

**CME GROUP
CLEARING MEMBERSHIPS
FUTURES AND OPTIONS ON FUTURES**

CME

CLEARING MEMBERSHIP	ELIGIBLE PARTICIPANTS	PRIVILEGES EXTENDED TO	PRODUCTS	ELIGIBLE TRADERS	MEMBERSHIP REQUIREMENT	GUARANTY FUND DEPOSIT	CAPITAL REQUIREMENTS	FINANCIAL REPORTING	PARENT GUARANTEE	AUDITS	NFA FEES	APPLICATION AND MEMBERSHIP TRANSFER FEES	OTHER
CLEARING MEMBER	ALL FIRMS WORLDWIDE	MEMBER FIRM AND 100% OWNED SUBSIDIARIES ELECTRONIC AND FLOOR	ALL	W-2 EMPLOYEES (1) IND CONTRACTORS 1099-MISC (1) IND CONT ≥ \$250K HOLDBACKS (2) BONA-FIDE OWNERS ≥ 250K (3) INDIV EQUITY MEMBERS WITHIN DIVISION RULE 106 F. TRANSFERS CTAs (4)	PURCHASE OR ASSIGN 2 CME 2 IMM 2 IOM 1 GEM (5)(6) MAY BE OWNED BY CLEARING FIRM OR PERSONENTITY HAVING ACCEPTABLE PROPRIETARY INTEREST ONE CME, ONE IMM, ONE IOM MAY BE INDEPENDENTLY ASSIGNED	\$500,000 MINIMUM CALCULATED QUARTERLY BASED ON PERFORMANCE BOND REQUIREMENTS AND TRADING VOLUME	GREATEST OF: 1) \$5 MILLION 2) CFTC MIN REGULATORY 3) SEC MIN REGULATORY	MONTHLY 1-FR OR FOCUS REPORT AND ANNUAL CERTIFIED FINANCIAL STATEMENT	YES EXEMPTIONS 1) NO HOUSE BUSINESS 2) MAINTAIN \$300 MILLION IN ANC 3) MINIMAL ACTIVITY IN HOUSE ORIGIN	REGULATORY YES CLEARING FEE YES PROP TRADING YES	NO	\$3,800 PROVIDED NO INDIVIDUALS ON MEMBERSHIP	QUALIFIED IN IL OR NY OR AGENCY AGREEMENT APPROVED NON-U S LOCATION

