP SHARES (5) ----- MAY BE OWNED BY: 1) FAMILY OF FUNDS MEMBER FIRM; 2) THE FUND MGMT CO.; 3) ONE OR MORE QUALIFIED FUNDS; OR 4) PERSON/ENTITY WITH ACCEPTABLE PROPRIETARY INTEREST IN FUND MGMT CO. ----- TWO FULL, ONE AM AND 50% OF CME GROUP SHARES MAY BE INDEPENDENTLY ASSIGNED	NONE	NONE	NONE	REGULATORY: NO CLEARING FEE: YES - INDIRECTLY THROUGH CLEARING FIRM	NO UNLESS COMMODITY POOL IS OPERATED BY NFA MEMBER CPO	\$3,500 PROVIDED NO INDIVIDUALS ON MEMBERSHIP
CBOT RULE 106.S. FAMILY OF FUNDS TRADING MEMBER	HEDGE FUNDS, COMMODITY POOLS, AND OTHER COLLECTIVE INVESTMENT VEHICLES WORLDWIDE NOTE: MANAGED ACCOUNTS ARE NOT ELIGIBLE	MEMBER FIRM AND UP TO 2 AFFILIATED HEDGE FUNDS / POOLS ----- EACH ADDITIONAL AFFILIATED HEDGE FUND / POOL (BEYOND THE 3) MUST PURCHASE OR ASSIGN A MEMBERSHIP ELECTRONIC AND FLOOR	ALL ----- FOR ADDITIONAL ENTITIES ALL WITHIN DIVISION OF MEMBERSHIP HELD	W-2 EMPLOYEES (1) IND. CONTRACTORS 1099-MISC (1) BONA-FIDE OWNERS INDIV. EQUITY MEMBERS WITHIN DIVISION CTAs (4)	PURCHASE OR ASSIGN: 2 FULL 1 AM ----- MAY BE OWNED BY: 1) FAMILY OF FUNDS MEMBER FIRM; 2) THE FUND MGMT CO.; 3) ONE OR MORE QUALIFIED FUNDS; OR 4) PERSON/ENTITY WITH ACCEPTABLE PROPRIETARY INTEREST IN FUND MGMT CO. ----- ONE FULL MAY BE INDEPENDENTLY ASSIGNED	NONE	NONE	NONE	REGULATORY: NO CLEARING FEE: YES - INDIRECTLY THROUGH CLEARING FIRM	NO UNLESS COMMODITY POOL IS OPERATED BY NFA MEMBER CPO	\$2,600 PROVIDED NO INDIVIDUALS ON MEMBERSHIP

NYMEX

MEMBERSHIP TYPE	ELIGIBLE PARTICIPANTS	PRIVILEGES EXTENDED TO	PRODUCTS	ELIGIBLE TRADERS	MEMBERSHIP REQUIREMENTS	GUARANTY FUND DEPOSIT	CAPITAL REQUIREMENTS / PARENT GUARANTEES	FINANCIAL REPORTING	AUDITS	NFA FEES	APPLICATION AND MEMBERSHIP TRANSFER FEES
NYMEX RULE 106.J. MEMBER FIRM	HEDGE FUNDS AND COMMODITY POOLS WORLDWIDE	MEMBER FIRM ONLY ELECTRONIC AND FLOOR	ALL	W-2 EMPLOYEES (1) IND. CONTRACTORS 1099-MISC (1) IND. CONTRACTORS ≥ 250K HOLDBACKS (2) BONA-FIDE OWNERS ≥ 250K (3) INDIV. EQUITY MEMBERS WITHIN DIVISION RULE 106.F. TRANSFERS CTAs (4)	PURCHASE OR ASSIGN: 2 FULL 6,000 CME GROUP SHARES (5) ----- MAY BE OWNED BY: 1) MEMBER FIRM; 2) PRINCIPALS OR EMPLOYEES OF FIRM; 3) PERSON/ENTITY WITH ACCEPTABLE PROPRIETARY INTEREST; OR 4) IF MEMBER IS A FUND THE INVESTMENT MANAGER OF THE FUND ----- ONE MEMBERSHIP AND 50% CME GROUP SHARES MAY BE INDEPENDENTLY ASSIGNED	NONE	NONE	NONE	REGULATORY: NO CLEARING FEE: YES - INDIRECTLY THROUGH CLEARING FIRM	NO UNLESS COMMODITY POOL IS OPERATED BY NFA MEMBER CPO	\$2,300

