

	or in out		•					•	
					Mini-		ICSO   Calendar		
		Trading Rights of	Venue/	Agricultural	sized	Soybean Crush	Spread	Fertilizer	
		Individual	Transaction	Futures &					
Type	Account Owner	Executing Trade	Type	Options	Futures	Options	Options	Swaps	
			Open Outcry	\$0.15	\$0.12	\$0.60	\$0.24		
	Individual Members	Member Account	Globex	\$0.20	\$0.20	N/A	\$0.34	\$4.00	
	individual Members	Owner	EFP	\$1.10	\$1.07	\$1.55	\$1.19	34.00	
1			EFR   Block	\$1.40	\$1.37	\$1.85	\$1.49		
_			Open Outcry	\$0.30	\$0.27	\$0.75	\$0.54		
	Individual Delegates	Delegate Account	Globex	\$0.35	\$0.30	N/A	\$0.64	\$4.50	
	individual Delegates	Owner	EFP	\$1.25	\$1.22	\$1.70	\$1.49	34.3U	
			EFR Block	\$1.55	\$1.52	\$2.00	\$1.79		
	<b>EQUITY MEMBERS (Individual Equity Members, Clearing Equity Memb</b>	er Firms and Equity Me	mber Firms)						
			Open Outcry	\$0.15	\$0.12	\$0.60	\$0.24		
	Rule 106.J Equity Member Firms		Globex	\$0.20	\$0.20	N/A	\$0.34	\$4.00	
	Rule 106.I Affiliate Equity Member Firms	Member or Delegate	EFP	\$1.10	\$1.07	\$1.55	\$1.19		
	Individual Equity Members (Other Member/Delegate executing trade)		EFR Block	\$1.40	\$1.37	\$1.85	\$1.49		
_	Clearing Equity Member Firms		Globex	\$0.51	\$0.46	N/A	\$0.96		
2	Rule 106.I Affiliate Membership Umbrella - Qualified Affiliate	Non-Member	EFP	\$1.10	\$1.07	\$1.55	\$1.19	\$4.00	
	Traic 100.1 Armiate Wembership Ombrella Qualifica Armiate	Non-wember	EFR Block	\$1.10	\$1.07	\$1.85	\$1.49	34.00	
				•	<u> </u>	·			
		Mambar Dalagata	Open Outcry	\$0.15	\$0.12	\$0.60	\$0.24		
	Rule 106.S. Family of Funds Equity Member Firms	Member, Delegate	Globex	\$0.51	\$0.46	N/A	\$0.96	\$4.00	
		or Non-Member	EFP	\$1.10	\$1.07	\$1.55	\$1.19		
			EFR Block	\$1.40	\$1.37	\$1.85	\$1.49		
	TRADING MEMBERS (Individual Non-Equity Members, Clearing Non-Ec	uity Member Firms an	d Non-Equity Mem	nber Firms)					
	Individual Non-Equity Members (Other Member/Delegate executing trade)		Open Outcry	\$0.26	\$0.26	\$0.66	\$0.46	\$4.50	
	Clearing Non-Equity Member Firms	Member, Delegate	Globex	\$0.81	\$0.66	N/A	\$1.56		
	Rule 106.H Member Firms	or Non-Member	EFP	\$1.21	\$1.21	\$1.61	\$1.41		