CBOT

CLEARING MEMBERSHIP	ELIGIBLE PARTICIPANTS	PRIVILEGES EXTENDED TO	PRODUCTS	ELIGIBLE TRADERS (7)	MEMBERSHIP REQUIREMENT	GUARANTY FUND DEPOSIT	CAPITAL REQUIREMENTS	FINANCIAL REPORTING	PARENT GUARANTEE	AUDITS	NFA FEES	APPLICATION AND MEMBERSHIP TRANSFER FEES	OTHER
CLEARING FCM	FCMs WORLDWIDE	MEMBER FIRM ONLY ELECTRONIC AND FLOOR	ALL	W-2 EMPLOYEES (1) IND CONTRACTORS 1099-MISC (1) IND CONT ≥ \$250K HOLDBACKS (2) BONA-FIDE OWNERS ≥ 250K (3) INDIV EQUITY MEMBERS WITHIN DIVISION RULE 106 F. TRANSFERS CTAs (4)	PURCHASE OR ASSIGN 2 FULL (5)(6) MAY BE OWNED BY CLEARING FIRM OR PERSONENTITY HAVING ACCEPTABLE PROPRIETARY INTEREST ONE MEMBERSHIP MAY BE INDEPENDENTLY ASSIGNED	\$500,000 MINIMUM CALCULATED QUARTERLY BASED ON PERFORMANCE BOND REQUIREMENTS AND TRADING VOLUME	GREATEST OF: 1) \$5 MILLION 2) CFTC MIN REGULATORY 3) SEC MIN REGULATORY	MONTHLY 1-FR OR FOCUS REPORT AND ANNUAL CERTIFIED FINANCIAL STATEMENT	YES EXEMPTIONS 1) NO HOUSE BUSINESS 2) MAINTAIN \$300 MILLION IN ANC 3) MINIMAL ACTIVITY IN HOUSE ORIGIN	REGULATORY YES CLEARING FEE YES PROP TRADING YES	NO	\$2,300 PROVIDED NO INDIVIDUALS ON MEMBERSHIP	QUALIFIED IN IL OR NY OR AGENCY AGREEMENT APPROVED NON-U S LOCATION
CLEARING CLOSELY HELD CORPORATE MEMBER (Grandfathered as of June 30, 2010)	ALL FIRMS EXCLUDING FCMs, HEDGE FUNDS, COMMODITY POOLS AND OTHER COLLECTIVE INVESTMENT VEHICLES WORLDWIDE MUST BE WHOLLY OWNED BY EITHER (A) INDIVIDUAL MEMBER OF CBOT (EQUITY OR LESSEE MEMBER) OR (B) ONE INDIVIDUAL MEMBER OF CBOT AND EMPLOYEES OF THE FIRM	MEMBER FIRM ONLY ELECTRONIC AND FLOOR	ALL	W-2 EMPLOYEES (1) IND CONTRACTORS 1099-MISC (1) IND CONT ≥ \$250K HOLDBACKS (2) BONA-FIDE OWNERS ≥ 250K (3) INDIV EQUITY MEMBERS WITHIN DIVISION RULE 106 F. TRANSFERS CTAs (4)	PURCHASE OR ASSIGN 1 FULL 6,000 CME GROUP SHARES (5) MAY BE OWNED BY CLEARING FIRM OR PERSONENTITY HAVING ACCEPTABLE PROPRIETARY INTEREST 50% OF CME GROUP SHARES MAY BE INDEPENDENTLY ASSIGNED	\$500,000 MINIMUM CALCULATED QUARTERLY BASED ON PERFORMANCE BOND REQUIREMENTS AND TRADING VOLUME	GREATEST OF: 1) \$5 MILLION 2) 8% NON CUST MARGIN REQ 3) SEC MIN REGULATORY	MONTHLY 1-FR OR FOCUS REPORT AND ANNUAL CERTIFIED FINANCIAL STATEMENT	YES EXEMPTION MAINTAIN \$300 MILLION IN ANC	REGULATORY YES CLEARING FEE YES PROP TRADING YES	NO	\$2,000	QUALIFIED IN IL OR NY OR AGENCY AGREEMENT APPROVED NON-U S LOCATION
CLEARING CORPORATE MEMBER	ALL FIRMS EXCLUDING FCMs, HEDGE FUNDS, COMMODITY POOLS AND OTHER COLLECTIVE INVESTMENT VEHICLES WORLDWIDE	MEMBER FIRM ONLY ELECTRONIC AND FLOOR	ALL	W-2 EMPLOYEES (1) IND CONTRACTORS 1099-MISC (1) IND CONT ≥ \$250K HOLDBACKS (2) BONA-FIDE OWNERS ≥ 250K (3) INDIV EQUITY MEMBERS WITHIN DIVISION RULE 106 F. TRANSFERS CTAs (4)	PURCHASE OR ASSIGN 1 FULL 6,000 CME GROUP SHARES (5) MAY BE OWNED BY CLEARING FIRM OR PERSONENTITY HAVING ACCEPTABLE PROPRIETARY INTEREST 50% OF CME GROUP SHARES MAY BE INDEPENDENTLY ASSIGNED	\$500,000 MINIMUM CALCULATED QUARTERLY BASED ON PERFORMANCE BOND REQUIREMENTS AND TRADING VOLUME	GREATEST OF: 1) \$5 MILLION 2) 8% NON CUST MARGIN REQ 3) SEC MIN REGULATORY	MONTHLY 1-FR OR FOCUS REPORT AND ANNUAL CERTIFIED FINANCIAL STATEMENT	YES EXEMPTION MAINTAIN \$300 MILLION IN ANC	REGULATORY YES CLEARING FEE YES PROP TRADING YES	NO	\$2,000	QUALIFIED IN IL OR NY OR AGENCY AGREEMENT APPROVED NON-U S LOCATION

