

Individual Membership Application Checklist

Dear New Applicant,

Thank you for your interest in membership in the NYMEX and/or COMEX Division(s) of CME Group. Once the Shareholder Relations and Membership Services Department ("Department") has received your complete and accurate application, they will begin to process it. Your application is considered complete once the Department has received all the items listed below. In order to expedite the processing of your application, please be sure that you have done the following:

- Typewritten, signed and notarized original version of your application.
- Answer EVERY question on the application. You may answer "N/A" (not applicable) when appropriate.
- Provided a typewritten explanation for any questions answered "yes" and submit any supporting documentation. *
- Provided a complete business history for the past 5 years. If necessary, you may attach an additional page.
- Provided the most recent account statements for all bank and brokerage accounts listed. *
- Provided documentation to verify the value of any real estate owned. *
- Included a \$2000 application fee (checks should be made payable to Chicago Mercantile Exchange Inc.).
- Provided two 2" X 2" passport quality color photographs.
- Provided the original or a certified copy of your birth certificate or a valid passport.



If leasing a membership, and financing for your trading will come from a source other than your own personal funds, you must submit a letter detailing such arrangements signed by you and the party supplying such funds.

If you intend to only trade electronically, you may submit an Electronic Access Form which will (once you've procured a membership) allow you to obtain reduced clearing fees while your application is being reviewed.

You can expect the entire membership application process to take approximately 2-4 weeks to complete. Approximately one week after the Department has received your complete application and application fee; you will be receive, via the email information provided within your application, details regarding the application process and the Exchange's Broker Training Program should your attendance be required.

It is mandatory that all applicants intending to execute trades on the trading floor register with the NFA as either a floor broker or floor trader. An NFA application may be obtained at [www.nfa.futures.org -/registration/online registration system/enrollment form](http://www.nfa.futures.org/-/registration/online%20registration%20system/enrollment%20form).

If you have specific questions regarding your NFA application, you may contact the NFA directly at (312) 781-1410.

If you have any questions regarding the membership application process, please feel free to Contact the Department at (212) 299-2375 or email bridget.sullivan@cmegroup.com.

*If documentation is not provided, the processing of your application may be delayed.