COMEX

MEMBERSHIP TYPE	ELIGIBLE PARTICIPANTS	PRIVILEGES EXTENDED TO	PRODUCTS	ELIGIBLE TRADERS	MEMBERSHIP REQUIREMENTS	GUARANTY FUND DEPOSIT	CAPITAL REQUIREMENTS / PARENT GUARANTEES	FINANCIAL REPORTING	AUDITS	NFA FEES	APPLICATION AND MEMBERSHIP TRANSFER FEES
COMEX RULE 106.J. MEMBER FIRM	HEDGE FUNDS AND COMMODITY POOLS WORLDWIDE	MEMBER FIRM ONLY ELECTRONIC AND FLOOR	ALL WITHIN DIVISION OF MEMBERSHIP HELD	W-2 EMPLOYEES (1) IND. CONTRACTORS 1099-MISC (1) IND. CONTRACTORS ≥ 250K HOLDBACKS (2) BONA-FIDE OWNERS ≥ 250K (3) INDIV. EQUITY MEMBERS WITHIN DIVISION RULE 106.F. TRANSFERS CTAs (4)	PURCHASE OR ASSIGN: 2 FULL OR 2 OPTION ----- MAY BE OWNED BY: 1) MEMBER FIRM; 2) PRINCIPALS OR EMPLOYEES OF FIRM; 3) PERSON/ENTITY WITH ACCEPTABLE PROPRIETARY INTEREST; OR 4) IF MEMBER IS A FUND THE INVESTMENT MANAGER OF THE FUND ----- ONE MEMBERSHIP IN EACH DIVISION REQUIRED FOR MEMBERSHIP MAY BE INDEPENDENTLY ASSIGNED	NONE	NONE	NONE	REGULATORY: NO CLEARING FEE: YES - INDIRECTLY THROUGH CLEARING FIRM	NO UNLESS COMMODITY POOL IS OPERATED BY NFA MEMBER CPO	\$2,300

(1) EQUIVALENT W-2 OR EQUIVALENT 1099-MISC DOCUMENT OF A FOREIGN JURISDICTION IS ACCEPTABLE.

(2) FOR CLEARING MEMBERS, CME AND CBOT RULE 106.I. MEMBERS AND CME, CBOT, NYMEX AND COMEX RULE 106.J. MEMBERS, INDEPENDENT CONTRACTORS WHO MAINTAIN AT LEAST \$250,000 IN HOLDBACKS MAY HAVE THEIR COMPENSATION REPORTED ON A 1099-MISC AND/OR 1099-B.

(3) FOR CLEARING MEMBERS, CME AND CBOT RULE 106.I. MEMBERS AND CME, CBOT, NYMEX AND COMEX RULE 106.J. MEMBERS, INDIVIDUAL OWNERS' CAPITAL INTEREST AS WELL AS ANY HOLDBACKS MAY BE ADDED TOGETHER TO MEET THE \$250,000 PROPRIETARY INTEREST.

(4) REGISTERED CTAs; EXEMPT CTAs UNDER CFTC REGULATIONS 4.14(a)(4), 4.14(a)(5), 4.14(a)(8)(i)(D), OR 4.14(a)(10); INVESTMENT MANAGERS AUTHORIZED BY THE FINANCIAL SERVICES AUTHORITY; AND OTHER EQUIVALENT AUTHORIZED ENTITIES ARE ACCEPTABLE.

