

## CBOT Transaction Fee Schedule for OTC Products

Type	Account Owner	Trading Rights & Shares	Trading Right of Individual Executing the Trade	KC HRW Wheat Calendar Swap & Calendar Swaps Futures (Note 1 & 2)	Fertilizer Swaps (Note 1 & 2)	AUD Dollar Wheat Swap & AUD KC HRW Wheat Swap (Note 1 & 2)	Bloomberg CI Swaps (Note 1 & 2)	Bloomberg 2nd & 3rd Month Forward Swap (Note 1 & 2)
1	INDIVIDUAL MEMBERS							
	Individual Members	1 Series B (Full)	Member Account Owner	\$2.00	\$4.00	\$1.00	\$0.50	\$1.00
	Individual Delegates	Leased 1 Series B (Full)	Delegate Account Owner	\$2.25	\$4.50	\$1.25	\$0.50	\$1.00
2	EQUITY MEMBERS (Individual Equity Members, Clearing Firms and Equity Firms)							
	Clearing Closely Held Corporate Members; Clearing Corporate Members; Rule 106.J. Equity FCMs; & Sole Proprietors	1 Series B-1 (Full) & 20,000 Shares	Member, Delegate or Non-Member	\$2.00	\$4.00	\$1.00	\$0.50	\$1.00
	Rule 106.J. Equity Closely Held Corporate Members Rule 106.J. Equity Corporate Members; & Rule 106.I. Affiliate Equity Member Firms (Affiliate of Clearing or Rule 106.J. Equity Member)	1 Series B-1 (Full) & 20,000 Shares						
	Individual Equity Members (Other Member or Delegate executing the trade)	1 Series B-1 (Full) & 20,000 Shares						
	Clearing FCMs	2 Series B-1 (Full) & 20,000 Shares						
	Rule 106.I. Affiliate Membership Umbrella - Qualified Affiliate - Unlimited Number of Affiliates	4 Series B-1 ( Full), 2 Series B-2 (Associate) & 20,000 Shares; or 5 Series B-1 (Full) & 20,000 Shares (Agricultural Only)	Member, Delegate or Non-Member	\$2.00	\$4.00	\$1.00	\$0.50	\$1.00
	Rule 106.S. Family of Funds Equity Member Firms - Qualifies up to six Pools/Hedge Funds; additional entities can be qualified by the registration of an additional trading right.	4 Series B-1 (Full) & 2 Series B-2 (Associate) & 30,000 Shares						
3	TRADING MEMBERS (Individual Non-Equity Members and Non-Equity Member Firms)							
	Individual Non-Equity Members (other member or delegate executing the trade); Rule 106.H. Corporate Trading Firms; Clearing Member Firms; Rule 106.H. Trading FCMs; & Rule 106.I. Affiliate Trading Member Firms (Affiliate of Clearing, Rule 106.J. Equity Member or Rule 106.H Trading Member Firms).	1 Series B-1 (Full)	Member, Delegate or Non-Member	\$2.25	\$4.50	\$1.25	\$0.50	\$1.00
	Individual Delegates (Other Member or Delegate executing the trade) & Rule 106.I. Affiliate Trading Member Firms (Affiliate of Clearing, Rule 106.J Equity Member or Rule 106.H. Trading Member).	Leased 1 Series B-1 (Full)	Member, Delegate or Non-Member	\$2.25	\$4.50	\$1.25	\$0.50	\$1.00
	Rule 106.S. Family of Funds Trading Member Firms - Qualifies up to three Pools/Hedge Funds; additional entities can be qualified by the registration of an additional trading right.	2 Series B-1 (Full) & 1 Series B-2 (Associate)	Member, Delegate or Non-Member	\$2.25	\$4.50	\$1.25	\$0.50	\$1.00
4	Electronic Corporate Member Firms							
	Rule 106.R. Electronic Corporate Member Firms	Leased 1 Series B-1 (Full) or Leased 1 Series B-2 (Associate)	Member, Delegate or Non-Member	\$2.50	\$5.00	\$1.50	\$0.50	\$1.00
5	NON - MEMBERS (Including Incentive Programs)**							
	Non-Members	N/A	N/A	\$2.50	\$5.00	\$1.50	\$0.50	\$1.00

The preceding fee schedule is provided by CME Group as a quick reference guide for general information purposes. Fees may be changed at any time without notice. This schedule in no way shall be construed as being an official rule of CME, CBOT or any other CME Group subsidiary.

**Clearing/Rule 106.J Equity Closely Held Corporate Members** must be wholly owned by individual members or by members and employees of the firm. Verification of employment is required.

**Affiliates** must be wholly owned by one or more member firms, wholly own a member firm, or be wholly owned by the same parent company (ies) as a member firm.

**Trading Rights:** Full - All Futures & Options; Associate - Agricultural Options Only; COM - Agricultural Options Only

Clearing Fee Waiver and Ex-Pit Waiver on the Calendar Swaps and Corn Basis Swaps.

Note 1. Clearing Fee Waiver and Ex-Pit Waiver on the Calendar Swaps, Fertilizer Swap, Australian Dollar Wheat Swap, Bloomberg Swaps and Bloomberg 2nd & 3rd Month Forward Swaps.

Note 2. Facilitation Desk Fees - Calendar Swaps, Fertilizer Swap - Australian Dollar Wheat Swap, Bloomberg 2nd & 3rd Month Forward Swaps \$0.20 per contract.

Individual Trading (PIT) with discretion - Lessees (106.D), Firm Employee (106.F) Outside the Family of Firms will be charged \$0.15 Broker Fee.

\*\*Incentive Programs Participants - IIP, IVIP, AIP, CBIP, EMBIP, FMIP, LAPTIP and LACIP

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