

CHICAGO MERCANTILE EXCHANGE
Member Account Information Record Layout

Positions		Field Name	Form	Format	Description and Comments
From	To				
1	1	Data Identifier	X	AN	Must = "F"
2	4	Firm Number	X(3)	AN	Enter firm number that clears trades for this account.
S	7	Filler	X(3)	AN	Spaces.
8	10	Broker Number/Symbol	X(3)	AN	Enter broker number or symbol of the individual member who has financial interest in or trading control over the account specified in columns 13-22. (A separate record is required for <u>each</u> member who has a financial interest in or trading control over an account.) Enter "****" if no broker number/symbol exists for the member.
11	12	Filler	X(2)	AN	Spaces.
13	22	Account Number	X(10)	AN	Enter trading account number entered on the trade record (TCC).
23	23	Account Owner	X	AN	Enter value representing owner of account where: B = member specified in columns 8-10 owns this account C = non-member (e.g. customer, account executive) F = member specified in columns 8-10 has financial ownership in account via an informal agreement H = house owns this account but member specified in columns 8-10 has trading control J = member specified in columns 8-10 has joint ownership in the account O = another individual member owns this account but member specified in columns 8-10 has trading control
24	24	Account Type	X	AN	Required if Account Owner field = "B", "F", "R", "J", or "O". Enter value representing the type of account this is, where: E = error account T = trading account

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Positions		Field Name	Form	Format	Description and Comments
From	To				
25	25	Trading Control	X	AN	Enter value representing whether broker has trading control over the account where: Y = has trading control N = does not have trading control
26	31	Trading Control Effective From Date	X(6)	AN	Required if Trading Control field = "Y". Enter date broker began to have trading control for this account. Enter in "YYMMDD" format.
32	37	Trading Control Effective Thru Date	X(6)	AN	Required when broker's trading control for this account has been rescinded. Enter last date broker had trading control for this account. Enter in "YYMMDD" format. Enter spaces if broker currently has trading control.
38	38	Dual Trading Permission	X	AM	Required if Account Owner field = "C". Enter value representing whether broker has customer permission to dual trade, where: Y = has permission N = does not have permission
39	44	Dual Trading Permission Effective From Date	X(6)	AN	Required if Dual Trading Permission field = "Y". Enter date broker began to have customer permission to dual trade. Enter in "YYMMDD" format.
45	50	Dual Trading Permission Thru Date	X(6)	AN	Required when broker's dual trading permission for this account has been rescinded. Enter last date broker had customer permission to dual trade. Enter in "YYMMDD" format. Enter spaces if broker currently has dual trading permission.
51	70	Filler	X(20)	AN	Spaces.
71	73	Sending Firm	X(3)	AN	Enter clearing member firm number of firm sending the file.
74	79	Filler	X(6)	AN	Spaces.
80	80	Record Type	X	AN	Must = "A"

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CHICAGO MERCANTILE EXCHANGE
Member Employee Record Layout

Positions		Field Name	Form	Format	Description and Comments
From	To				
1	1	Data Identifier	X	AN	Must = "F"
2	4	Firm Number	X(3)	AN	Enter firm number of clearing firm that employs the member employee.
5	7	Filler	X(3)	AN	Spaces.
8	10	Broker Number/Symbol	X(3)	AN	Enter broker number or symbol of member employee.
11	12	Filler	X(2)	AN	Spaces.
13	13	Employment Type	X	AN	Enter value indicating employment function that member performs for this employer, where: P = member employed as a clerk/paper handler X = member employed as a filling/executing broker
14	14	Filler	X	AN	Spaces.
15	16	Restricted Market	X(2)	AN	Required if Employment Type field = "P" <u>and</u> member employee has access to orders in restricted markets. Specify the restricted market, where: 99 = all markets floorwide 10 = any agricultural market 20 = any currency market 30 = any interest rate market 40 = any equity market A specific market may also be entered using the valid market codes defined on page 6. Only one code can be specified per record. Use multiple records to indicate <u>all</u> restricted markets that a member employee has access to orders in.

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CHICAGO MERCANTILE EXCHANGE
Member Employee Record Layout (con't.)

Positions					
From	To	Field Name	Form	Format	Description and Comments
17	17	Futures/Options Code	X	AN	Required if Employment Type field = "P" <u>and</u> member employee has access to orders in restricted markets. Enter value representing which types of contracts member employee has access to orders in, where: F = orders for futures contracts O = orders for options contracts B = orders for both futures & options contracts
18	22	Filler	X(5)	AN	Spaces.
23	28	Effective From Date	X(6)	AN	Enter date member employee began to function as a clerk/paper handler or filling/executing broker for this employer. Enter in "YYMMDD" format.
29	34	Effective Thru Date	X(6)	AN	Enter date member employee ceased to function as a clerk/paper handler or filling/executing broker for this employer. Enter in "YYMMDO" format. Enter spaces if member employee is currently employed.
35	70	Filler	X(36)	AN	Spaces.
71	73	Sending Firm	X(3)	AN	Enter clearing member firm number.
74	79	Filler	X(6)	AN	Spaces.
80	80	Record Type	X	AN	Must = "E".

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CHICAGO MERCANTILE EXCHANGE
Related Accounts Record Layout

Positions		Field Name	Form	Format	Description and Comments
From	To				
1	1	Data Identifier	X	AN	Must = "F"
2	4	Firm Number	X(3)	AN	Enter clearing member firm number or omnibus account acronym.
5	8	Filler	X(4)	AN	Spaces.
9	20	Reportable Account	X(12)	AN	Reportable account. Right justified.
21	30	Trading Account #1	X(10)	AN	Account number right justified.
31	40	Trading Account #2	X(10)	AN	Account number right justified.
41	50	Trading Account #3	X(10)	AN	Account number right justified.
51	60	Trading Account #4	X(10)	AN	Account number right justified.
61	70	Trading Account # 5	X(10)	AN	Account number right justified.
71	73	Sending Firm	X(3)	AN	Enter clearing member firm number.
74	76	Record Sequence Number	X(3)	AN	"1" for first record, "2" for second record, etc.
77	79	Filler	X(3)	AN	Spaces.
80	80	Record Type	X	AN	Must = "L".