(5) FOR CME, CBOT AND NYMEX CLEARING MEMBERS WITH SHARES, CBOT RULE 106.S. FAMILY OF FUNDS EQUITY MEMBERS, AND CME, CBOT AND NYMEX RULE 106.J. MEMBERS, 6,000 CME GROUP SHARES ARE REQUIRED IF THE ENTITY JOINS 1 EXCHANGE; 9,000 CME GROUP SHARES IF 2 EXCHANGES AND 12,000 CME GROUP SHARES IF 3 EXCHANGES. NOTE: COMEX CLEARING AND RULE 106.J. MEMBER FIRMS HAVE NO CME GROUP SHARE REQUIREMENT.

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CME

MEMBERSHIP TYPE	ELIGIBLE PARTICIPANTS	PRIVILEGES EXTENDED TO	PRODUCTS	ELIGIBLE TRADERS	MEMBERSHIP REQUIREMENTS	GUARANTY FUND DEPOSIT	CAPITAL REQUIREMENTS / PARENT GUARANTEES	FINANCIAL REPORTING	AUDITS	NFA FEES	APPLICATION AND MEMBERSHIP TRANSFER FEES
CME RULE 106.H. TRADING MEMBER FIRM	ALL FIRMS INCLUDING HEDGE FUNDS ----- WORLDWIDE	MEMBER FIRM ONLY ----- ELECTRONIC AND FLOOR	ALL WITHIN DIVISION OF MEMBERSHIP HELD	W-2 EMPLOYEES (1) IND. CONTRACTORS 1099-MISC (1) BONA-FIDE OWNERS INDIV. MEMBERS WITHIN DIVISION CTAs (2)	PURCHASE 1 MEMBERSHIP OR LEASE 2 MEMBERSHIPS	NONE	NONE	NONE	REGULATORY: NO CLEARING FEE: YES - INDIRECTLY THROUGH CLEARING FIRM PROP TRADING: YES	NO UNLESS COMMODITY POOL IS OPERATED BY NFA MEMBER CPO	\$2,000

CBOT

MEMBERSHIP TYPE	ELIGIBLE PARTICIPANTS	PRIVILEGES EXTENDED TO	PRODUCTS	ELIGIBLE TRADERS	MEMBERSHIP REQUIREMENTS	GUARANTY FUND DEPOSIT	CAPITAL REQUIREMENTS / PARENT GUARANTEES	FINANCIAL REPORTING	AUDITS	NFA FEES	APPLICATION AND MEMBERSHIP TRANSFER FEES
CBOT RULE 106.H. TRADING MEMBER FIRM	ALL FIRMS EXCLUDING HEDGE FUNDS, COMMODITY POOLS AND OTHER COLLECTIVE INVESTMENT VEHICLES ----- WORLDWIDE	MEMBER FIRM ONLY ----- ELECTRONIC AND FLOOR	ALL WITHIN DIVISION OF MEMBERSHIP HELD	W-2 EMPLOYEES (1) IND. CONTRACTORS 1099-MISC (1) BONA-FIDE OWNERS INDIV. MEMBERS WITHIN DIVISION CTAs (2)	PURCHASE OR ASSIGN: 1 FULL 1 AM 1 IDEM. OR 1 COM	NONE	NONE	NONE	REGULATORY: NO CLEARING FEE: YES - INDIRECTLY THROUGH CLEARING FIRM PROP TRADING: YES	NO	\$2,000

(1) EQUIVALENT W-2 OR EQUIVALENT 1099-MISC DOCUMENT OF A FOREIGN JURISDICTION IS ACCEPTABLE.

(2) REGISTERED CTAs; EXEMPT CTAs UNDER CFTC REGULATIONS 4.14(a)(4), 4.14(a)(5), 4.14 (a)(8)(i)(D) OR 4.14(a)(10); INVESTMENT MANAGERS AUTHORIZED BY THE FINANCIAL SERVICES AUTHORITY; AND OTHER EQUIVALENT AUTHORIZED ENTITIES ARE ACCEPTABLE.