	Rule 106.I Affiliate Trading Member Firms (w/ an owned seat)		EFR Block	\$1.51	\$1.51	\$1.91	\$1.71		
			Open Outcry	\$0.41	\$0.41	\$0.81	\$0.76		
3	Individual Delegates (Other Member/Delegate executing trade)	Member, Delegate	Globex	\$0.96	\$0.81	N/A	\$1.86	¢4 E0	
_	Rule 106.I Affiliate Trading Member Firms (w/ a leased seat)	or Non-Member	EFP	\$1.36	\$1.36	\$1.76	\$1.71	\$4.50	
			EFR Block	\$1.66	\$1.66	\$2.06	\$2.01		
			Open Outcry	\$0.26	\$0.26	\$0.66	\$0.46		
	Dula 100 C Family of Fronta Tradition Manufactor Simon	Member, Delegate	Globex	\$0.81	\$0.66	N/A	\$1.56	\$4.50	
	Rule 106.S. Family of Funds Trading Member Firms	or Non-Member	EFP	\$1.21	\$1.21	\$1.61	\$1.41	\$4.5U	
			EFR Block	\$1.51	\$1.51	\$1.91	\$1.71	1	
	Pule 106 P Flostronia Cornerate Member Firms	Member, Delegate							
4	Rule 106.R Electronic Corporate Member Firms (For other than Globex - See Non-Members)	or Non-Member	Globex	\$0.96	\$0.81	N/A	\$1.86	\$5.00	
	·	or reon wember							
	International Incentive Program (IIP)	Member, Delegate	Claba	ć1 0C	ć0.00	21/2	ć2 oc	ć= 00	
	International Volume Incentive Program (IVIP)	or Non-Member	Globex	\$1.06	\$0.90	N/A	\$2.06	\$5.00	
	(For other than Globex - See Non-Members)								
	Central Bank Incentive Program (CBIP) Emerging Markets Bank Incentive Program (EMBIP)								
		Member, Delegate							
5	Latin American Fund Manager Incentive Program (FMIP)	or Non-Member	Globex	\$1.45	\$0.90	N/A	\$2.06	\$5.00	
3	Latin American Proprietary Trading Incentive Program (LAPTIP)	or Non-Weinber							
	Latin American Commercial Incentive Program (LACIP) (For other than Globex - See Non-Members)								
	I of other diali Globex - See Noti-intellibers)		Open Outcry	\$0.71	\$0.49	\$6.06	\$1.36		
			Globex	\$1.95	\$1.03	N/A	\$3.84		
	Non-Members	N/A	EFP	\$1.95	\$1.03	\$7.01	\$3.84	\$5.00	
			EFR Block	\$1.06	\$1.74	\$7.01	\$2.61		
						γ1.31	₹.01		
			Open Outcry	\$0.30	\$0.20		N/A N/		
6	CMACE Permit Holders	Member, Delegate	Globex	\$0.41	\$0.66	N/A			
J	(For Rough Rice & Mini-Sized Products Only; For All Other Products - See Non-Members)	or Non-Member	EFP	\$1.25	\$1.15	,.	,	,^	
			EFR Block	\$1.55	\$1.45				



