

**NYMEX/COMEX  
Lease Information Form**

New

Renewal

Division \_\_\_\_\_

Seat Number: \_\_\_\_\_

Term of Lease: \_\_\_\_\_

Lease Begin Date: \_\_\_\_\_ Lease End Date: \_\_\_\_\_ Price: \_\_\_\_\_

Business Relationship (check one) Yes  No

If you answered yes, and a business relationship exists, please check one:

Broker Association;  Clearing Relationships;  Employer-Employee;  Family.

\_\_\_\_\_  
Name of Lessor (Please Print)

\_\_\_\_\_  
Name of Lessor (Please Sign)

\_\_\_\_\_  
Name of Lessee (Please Print)

\_\_\_\_\_  
Name of Lessee (Please Sign)

Exchange\* Rule 106.D.6 states "The transferee's guarantor(s) shall have no right of indemnification against the transferor for any and all claims against the transferee which have been satisfied in accordance with the terms of the guarantee. The transferor shall neither be liable for nor shall the transferred membership be used to satisfy any and all claims against the transferee which have not been satisfied by the transferee and/or the transferee's guarantor(s). Transferees shall have continuing personal liability for any claims which accrued during the term of the transfer which were not satisfied by the transferee's guarantor(s); for the payment of disciplinary fines assessed against the transferee which were not otherwise satisfied; and for any other financial obligations to the Exchange and/or its members that were not otherwise satisfied."

Exchange\* Rule 106.D.12 states: "A lessor shall notify the Exchange, in a manner designated by the Exchange, of the terms of a new lease agreement or any renewal of a lease agreement within two (2) business days of the negotiation of the lease agreement, or renewal thereof. A lessor's failure to comply with this Rule 106.D.12 may result in the issuance of a warning letter to the lessor. A lessor may then be subject to an automatic fine of \$500 and \$1000 respectively for a second and third violation of this rule within a rolling 12-month period from the issuance of the warning letter. Repeated violations of this rule may be referred to a disciplinary committee."

\*Exchange refers to NYMEX and COMEX

**Return to: Membership Services Department**

**NYMEX Fax number: (212) 301-4613  
NY, NY 10282**

**Located: One North End Avenue,**



A CME/Chicago Board of Trade/NYMEX Company

### 106.D. Futures Industry Transfer

NYMEX  COMEX

**Division** \_\_\_\_\_ **Membership Number** \_\_\_\_\_

#### INDIVIDUALLY OWNED MEMBERSHIP INTEREST

The undersigned transferor and transferee have read and understand the provisions of the Exchange Rule 106 regarding transfers in general, and regarding leasing a membership. In particular, and agree to abide by the provisions contained in these rules.

#### TRANSFEROR (Owner of Record):

Name (please print) \_\_\_\_\_

Signature \_\_\_\_\_ Date \_\_\_\_\_

#### TRANSFEE:

Name (please print) \_\_\_\_\_

Signature \_\_\_\_\_ Date \_\_\_\_\_

#### FIRM OWNED MEMBERSHIP INTEREST

#### TRANSFEROR (Employee and/or Authorized Signor):

\_\_\_\_\_  
Name (Please Print)

#### OWNER OF RECORD:

\_\_\_\_\_  
Firm Name (Please Print)

\_\_\_\_\_  
Authorized Signature

#### TRANSFEE:

\_\_\_\_\_  
Name (Please Print)

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date