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NYMEX

CLEARING MEMBERSHIP	ELIGIBLE PARTICIPANTS	PRIVILEGES EXTENDED TO	PRODUCTS	ELIGIBLE TRADERS	MEMBERSHIP REQUIREMENT	GUARANTY FUND DEPOSIT	CAPITAL REQUIREMENTS	FINANCIAL REPORTING	PARENT GUARANTEE	AUDITS	NFA FEES	APPLICATION AND MEMBERSHIP TRANSFER FEES	OTHER
CLEARING MEMBER	ALL FIRMS WORLDWIDE	MEMBER FIRM ONLY ELECTRONIC AND FLOOR	ALL	W-2 EMPLOYEES (1) IND CONTRACTORS 1099-MISC (1) IND CONT ≥ \$250K HOLDBACKS (2) BONA-FIDE OWNERS ≥ 250K (3) INDIV EQUITY MEMBERS WITHIN DIVISION RULE 106 F. TRANSFERS CTAs (4)	PURCHASE OR ASSIGN 2 FULL(5)(6) MAY BE OWNED BY CLEARING FIRM OR PERSONENTITY HAVING ACCEPTABLE PROPRIETARY INTEREST ONE MEMBERSHIP MAY BE INDEPENDENTLY ASSIGNED	\$500,000 MINIMUM (\$2,500,000 IF CLEARPORT) CALCULATED QUARTERLY BASED ON PERFORMANCE BOND REQUIREMENTS AND TRADING VOLUME	GREATEST OF 1) \$5 MILLION 2) CFTC MIN REGULATORY 3) SEC MIN REGULATORY 4) \$20 MILLION IF GUARANTEING LOCALS TRADING CLEARPORT WHO ARE NOT OTHERWISE ECPs	MONTHLY 1-FR OR FOCUS REPORT AND ANNUAL CERTIFIED FINANCIAL STATEMENT	YES EXEMPTIONS 1) NO HOUSE BUSINESS 2) MAINTAIN \$300 MILLION IN ANC 3) MINIMAL ACTIVITY IN HOUSE ORIGIN	REGULATORY YES CLEARING FEE YES PROP TRADING YES	NO	\$2,300	QUALIFIED IN IL OR NY OR AGENCY AGREEMENT APPROVED NON-U S LOCATION

COMEX

CLEARING MEMBERSHIP	ELIGIBLE PARTICIPANTS	PRIVILEGES EXTENDED TO	PRODUCTS	ELIGIBLE TRADERS	MEMBERSHIP REQUIREMENT	GUARANTY FUND DEPOSIT	CAPITAL REQUIREMENTS	FINANCIAL REPORTING	PARENT GUARANTEE	AUDITS	NFA FEES	APPLICATION AND MEMBERSHIP TRANSFER FEES	OTHER
CLEARING MEMBER	ALL FIRMS WORLDWIDE	MEMBER FIRM ONLY ELECTRONIC AND FLOOR	ALL	W-2 EMPLOYEES (1) IND CONTRACTORS 1099-MISC (1) IND CONT ≥ \$250K HOLDBACKS (2) BONA-FIDE OWNERS ≥ 250K (3) INDIV EQUITY MEMBERS WITHIN DIVISION RULE 106 F. TRANSFERS CTAs (4)	PURCHASE OR ASSIGN 2 FULL MAY BE OWNED BY CLEARING FIRM OR PERSONENTITY HAVING ACCEPTABLE PROPRIETARY INTEREST ONE MEMBERSHIP MAY BE INDEPENDENTLY ASSIGNED	\$500,000 MINIMUM CALCULATED QUARTERLY BASED ON PERFORMANCE BOND REQUIREMENTS AND TRADING VOLUME	GREATEST OF 1) \$5 MILLION 2) CFTC MIN REGULATORY 3) SEC MIN REGULATORY	MONTHLY 1-FR OR FOCUS REPORT AND ANNUAL CERTIFIED FINANCIAL STATEMENT	YES EXEMPTIONS 1) NO HOUSE BUSINESS 2) MAINTAIN \$300 MILLION IN ANC 3) MINIMAL ACTIVITY IN HOUSE ORIGIN	REGULATORY YES CLEARING FEE YES PROP TRADING YES	NO	\$2,300	QUALIFIED IN IL OR NY OR AGENCY AGREEMENT APPROVED NON-U S LOCATION