#### **CBOT Agricultural Product Volume Discounts & Incentives**

Effective through December 31, 2016, on a tiered basis, the Exchange Fee for **Agricultural Futures** (product codes C,O,S,W,O6,07,14, BSW, KW) traded during **Regular Trading Hours (RTH - 7:45am to 1:20pm CT)** will be reduced for trading volumes exceeding designated monthly average daily volume thresholds. **Open Outcry and Globex volume (excluding give-ups) is combined** when determining threshold levels.

	CBOT Agricultural Futures Vo	olume Discount - Regul	ar Trading Hours (F	RTH) - 7:45am to	1:20pm CT			
			Open	Outcry Futures		Gl	obex Futures	
		Trading Rights of	<b>Monthly Average</b>		Exchange	Monthly Average		Exchange
		Individual Executing	Daily Threshold	Exchange Fee	Fee	Daily Threshold	Exchange Fee	Fee
Туре	Account Owner	Trade	(sides)	Rate	Discount	(sides)	Rate	Discount
			0 - 2,500	\$0.15	none	0 - 2,500	\$0.20	none
1	Individual Members (with an without equity)	Member Account	2,501 - 5,000	\$0.13	\$0.02	2,501 - 5,000	\$0.18	\$0.02
1	Individual Members (with or without equity)	Owner	5,001 - 10,000	\$0.11	\$0.04	5,001 - 10,000	\$0.16	\$0.04
			> 10,000	\$0.09	\$0.06	> 10,000	\$0.14	\$0.06
			0 - 2,500	\$0.15	none	0 - 2,500	\$0.20	none
	Individual Equity Members (Other Member or Delegate executing the	Member or Delegate	2,501 - 5,000	\$0.13	\$0.02	2,501 - 5,000	\$0.18	\$0.02
	trade)	Member of Delegate	5,001 - 10,000	\$0.11	\$0.04	5,001 - 10,000	\$0.16	\$0.04
			> 10,000	\$0.09	\$0.06	> 10,000	\$0.14	\$0.06
2		Member, Delegate or	0 - 10,000	\$0.15	none	0 - 10,000	\$0.20/\$0.51	none
2	Equity Member Firms <sup>(1)</sup>	Non-Member	10,001 - 25,000	\$0.13	\$0.02	10,001 - 25,000	\$0.18/\$0.49	\$0.02
		Non-wember	> 25,000	\$0.11	\$0.04	> 25,000	\$0.16/\$0.47	\$0.04
		Member, Delegate or	0 - 5,000	\$0.15	none	0 - 5,000	\$0.20/\$0.51	none
	Equity Member Firms <sup>(2)</sup>	Non-Member	5,001 - 12,500	\$0.11	\$0.04	5,001 - 12,500	\$0.16/\$0.47	\$0.04
		inon-interriber	> 12,500	\$0.09	\$0.06	> 12,500	\$0.14/\$0.45	\$0.06

Effective through December 31, 2016, on a tiered basis, the Exchange Fee for Agricultural Futures traded electronically during Extended Trading Hours (ETH - 7:00pm to 7:45am CT) will be reduced for trading volumes exceeding designated monthly average daily volume thresholds. This volume discount applies only to Passive activity (excluding give-ups).

	CBOT Agricultural Futures Vo	olume Discount - Exten	ded Trading Hours	(ETH) - 7:00pm ·	- 7:45am CT			
			Soybean Meal	/Soybean Oil Fu	tures <sup>(3)</sup>	Ot	her Futures <sup>(4)</sup>	
Туре	Account Owner	Trading Rights of Individual Executing Trade	Monthly Average Daily Threshold (sides)	Exchange Fee Rate	Exchange Fee Discount	Monthly Average Daily Threshold (sides)	Exchange Fee Rate	Exchange Fee Discount
			0 - 50	\$0.20	none	0 - 50	\$0.20	none
1	Individual Mannhaus (with an without aguity)	Member Account	51 - 150	\$0.18	\$0.02	51 - 150	\$0.18	\$0.02
1	Individual Members (with or without equity)	Owner	151 - 250	\$0.16	\$0.04	151 - 250	\$0.16	\$0.04
			> 250	\$0.14	\$0.06	> 250	\$0.14	\$0.06
			0 - 50	\$0.20	none	0 - 50	\$0.20	none
	Individual Equity Members (Other Member or Delegate executing the	Member or Delegate	51 - 150	\$0.18	\$0.02	51 - 150	\$0.18	\$0.02
	trade)		151 - 250	\$0.16	\$0.04	151 - 250	\$0.16	\$0.04
			> 250	\$0.14	\$0.06	> 250	\$0.14	\$0.06
			0 - 50	\$0.20	none	0 - 100	\$0.20	none
2	Equity Member Firms <sup>(5)</sup>	Member or Delegate	51 - 150	\$0.18	\$0.02	101 - 500	\$0.18	\$0.02
_	Equity Member Firms	Wember of Belegate	151 - 250	\$0.16	\$0.04	501 - 1,500	\$0.16	\$0.04
			> 250	\$0.14	\$0.06	> 1,500	\$0.14	\$0.06
			0 - 50	\$0.51	none	0 - 100	\$0.51	none
	Equity Member Firms <sup>(5)</sup>	Non-Member	51 - 150	\$0.31	\$0.20	101 - 500	\$0.36	\$0.15
	Equity Member Firms		151 - 250	\$0.26	\$0.25	501 - 1,500	\$0.31	\$0.20
			> 250	\$0.22	\$0.29	> 1,500	\$0.26	\$0.25

Volume is aggregated by account owner across accounts, within a single filling firm. Clearing Members and their related 106.I Member(s) accounts will be treated as one firm for purposes of the volume aggregation. For Rule 106.S Member Approved Funds, their associated volume will be aggregated with their related Equity Corporate Member Firm.

