

Real-time Order Control System User Guide

What is the Real-time Order Control System?

The Real-time Order Control System is an application that can be used by firm administrators to set credit control limits on futures and options orders.

Enrollment

If you are a new customer and would like access to the Real-time Order Control System, or if you are an existing customer that requires access to the Real-time Order Control System, then please contact firmsupport@cmegroup.com or complete the Member Firm Online System Access Request form at <http://www.cmegroup.com/clearing/files/onlineaccess.pdf>.

How do I access the Real-time Order Control System?

- o Begin by logging into CME Connect at <http://connect.cme.com>
- o On the CME Connect page, click on the **Real-time Order Control System** icon.
- o Log into the application by entering your 'User Name' and 'Password'. Click on the 'Login' button. This will take you to the **Real-time Order Control System page**.

CME Group
A CME/Chicago Board of Trade Company

Login to Real-time Order Control

username

password

Message Center

Welcome to Real-time Order Control. Please enter your login ID and password on the left.

If you have problems accessing the application, or have forgotten your password, please contact the CME Globex Control Center at (312) 456-2391.

Details on availability during holidays may be found at www.cme.com/holidays.

Use of this application signifies your agreement to the following:

Real-time Order Control functionality is provided on an as is basis and, to the maximum extent permitted by Law, CME Group Inc. does not make, and hereby disclaims, any warranty or representation, either express or implied, with respect to its functionality, its quality, performance, merchantability, fitness for a particular purpose, title, non-infringement, and any warranties arising from a course of dealing, usage or trade practice.

Notwithstanding anything to the contrary in any agreement between you and CME Group, CME Group shall not be liable to you or any other entity or individual for any losses, damages, costs or expenses, including, but not limited to, loss of profits, loss of use, and direct, indirect, incidental, consequential or punitive damages, even if advised of the possibility of such damages, arising from your use of Real-time Order Control functionality.

Version 1.0.0
Last Revised: 2008-08-15

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The screenshot displays the 'Real-time Order Control' interface. At the top left is the CME Group logo with the text 'A CME/Chicago Board of Trade Company'. To the right of the logo is the page title 'Real-time Order Control'. Below the header, there are two tabs: 'Credit Controls' and 'Admin Tools'. In the top right corner, there is a 'Log Out' link circled in red. The main content area contains two dropdown menus: 'Clearing Firm' and 'Execution Firm', both currently set to '-- Select [Firm] --'. Below these is a section titled 'Credit Control Management'. This section contains a table with columns 'Manual Block' and 'No Limit'. Under 'Manual Block', there are input fields for 'Buy' and 'Sell' (in contracts) for both 'Futures' and 'Options'. Each input field has a checkbox to its right. At the bottom of the table, there is a note: 'NOTE: Enter 0 to block trading'. In the bottom right corner, there are two buttons: 'Save' (circled in red) and 'Cancel'.

To assign or change a contract limit:

1. Select the 'Clearing Firm' and the 'Execution Firm' from the drop-down list.
2. Set the maximum 'Buy' and 'Sell' limit for futures and options contracts. The 'Buy' and 'Sell' limit is defined as the maximum quantity applied to a single order for all contracts. If the quantity of the order exceeds the 'Buy' or 'Sell' limit, then the order will be rejected to mitigate the risk of violating the credit control limit. By default, these fields are set to 'No Limit' until a change is made. If the quantity is set to '0', then trading is blocked for the execution firm.
3. Click on the 'Save' button to save your changes, or click on the 'Cancel' button to abort your changes.

To logout of the Real-time Order Control System:

1. Click on the 'Logout' link.