(1) EQUIVALENT W-2 OR EQUIVALENT 1099-MISC DOCUMENT OF A FOREIGN JURISDICTION IS ACCEPTABLE

(2) FOR CLEARING MEMBERS, CME AND CBOT RULE 106 I MEMBERS AND CME, CBOT, NYMEX AND COMEX RULE 106 J MEMBERS, INDEPENDENT CONTRACTORS WHO MAINTAIN AT LEAST \$250,000 IN HOLDBACKS MAY HAVE THEIR COMPENSATION REPORTED ON A 1099-MISC AND/OR 1099-B

(3) FOR CLEARING MEMBERS, CME AND CBOT RULE 106 I MEMBERS AND CME, CBOT, NYMEX AND COMEX RULE 106 J MEMBERS, INDIVIDUAL OWNERS' CAPITAL INTEREST AS WELL AS ANY HOLDBACKS MAY BE ADDED TOGETHER TO MEET THE \$250,000 PROPRIETARY INTEREST

(4) REGISTERED CTAs, EXEMPT CTAs UNDER CFTC REGULATIONS 4.14(a)(4), 4.14(a)(5), 4.14(a)(6)(i)(D), OR 4.14(a)(10), INVESTMENT MANAGERS AUTHORIZED BY THE FINANCIAL SERVICES AUTHORITY, AND OTHER EQUIVALENT AUTHORIZED ENTITIES ARE ACCEPTABLE

(5) FOR CME, CBOT AND NYMEX CLEARING MEMBERS WHICH DESIRE TO RECEIVE REDUCED TRANSACTION FEES ON PROPRIETARY TRADES, 6000 CME GROUP SHARES ARE REQUIRED

(6) FOR CME, CBOT AND NYMEX CLEARING MEMBER WITH SHARES, CBOT RULE 106 S FAMILY OF FUNDS EQUITY MEMBERS, AND CME, CBOT AND NYMEX RULE 106 J MEMBERS, 6,000 CME GROUP SHARES ARE REQUIRED IF THE ENTITY JOINS 1 EXCHANGE, 9,000 CME GROUP SHARES IF 2 EXCHANGES AND 12,000 CME GROUP SHARES IF 3 EXCHANGES. NOTE: COMEX CLEARING AND RULE 106 J MEMBER FIRMS HAVE NO CME GROUP SHARE REQUIREMENT. 50% OF SHARES MAY BE INDEPENDENTLY ASSIGNED

(7) THE MEMBERSHIP STATUS OF THE INDIVIDUAL ENTERING THE TRADE WILL IMPACT THE LEVEL OF MEMBER FEE CHARGED FOR CBOT CLEARING FIRMS