- (1) RTH Standard Discount: Equity Member Firms must meet eligibility criteria and register with CME Group. Discount is capped at \$50,000 per participant per month.
- (2) RTH Accelerated Discount: Equity Member Firms must meet eligibility criteria and register with CME Group. Discount is capped at \$50,000 per participant per month.
- (3) ETH Soybean Meal and Soybean Oil (product codes 06 and 07) are aggregated separately from Other Products below.
- (4) ETH Other Products include Corn, Oats, Soybean, Wheat, Rough Rice, Kansas City Wheat, Black Sea Wheat (product codes C,O,S,W,14,KW,BSW).
- (5) ETH Equity Member Firms must meet eligibility criteria established by the Exchange; need to register with CME Group.





Effective through December 31, 2016, the Exchange Fee for **Agricultural Futures** (product codes C,O,S,W,O6,07,14, BSW, KW) will be reduced for trading volumes in designated future dated contract months

	СВОТ Ва	ck-Month Agriculture I	Futures Incentive P	rogram						
			Open	Outcry Futures		Globex Futures				
		Trading Rights of			Exchange	i		Exchange		
		Individual Executing		Exchange Fee	Fee	!	Exchange Fee	Fee		
Туре	Account Owner	Trade	<b>Contract Months</b>	Rate	Discount	<b>Contract Months</b>	Rate	Discount		
		Member Account	1, 2, 3	\$0.15	none	1, 2, 3	\$0.20	none		
	Individual Members	Owner	4,5,6	\$0.13	\$0.02	4,5,6	\$0.18	\$0.02		
1		Owner	7 and beyond	\$0.11	\$0.04	7 and beyond	\$0.16	\$0.04		
1			1, 2, 3	\$0.30	none	1, 2, 3	\$0.35	none		
	Individual Delegates	Delegate Account Owner	4,5,6	\$0.28	\$0.02	4,5,6	\$0.33	\$0.02		
		Owner	7 and beyond	\$0.26	\$0.04	7 and beyond	\$0.31	\$0.04		
		Member or Delegate	1, 2, 3	\$0.15	none	1, 2, 3	\$0.20	none		
2	Equity Member Firms or Individual Equity Member		4,5,6	\$0.13	\$0.02	4,5,6	\$0.18	\$0.02		
			7 and beyond	\$0.11	\$0.04	7 and beyond	\$0.16	\$0.04		



