



MEMBERSHIP ASSIGNMENT FOR NON-CLEARING CBOT MEMBERS

This assignment form is used by CBOT Rule 106.H., I., J. and S. member firms, or their member-principals or member-employees, who will assign memberships in the Chicago Board of Trade, Inc. on behalf of the member firm. A separate assignment form must be completed by each member who wishes to assign such membership for a firm, if memberships will be assigned for the same firm by one or more members.

1. Assignor (Owner): _____
2. If the Assignor is an individual member, the Assignor must be:
(Please check one, or both, as applicable)
☐ An employee of the firm
☐ A principal of the firm
Assignor's position/ title: _____
3. Member Firm Name: _____
4. Address: _____
5. City, State, Zip Code: _____
6. Telephone Number: _____
7. If the member firm is a Rule 106.I. member firm, please indicate the name of the Clearing member firm or Rule 106.J Member firm with which it is affiliated:

8. Type of Membership being assigned:

Series B- _____

Type/Division _____

Membership Number # _____

The Interpretation to Chapter 1 of the CBOT Rulebook, entitled "Firm Membership Types", identifies the categories of member firms as they correspond to CBOT Rule 106 and the memberships that must be assigned on behalf of a member firm in each category.

Pursuant to CBOT Rule 133, if a member firm is in default upon any financial obligation due to the Exchange or any financial obligation to another member or to a clearing member in connection with transactions on the Exchange, the CEO of CBOT may order the sale of any memberships assigned on behalf of the member firm and the proceeds will be applied as provided in CBOT Rule 110.

Signature of Assignor (Member) if Individual

Date

Signature and Title of Firm Representative
if Assignor (Member) is a Firm

Date

Any questions you have regarding:

- Member firm registration may be directed to the Audit Department at 312-930-3230.
- Purchasing/transferring/leasing a membership may be directed to Joyce Blau, Supervisor, Member Services, at 312-435-3460.