THE SUMMARY SHEETS ONLY PROVIDE AN OUTLINE OF THE REQUIREMENTS FOR MEMBERSHIP AND INCENTIVE PROGRAM PARTICIPATION RELATING TO FUTURES AND OPTIONS ON THE EXCHANGES OF CME GROUP INC. PLEASE REFER TO THE RULEBOOKS, QUESTION & ANSWER GUIDES AND FEE POLICY BULLETINS WHICH MAY BE FOUND ON CME GROUP'S WEB SITE AT WWW.CMEGROUP.COM FOR COMPLETE DETAILS AND REQUIREMENTS OF THE MEMBERSHIP AND INCENTIVE PROGRAM OPTIONS

CLEARING MEMBERSHIP	ELIGIBLE PARTICIPANTS	PRIVILEGES EXTENDED TO (1)	PRODUCTS	ELIGIBLE TRADERS	MEMBERSHIP REQUIREMENT	GUARANTY FUND DEPOSIT	CAPITAL REQUIREMENTS	FINANCIAL REPORTING	PARENT GUARANTEE	AUDITS	NFA FEES	APPLICATION AND MEMBERSHIP TRANSFER FEES	OTHER
CME CLEARING MEMBER	HEDGE FUNDS, COMMODITY POOLS OR THEIR INVESTMENT MANAGER	MEMBER FIRM AND 100% OWNED SUBSIDIARIES ELECTRONIC AND FLOOR	ALL	W-2 EMPLOYEES (1) IND CONTRACTORS 1099-MISC (1) IND CONTRACTORS ≥ 250K HOLDBACKS (1) BONA-FIDE OWNERS ≥ 250K (1) INDV. EQUITY MEMBERS WITHIN DIVISION RULE 106 F TRANSFERS CTAs (4)	PURCHASE OR ASSIGN 2 CME 2 IMM 2 IOM 1 GEM 6,000 CME GROUP SHARES (5) MAY BE OWNED BY: 1) CLEARING FUND, 2) FUND MGMT CO OF CLEARING FUND, OR 3) PERSON/ENTITY WITH ACCEPTABLE PROPRIETARY INTEREST IN FUND MGMT CO ONE CME, ONE IMM, ONE IOM AND 50% OF CME GROUP SHARES MAY BE INDEPENDENTLY ASSIGNED	\$500,000 MINIMUM CALCULATED QUARTERLY BASED ON PERFORMANCE BOND REQUIREMENTS AND TRADING VOLUME	GREATEST OF: 1) \$5 MILLION 2) CFTC MIN REGULATORY 3) SEC MIN REGULATORY 4) IF INVESTMENT MANAGER IS CLEARING MEMBER \$50 MILLION MINIMUM	MONTHLY 1-FR FOCUS REPORT, OR EQUIVALENT FINANCIAL STATEMENT AND ANNUAL CERTIFIED FINANCIAL STATEMENT	YES (7) EXEMPTIONS: 1) NO HOUSE BUSINESS 2) MAINTAIN \$300 MILLION IN ANC 3) MINIMAL ACTIVITY IN HOUSE ORIGIN	REGULATORY: YES CLEARING FEE: YES PROP TRADING: YES	NO UNLESS COMMODITY POOL IS OPERATED BY NFA MEMBER CPO	\$3,800 PROVIDED NO INDIVIDUALS ON MEMBERSHIP	MUST: 1) BE QUALIFIED IN IL OR NY OR AGENCY AGREEMENT 2) AN APPROVED NON-U.S. LOCATION 3) \$1BILLION UNDER MANAGEMENT, AND 4) BE OPERATIONALLY READY TO DIRECTLY INTERFACE WITH THE CLEARING HOUSE AND EXCHANGE INCLUDING, BUT NOT LIMITED TO, POSITION REPORTING, LARGE TRADER REPORTING, SETTLEMENT/VARIATION, PERFORMANCE BOND REQUIREMENTS, ACCEPTABLE COLLATERAL, RISK MANAGEMENT AND REGISTRATIONS IN THE EXCHANGE FEE SYSTEM