W.	or it or oup			•							
		Trading Rights of Individual	Venue/	E-mini Dow (\$5) Futures &	DJ U.S. Real Estate	Bloomberg Roll Select Cl	Bloomberg Commodity Index	Bloomberg Commodity Index	Bloomberg CI 2 & 3 Month Forward		
Туре	Account Owner	Executing Trade	Transaction Type		Futures	Futures	Futures (2)	Swaps	Swaps		
		A4	Globex	\$0.11	\$0.11	\$1.00	\$0.06				
	Individual Members	Member Account Owner	Globex - BTIC (1) EFP EFR	\$1.36	4	4	\$1.31	\$0.50	\$1.00		
1			Block		\$0.86	\$1.50	\$0.81				
_		Delegate Account	Globex - BTIC (1)	\$0.26	\$0.26	\$1.00	\$0.06				
	Individual Delegates	Owner	EFP EFR	\$1.51	ć1 01	¢4.50	\$1.31	\$0.50	\$1.00		
			Block		\$1.01	\$1.50	\$0.81				
	EQUITY MEMBERS (Individual Equity Members, Clearing Equity Member Firms	and Equity Member F		•			1	1			
			Globex - BTIC (1)	\$0.11	\$0.11	\$1.00	\$0.06				
	Rule 106.J Equity Member Firms	Member or Delegate	EFP EFR	\$1.36	40.00	44.50	\$1.31	\$0.50	\$1.00		
	Rule 106.I Affiliate Equity Member Firms Individual Equity Members (Other Member/Delegate executing the trade)		Block		\$0.86	\$1.50	\$0.81				
2	Clearing Equity Member Firms		Globex - BTIC (1)	\$0.26	\$0.26	\$1.00	\$0.06	\$0.50			
~	Rule 106.I Affiliate Membership Umbrella - Qualified Affiliate	Non-Member	EFP EFR	\$1.51	\$1.01	\$1.50	\$1.31		\$1.00		
			Block	4	31.01	\$1.50	\$0.81				
		Member, Delegate or	Globex - BTIC (1)	\$0.26	\$0.26	\$1.00	\$0.06				
	Rule 106.S. Family of Funds Equity Member Firms	Non-Member	EFP EFR	\$1.51	ć1 01	ć1 F0	\$1.31	\$0.50	\$1.00		
			Block		\$1.01	\$1.50	\$0.81				
	TRADING MEMBERS (Individual Non-Equity Members, Clearing Non-Equity Members)	ember Firms and Non-E	1					I			
	Individual Non-Equity Members (Other Member/Delegate executing the trade)		Globex	\$0.30	\$0.30 \$1.00	0.30 \$1.00 \$0.06					
	Clearing Non-Equity Member Firms Rule 106.H Member Firms	Member, Delegate or Non-Member	Globex - BTIC (1)	44.55	\$1.05		4	\$0.50	\$1.00		
	Rule 106.I Affiliate Trading Member Firms (w/ an owned seat)	Non Wember	EFP EFR	\$1.55		\$1.05	\$1.50	\$1.31	_		
			Block				\$0.81				
3	Individual Pologator (Other Members and Polosate supplies the totals)	Mambar Dalagata ar	Globex	\$0.49	\$0.49	\$0.49	\$0.49	\$1.00	\$0.06		
	Individual Delegates (Other Member or Delegate executing the trade) Rule 106.I Affiliate Trading Member Firms (w/ a leased seat)	Member, Delegate or Non-Member	Globex - BTIC (1)	44.74			4	\$0.50	\$1.00		
			EFP EFR Block	\$1.74	\$1.24	\$1.50	\$1.31 \$0.81				
			Globex	\$0.30	\$0.30	\$1.00	\$0.06				
	Rule 106.S. Family of Funds Trading Member Firms	Member, Delegate or Non-Member	Globex - BTIC (1)	\$1.55	<b>70.30</b>	71.00		\$0.50	\$1.00		
		Non-wember	EFP EFR Block	31.33	\$1.05	\$1.50	\$1.31 \$0.81				
4	Rule 106.R Electronic Corporate Member Firms	Member, Delegate or	Globex	\$0.30	\$0.30	\$1.00	\$0.06	\$0.50	\$1.00		
4	(For other than Globex - See Non-Members)	Non-Member	Globex - BTIC (1)	\$2.41	30.30	ÿ1.00	30.00	30.30	\$1.00		
	International Incentive Program (IIP) International Volume Incentive Program (IVIP)	Member, Delegate or		\$0.54	\$0.54	\$1.00	\$0.06	\$0.50	\$1.00		
	(For other than Globex - See Non-Members)	Non-Member	Globex - BTIC (1)	\$1.29	,	7	7	7	1-1-4-		
	Central Bank Incentive Program (CBIP) Emerging Markets Bank Incentive Program (EMBIP)		Globex	\$0.75							
_	Latin American Fund Manager Incentive Program (FMIP)	Member, Delegate or Non-Member			\$0.75	\$1.00	\$0.06	\$0.50	\$1.00		
5	Latin American Proprietary Trading Incentive Program (LAPTIP) (For other than Globex - See Non-Members)	Non Wember	Globex - BTIC (1)	\$1.50				70.50			
	ין איז		Globex	\$1.16	\$1.16	\$1.00	\$0.06				
	Non-Members	N/A	Globex - BTIC (1)	62.44	31.10	31.00		\$0.50	\$1.00		
			EFP EFR Block	\$2.41	\$1.41	\$1.50	\$1.31 \$0.81	-			
		1					7	1			