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CME GROUP ELECTRONIC CORPORATE MEMBERSHIPS

CME

CORPORATE MEMBERSHIP	ELIGIBLE PARTICIPANTS	PARTICIPANT LOCATION	VENUE	PRODUCTS	ELIGIBLE TRADERS	MEMBERSHIP REQUIREMENT	NFA FEES	APPLICATION AND MEMBERSHIP TRANSFER FEES
CME RULE 106.R. ELECTRONIC CORPORATE MEMBER ECM - HOLDING MEMBER (ECM - H)	PROPRIETARY TRADING FIRMS (1)	WORLDWIDE	ELECTRONIC	ALL WITHIN DIVISION OF MEMBERSHIP HELD OR LEASED (2)	W-2 EMPLOYEES (3) IND. CONTRACTORS 1099-MISC (3) BONA-FIDE OWNERS CTAs (4) ----- TRADERS MAY NOT CURRENTLY OWN, HOLD, OR HAVE OWNED OR HELD A MEMBERSHIP IN THE LAST 2 YEARS	PURCHASE 1 MEMBERSHIP OR LEASE 2 MEMBERSHIPS	NO	\$2,000
CME RULE 106.R. ELECTRONIC CORPORATE MEMBER ECM - VOLUME INCENTIVE PROGRAM (ECM - W)	PROPRIETARY TRADING FIRMS (1)	WORLDWIDE	ELECTRONIC	ALL	W-2 EMPLOYEES (3) IND. CONTRACTORS 1099-MISC (3) BONA-FIDE OWNERS CTAs (4) ----- TRADERS MAY NOT CURRENTLY OWN, HOLD, OR HAVE OWNED OR HELD A MEMBERSHIP IN THE LAST 2 YEARS	WAIVED THRU 12/31/11 PROVIDED MEET QUARTERLY VOLUME REQUIREMENT OF 50 SIDES A DAY	NO	\$2,000

CBOT

CORPORATE MEMBERSHIP	ELIGIBLE PARTICIPANTS	PARTICIPANT LOCATION	VENUE	PRODUCTS	ELIGIBLE TRADERS	MEMBERSHIP REQUIREMENT	NFA FEES	APPLICATION AND MEMBERSHIP TRANSFER FEES
CBOT RULE 106.R. ELECTRONIC CORPORATE MEMBER	ALL FIRMS EXCLUDING HEDGE FUNDS, COMMODITY POOLS OR OTHER COLLECTIVE INVESTMENT VEHICLES	WORLDWIDE	ELECTRONIC	ALL WITHIN DIVISION OF MEMBERSHIP LEASED	W-2 EMPLOYEES (3) IND. CONTRACTORS 1099-MISC (3) BONA-FIDE OWNERS INDIV. MEMBERS WITHIN DIVISION CTAs (4)	LEASE 1 FULL OR 1 AM	NO	\$2,000

(1) HEDGE FUNDS, COMMODITY POOLS, BANKS, FCMs, FOREIGN BROKERS, BROKER/DEALERS, CTAs, INTRODUCING BROKERS AND OTHER FIRMS THAT HOLD AND/OR MANAGE THIRD PARTY FUNDS ARE NOT ELIGIBLE.

(2) ECM-Hs PAY THE ECM-W DISCOUNTED RATE FOR OUT-OF-DIVISION ELECTRONIC TRADES.

(3) EQUIVALENT W-2 OR EQUIVALENT 1099-MISC DOCUMENT OF A FOREIGN JURISDICTION IS ACCEPTABLE.

(4) REGISTERED CTAs; EXEMPT CTAs UNDER CFTC REGULATIONS 4.14(a)(4), 4.14(a)(5), 4.14(a)(8)(i)(D), OR 4.14(a)(10); INVESTMENT MANAGERS AUTHORIZED BY THE FINANCIAL SERVICES AUTHORITY; AND OTHER EQUIVALENT AUTHORIZED ENTITIES ARE ACCEPTABLE.

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