CBOT CLEARING MEMBER	HEDGE FUNDS, COMMODITY POOLS OR THEIR INVESTMENT MANAGER	MEMBER FIRM AND UP TO 5 AFFILIATED HEDGE FUNDS POOLS ADDITIONAL AFFILIATED HEDGE FUNDS POOL (BEYOND THE 5) MUST PURCHASE OR ASSIGN A MEMBERSHIP ELECTRONIC AND FLOOR	ALL	W-2 EMPLOYEES (1) IND CONTRACTORS 1099-MISC (1) IND CONTRACTORS ≥ 250K HOLDBACKS (1) BONA-FIDE OWNERS ≥ 250K (1) INDV. EQUITY MEMBERS WITHIN DIVISION RULE 106 F TRANSFERS CTAs (4)	PURCHASE OR ASSIGN 4 FULL 2 AM 6,000 CME GROUP SHARES (5) MAY BE OWNED BY: 1) FAMILY OF FUNDS MEMBER FIRM, 2) FUND MGMT CO OF CLEARING FUND, 3) ONE OR MORE QUALIFIED FUNDS, 4) PERSON/ENTITY WITH ACCEPTABLE PROPRIETARY INTEREST IN FUND MGMT CO TWO FULL, ONE AM AND 50% OF CME GROUP SHARES MAY BE INDEPENDENTLY ASSIGNED	\$500,000 MINIMUM CALCULATED QUARTERLY BASED ON PERFORMANCE BOND REQUIREMENTS AND TRADING VOLUME	GREATEST OF: 1) \$5 MILLION 2) CFTC MIN REGULATORY 3) SEC MIN REGULATORY 4) IF INVESTMENT MANAGER IS CLEARING MEMBER \$50 MILLION MINIMUM	MONTHLY 1-FR FOCUS REPORT, OR EQUIVALENT FINANCIAL STATEMENT AND ANNUAL CERTIFIED FINANCIAL STATEMENT	YES (7) EXEMPTIONS: 1) NO HOUSE BUSINESS 2) MAINTAIN \$300 MILLION IN ANC 3) MINIMAL ACTIVITY IN HOUSE ORIGIN	REGULATORY: YES CLEARING FEE: YES PROP TRADING: YES	NO UNLESS COMMODITY POOL IS OPERATED BY NFA MEMBER CPO	\$3,500 PROVIDED NO INDIVIDUALS ON MEMBERSHIP	MUST: 1) BE QUALIFIED IN IL OR NY OR AGENCY AGREEMENT 2) AN APPROVED NON-U.S. LOCATION 3) \$1BILLION UNDER MANAGEMENT, AND 4) BE OPERATIONALLY READY TO DIRECTLY INTERFACE WITH THE CLEARING HOUSE AND EXCHANGE INCLUDING, BUT NOT LIMITED TO, POSITION REPORTING, LARGE TRADER REPORTING, SETTLEMENT/VARIATION, PERFORMANCE BOND REQUIREMENTS, ACCEPTABLE COLLATERAL, RISK MANAGEMENT AND REGISTRATIONS IN THE EXCHANGE FEE SYSTEM
NYMEX CLEARING MEMBER	HEDGE FUNDS, COMMODITY POOLS OR THEIR INVESTMENT MANAGER	MEMBER FIRM ONLY ELECTRONIC AND FLOOR	ALL	W-2 EMPLOYEES (1) IND CONTRACTORS 1099-MISC (1) IND CONTRACTORS ≥ 250K HOLDBACKS (1) BONA-FIDE OWNERS ≥ 250K (1) INDV. EQUITY MEMBERS WITHIN DIVISION RULE 106 F TRANSFERS CTAs (4)	PURCHASE OR ASSIGN 2 FULL 6,000 CME GROUP SHARES (5) MAY BE OWNED BY: 1) CLEARING FUND, 2) FUND MGMT CO OF CLEARING FUND, OR 3) PERSON/ENTITY WITH ACCEPTABLE PROPRIETARY INTEREST IN FUND MGMT CO ONE MEMBERSHIP AND 50% OF CME GROUP SHARES MAY BE INDEPENDENTLY ASSIGNED	\$500,000 MINIMUM (\$2,500,000 IF CLEARPORT) CALCULATED QUARTERLY BASED ON PERFORMANCE BOND REQUIREMENTS AND TRADING VOLUME	GREATEST OF: 1) \$5 MILLION 2) CFTC MIN REGULATORY 