## **CBOT Equity Product Notes**

- (1) Differentiated rates for Globex BTIC apply only to Basis Trade at Index Close transactions of mini Dow Futures via CME Globex. Globex BTIC transactions available in any other products are charged the applicable Globex rates.
- (2) The Bloomberg CI Future incorporates an "embedded fee". Every calendar day, the long position holder will be charged an embedded fee calculated using the following formula:

#### [Number of Contracts] x \$100 x [Daily Settlement Price] x [ 0.0040/365 ] x Days

Days are calculated as the number of calendar days from the current Bloomberg CI<sup>sm</sup> trading date to the next Bloomberg CI<sup>sm</sup> trading date.

There is no embedded fee charged to the short position holder, however, short position holders receive a pass through payment from the fee collected from the long position holder equal to:

[Number of Contracts] x \$100 x [Daily Settlement Price] x [ 0.0010/365 ] x Days



		Totalina Biological	Manual									
		Trading Rights of Individual	Venue/ Transaction		Tr	easury Futur	es	1.00	Treasury Options	End Sound	BAAC COOR	
Turno	Account Owner			avelave	EVD	10YR	201/0	Ultra	avelave All other	Fed Fund	MAC Swap	
Type	Account Owner	Executing Trade	Туре	2YR 3YR	5YR	Ultra 10YR	30YR	Bond	2YR 3YR All Other (	Futures Options \$0.11	Futures	
	Individual Manchage	Member Account	Open Outcry			\$0.12 \$0.12			\$0.12 \$0.12	\$0.16	60.16	
	Individual Members	Owner	Globex			•			<u>'</u>	<u> </u>	\$0.16	
1			EFP EFR Block			\$0.87			\$0.87	\$0.86		
		Delegate Account	Open Outcry			\$0.26			\$0.26	\$0.26		
	Individual Delegates	Owner	Globex			\$0.26			\$0.26	\$0.31	\$0.31	
			EFP EFR Block			\$1.01			\$1.01	\$1.01		
	<b>EQUITY MEMBERS (Individual Equity Members, Clearing Equity Memb</b>	er Firms and Equity Me	ember Firms)									
	Rule 106.J Equity Member Firms		Open Outcry			\$0.12			\$0.12	\$0.11		
	Rule 106.I Affiliate Equity Member Firms	Member or Delegate	Globex			\$0.12			\$0.12	\$0.16		
	Individual Equity Members (Other Member/Delegate executing trade)		EFP EFR Block			\$0.87			\$0.87	\$0.86	\$0.16	
2	Clearing Equity Member Firms	Nan Manakan	Globex			\$0.21			\$0.21	\$0.36	1	
	Rule 106.I Affiliate Membership Umbrella - Qualified Affiliate	Non-Member	EFP EFR Block			\$0.87			\$0.87	\$0.86		
			Open Outcry			\$0.12			\$0.12	\$0.11		
	Rule 106.S Family of Funds Equity Member Firms	Member, Delegate or Non-Member	Globex			\$0.21			\$0.21	\$0.36	\$0.16	
		Non-wember	EFP EFR Block			\$0.87			\$0.87	\$0.86		
	TRADING MEMBERS (Individual Non-Equity Members, Clearing Non-Equity Member Firms and Non-Equity Member Firms)											
	Individual Non-Equity Members (Other Member/Delegate executing trade)		Open Outcry	,		\$0.26			\$0.26	\$0.31		
	Clearing Non-Equity Member Firms	Member, Delegate or				· · · · · · · · · · · · · · · · · · ·			-	· · · · · · · · · · · · · · · · · · ·	40.04	
	Rule 106.H Member Firms	Non-Member Globex \$0.31		\$0.31			\$0.41	\$0.31				
	Rule 106.I Affiliate Trading Member Firms (w/ an owned seat)		EFP EFR Block			\$1.01			\$1.01	\$1.06		
3	Individual Delegates (Other Member/Delegate executing trade)	Member, Delegate or	Open Outcry			\$0.41			\$0.41	\$0.34		
•	Rule 106.I Affiliate Trading Member Firms (w/ a leased seat)	Non-Member	Globex	\$0.44		\$0.44	\$0.44	\$0.31				
	Trace 100.17 trimate Trading Wentber Timis (w) a leased seaty	Non Wember	EFP EFR Block			\$1.16	6		\$1.16	\$1.09		
		Member, Delegate or	Open Outcry			\$0.26			\$0.26	\$0.31		
	Rule 106.S Family of Funds Trading Member Firms	Non-Member	Globex			\$0.31			\$0.31	\$0.41	\$0.31	
		Wolf Wichiber	EFP EFR Block			\$1.01			\$1.01	\$1.06		
4	Rule 106.R Electronic Corporate Member Firms	Member, Delegate or				40.44			40.44	40.44	4	
4	(For Other than Globex - See Non-Members)	Non-Member	Globex			\$0.44			\$0.44	\$0.44	\$0.44	
			Open Outcry			\$0.46			\$0.49	\$0.36 \$0.49		
	International Incentive Program (IIP)	Member, Delegate or	Globex			\$0.49			\$0.49	\$0.49	\$0.49	
	International Volume Incentive Program (IVIP)	Non-Member	EFP EFR Block			\$1.21			\$1.24	\$1.11 \$1.24	, , , , ,	
	Central Bank Incentive Program (CBIP)		zii  ziii siook			<b>7</b>			¥=:=:	<b>7</b> 2.22		
	Emerging Markets Bank Incentive Program (EMBIP)	Manufact Dalamete an										
5	Latin American Fund Manager Incentive Program (FMIP)	Member, Delegate or Non-Member	Globex			\$0.49			\$0.49	\$0.49	\$0.49	
	Latin American Proprietary Trading Incentive Program (LAPTIP)	Non-Member										
	(For Other than Globex - See Non-Members)		_			44			4	4		
		***	Open Outcry			\$0.46		I .	\$0.60 \$0.65	\$0.36 \$0.56	1 .	
	Non-Members	N/A	Globex	\$0.60	\$0.65	\$0.75	\$0.80	\$0.85	\$0.81	\$0.96	\$0.56	
			EFP EFR Block			\$1.21			\$1.35 \$1.40	\$1.11 \$1.31		



## **CBOT Financial Product Notes**

(1) Ultra 10 Year Options (TN) Exchange fees for EFP, EFR, and Block will be reduced to have the same rate as Pit Fees from 10/01/2016 - 03/31/2017

#### **CBOT Financial Product Volume Discounts**

On a tiered basis, the Exchange Fee for electronically traded **U.S. Treasury Futures and Options (Non-Member Execution Only)** will be reduced for trading volumes exceeding designated monthly average daily volume thresholds. Futures and Options are accumulated separately when determining threshold levels.

# U.S Treasury (Non-Member Execution)

				Futures			Options		
Type	Account Owner	Platform	Trading Rights of Individual Executing Trade	Monthly Average Daily Threshold (sides)	Exchange Fee Rate	Exchange Fee Discount	Monthly Average Daily Threshold (sides)	Exchange Fee Rate	Exchange Fee Discount
	Equity Members/Firms	Electronic	Non-Member	0 - 15,000	\$0.21	none	0 - 3,000	\$0.21	none
2	Family of Funds	Electronic	Member,	15,001 - 30,000	\$0.18	\$0.03	3,001 - 6,000	\$0.18	\$0.03
2			Delegate or Non-	30,001 - 45,000	\$0.16	\$0.05	6,001 - 9,000	\$0.16	\$0.05
			Member	> 45,000	\$0.14	\$0.07	> 9,000	\$0.14	\$0.07

Volume is aggregated by account owner across accounts, within a single filling firm. Clearing Members and their related 106.I Member(s) accounts will be treated as one firm for purposes of the volume aggregation. For Rule 106.S Member Approved Funds, their associated volume will be aggregated with their related Equity Corporate Member Firm.