3) SEC MIN REGULATORY 4) IF INVESTMENT MANAGER IS CLEARING MEMBER \$50 MILLION MINIMUM 5) \$20 MILLION IF QUANTITATIVE LOCALS TRADING CLEARPORT WHO ARE NOT OTHERWISE ECPs	MONTHLY 1-FR FOCUS REPORT, OR EQUIVALENT FINANCIAL STATEMENT AND ANNUAL CERTIFIED FINANCIAL STATEMENT	YES (7) EXEMPTIONS: 1) NO HOUSE BUSINESS 2) MAINTAIN \$300 MILLION IN ANC 3) MINIMAL ACTIVITY IN HOUSE ORIGIN	REGULATORY: YES CLEARING FEE: YES PROP TRADING: YES	NO UNLESS COMMODITY POOL IS OPERATED BY NFA MEMBER CPO	\$2,300	MUST: 1) BE QUALIFIED IN IL OR NY OR AGENCY AGREEMENT 2) AN APPROVED NON-U.S. LOCATION 3) \$1BILLION UNDER MANAGEMENT, AND 4) BE OPERATIONALLY READY TO DIRECTLY INTERFACE WITH THE CLEARING HOUSE AND EXCHANGE INCLUDING, BUT NOT LIMITED TO, POSITION REPORTING, LARGE TRADER REPORTING, SETTLEMENT/VARIATION, PERFORMANCE BOND REQUIREMENTS, ACCEPTABLE COLLATERAL, RISK MANAGEMENT AND REGISTRATIONS IN THE EXCHANGE FEE SYSTEM
COMEX CLEARING MEMBER	HEDGE FUNDS, COMMODITY POOLS OR THEIR INVESTMENT MANAGER	MEMBER FIRM ONLY ELECTRONIC AND FLOOR	ALL	W-2 EMPLOYEES (1) IND CONTRACTORS 1099-MISC (1) IND CONTRACTORS ≥ 250K HOLDBACKS (1) BONA-FIDE OWNERS ≥ 250K (1) INDV. EQUITY MEMBERS WITHIN DIVISION RULE 106 F TRANSFERS CTAs (4)	PURCHASE OR ASSIGN 2 FULL MAY BE OWNED BY: 1) CLEARING FUND, 2) FUND MGMT CO OF CLEARING FUND, OR 3) PERSON/ENTITY WITH ACCEPTABLE PROPRIETARY INTEREST IN FUND MGMT CO ONE MEMBERSHIP MAY BE INDEPENDENTLY ASSIGNED	\$500,000 MINIMUM CALCULATED QUARTERLY BASED ON PERFORMANCE BOND REQUIREMENTS AND TRADING VOLUME	GREATEST OF: 1) \$5 MILLION 2) CFTC MIN REGULATORY 3) SEC MIN REGULATORY 4) IF INVESTMENT MANAGER IS CLEARING MEMBER \$50 MILLION MINIMUM	MONTHLY 1-FR FOCUS REPORT, OR EQUIVALENT FINANCIAL STATEMENT AND ANNUAL CERTIFIED FINANCIAL STATEMENT	YES (7) EXEMPTIONS: 1) NO HOUSE BUSINESS 2) MAINTAIN \$300 MILLION IN ANC 3) MINIMAL ACTIVITY IN HOUSE ORIGIN	REGULATORY: YES CLEARING FEE: YES PROP TRADING: YES	NO UNLESS COMMODITY POOL IS OPERATED BY NFA MEMBER CPO	\$2,300	MUST: 1) BE QUALIFIED IN IL OR NY OR AGENCY AGREEMENT 2) AN APPROVED NON-U.S. LOCATION 3) \$1BILLION UNDER MANAGEMENT, AND 4) BE OPERATIONALLY READY TO DIRECTLY INTERFACE WITH THE CLEARING HOUSE AND EXCHANGE INCLUDING, BUT NOT LIMITED TO, POSITION REPORTING, LARGE TRADER REPORTING, SETTLEMENT/VARIATION, PERFORMANCE BOND REQUIREMENTS, ACCEPTABLE COLLATERAL, RISK MANAGEMENT AND REGISTRATIONS IN THE EXCHANGE FEE SYSTEM