On a tiered basis, the Exchange Fee for electronically traded Fed Fund Futures and Options (Non-Member Execution Only) will be reduced for trading volumes exceeding designated monthly average daily volume thresholds. Futures and Options are accumulated separately when determining threshold levels.

#### Fed Fund (Non-Member Execution)

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				Futures			Options			
			Trading Rights	Monthly Average		Exchange	Monthly Average		Exchange	
			of Individual	Daily Threshold	Exchange	Fee	Daily Threshold	Exchange	Fee	
Туре	Account Owner	Platform	<b>Executing Trade</b>	(sides)	Fee Rate	Discount	(sides)	Fee Rate	Discount	
	Equity Members/Firms	Electronic	Non-Member	0 - 3,000	\$0.36	none	0 - 3,000	\$0.36	none	
2	Family of Funds Elec		Member,	3,001 - 6,000	\$0.31	\$0.05	3,001 - 6,000	\$0.31	\$0.05	
2		Electronic	Delegate or Non-	6,001 - 9,000	\$0.26	\$0.10	6,001 - 9,000	\$0.26	\$0.10	
			Member	> 9,000	\$0.21	\$0.15	> 9,000	\$0.21	\$0.15	

Volume is aggregated by account owner across accounts, within a single filling firm. Clearing Members and their related 106.I Member(s) accounts will be treated as one firm for purposes of the volume aggregation. For Rule 106.S Member Approved Funds, their associated volume will be aggregated with their related Equity Corporate Member Firm.



# CBOT Non-Trades & Other Fee Schedules as of October 1, 2016

Exch	ange Fees for Non-Trades					
	Member	Delegate	Non-Member			
Exercise, Assignment and Futures from Exercise or Assignment	\$0.10	\$0.25	\$0.55			
Cash Settlement/Delivery (1)	\$0.10	\$0.25	\$0.55			
Expired Options		\$0.10				
Fertilizer Swaps		\$4.00				
Bloomberg Commodity Index Swaps		\$0.50				
Bloomberg Roll Select & Bloomberg CI 2&3 Month Forward Swaps	\$1.00					
(1) Non-trade fees do not apply for Deliverable IR Swap Futures						

	Other Fees							
Description All Products Applied to:								
Position Transfers	\$0.10	Both sides of the transfer; Capped at \$2,500 per firm per transfer.						
Position Adjustments	\$0.10	Not charged if incurred next business day as a result of exercise/assignment.						
Give-up Surcharge	\$0.06	Executing firm for all give-up transactions, except when both sides of the give-up have the same						
		guaranteeing firm.						
Facilitation Fee \$0.40 Trade executed using the CME Group facilitation services of the Global Command Center (GCC).								

Fee Waivers									
Product	Effective Date	Expiration Date	Waiver Description						
Eu Wheat Future and Options	9/12/2016	3/10/2017	All Exchange Fees - Members 100% Waiver - Non-Members rate of \$0.01						
Lu Wileat i uture and Options	3/12/2010	3/10/2017	(Type 5 plus Type 4 for Pit and EFRP/Block Activity)						

Brokerage Fees						
Order filling (Trading without discretion)						
Members	\$0.04					
Lessees (106D)	\$0.17					
Firm Employee (106F) Within the Family of Firms						
Firm Employee (106F) Outside the Family of Firms						
CMACE Permit Holders	\$0.17					
Trading with discretion						
Lessees (106D)						
Firm Employee (106F) Outside the Family of Firms						
Firm Employee (106F) Within the Family of Firms						