(1) EQUIVALENT W-2 OR EQUIVALENT 1099-MISC DOCUMENT OF A FOREIGN JURISDICTION IS ACCEPTABLE

(2) FOR CLEARING MEMBERS, CME AND CBOT RULE 106 I MEMBERS AND CME, CBOT, NYMEX AND COMEX RULE 106 J MEMBERS, INDEPENDENT CONTRACTORS WHO MAINTAIN AT LEAST \$250,000 IN HOLDBACKS MAY HAVE THEIR COMPENSATION REPORTED ON A 1099-MISC AND/OR 1099-B

(3) FOR CLEARING MEMBERS, CME AND CBOT RULE 106 I MEMBERS AND CME, CBOT, NYMEX AND COMEX RULE 106 J MEMBERS, INDIVIDUAL OWNERS' CAPITAL INTEREST AS WELL AS ANY HOLDBACKS MAY BE ADDED TOGETHER TO MEET THE \$250,000 PROPRIETARY INTEREST

(4) REGISTERED CTAs, EXEMPT CTAs UNDER CFTC REGULATIONS 4.14(a)(4), 4.14(b)(5), 4.14(c)(8)(i)(ii), OR 4.14(d)(10), INVESTMENT MANAGERS AUTHORIZED BY THE FINANCIAL SERVICES AUTHORITY, AND OTHER EQUIVALENT AUTHORIZED ENTITIES ARE ACCEPTABLE

(5) FOR CME, CBOT AND NYMEX CLEARING MEMBERS WITH SHARES, CBOT RULE 106 S FAMILY OF FUNDS EQUITY MEMBERS, CME, CBOT AND NYMEX RULE 106 J MEMBERS, 6,000 CME GROUP SHARES ARE REQUIRED IF THE ENTITY JOINS 1 EXCHANGE, 9,000 CME GROUP SHARES IF 2 EXCHANGES AND 12,000 CME GROUP SHARES IF 3 EXCHANGES. NOTE: COMEX CLEARING AND RULE 106 J MEMBER FIRMS HAVE NO CME GROUP SHARE REQUIREMENT. 50% OF SHARES MAY BE INDEPENDENTLY ASSIGNED

(6) IF THE INVESTMENT MANAGER BECOMES THE CLEARING MEMBER, THE INVESTMENT MANAGER MAY DESIGNATE A HEDGE FUND TO RECEIVE THE FEE BENEFITS

(7) A PARENT GUARANTEE WOULD NOT BE REQUIRED FROM ANY OF THE UNDERLYING INVESTORS

THE SUMMARY SHEETS ONLY PROVIDE AN OUTLINE OF THE REQUIREMENTS FOR MEMBERSHIP AND INCENTIVE PROGRAM PARTICIPATION RELATING TO FUTURES AND OPTIONS ON THE EXCHANGES OF CME GROUP INC. PLEASE REFER TO THE RULEBOOKS, QUESTION & ANSWER GUIDES AND FEE POLICY BULLETINS WHICH MAY BE FOUND ON CME GROUP'S WEB SITE AT WWW.CMEGROUP.COM FOR COMPLETE DETAILS AND REQUIREMENTS OF THE MEMBERSHIP AND INCENTIVE PROGRAM OPTIONS