

Clearing 360 Clearing Account Registration System User Guide

What is the Clearing 360 Clearing Account Registration System?

The Clearing 360 Clearing Account Registration System is an application that can be used by firm administrators to register customers and accounts for the Clearing 360 platform. It also allows administrators to manage or inactivate customers and accounts.

Enrollment

If you are a new customer and would like access to CME Connect, or are an existing customer that requires access to the Clearing 360 Clearing Account Registration System, then please contact firmsupport@cmegroup.com or complete the Member Firm Online System Access Request form at <http://www.cmegroup.com/clearing/files/onlineaccess.pdf>.

How do I access the Clearing 360 Clearing Account Registration System?

- o Begin by going to <https://cpcreg2.cmegroup.com>
- o Log into the application by entering your **SMART Click ID** and **Password**. Click on the **Agree To Terms and Login** button. This will take you to the Clearing Account Registration System Menu.

Note:

If this is your first time logging in or if you do not have a SMART Click ID and password then click on the Register for SMART Click ID link. SMART Click is a user registration tool that allows CME Group customers and clearing member staff to create a user ID and registration profile that will be used to access CME Group applications that have been integrated with the SMART Click registration tool.

CME Group | Clearing 360 Account Registration
A CME/Chicago Board of Trade/NYMEX Company

Clearing 360 Account Registration

SMART Click ID:

Password:

[Agree To Terms and Log In](#)

[Reset Form](#)

[Register for SMART Click ID](#)

[I forgot my password](#)

[I forgot my user ID](#)

Message Center

This Clearing Firm Authorization Request ("Authorization Request") incorporates by reference and is subject to the CME Clearing 360 Agreement ("Agreement") entered into between CME Group, Inc., a Delaware corporation with its principal place of business at 20 South Wacker Drive, Chicago, Illinois 60606, U.S.A. ("CME") and ("Clearing Firm"). Any capitalized terms not defined herein have the meanings set forth in the Agreement.

Clearing Firm hereby affirms its desire to clear privately-negotiated trades in Clearing 360 products submitted to CME Clearing via its Clearing 360 Interface for the specified Customer. Clearing Firm hereby authorizes the specified Customer and Submitting Brokers to submit such trades via the specified source. Clearing Firm represents and warrants that the information provided herein is complete and accurate, and should any such information become incomplete or inaccurate, agrees to notify CME immediately and to submit a new Authorization Request as appropriate. CME reserves the right in its sole discretion and at any time to reject this Authorization Request, or suspend or revoke any approval previously given, in which case CME will notify Clearing Firm. This Authorization Request shall be effective within one business day following approval by CME.

Clearing 360 Clearing Account Registration System User Guide

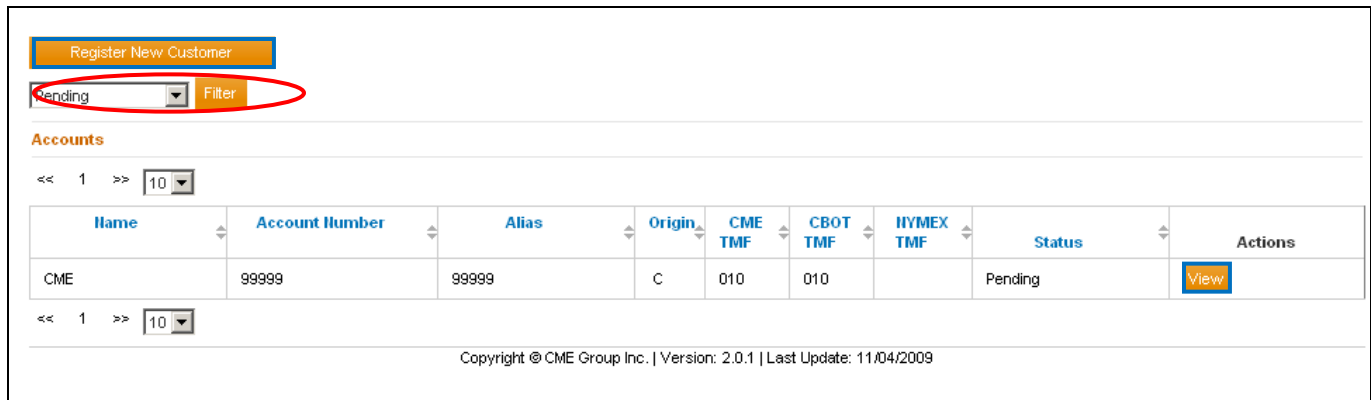
Menu

From the main menu, you can:

Register New Customer: Click here to register a new customer.

Filter by Status: Use this option to view customers and accounts by status.

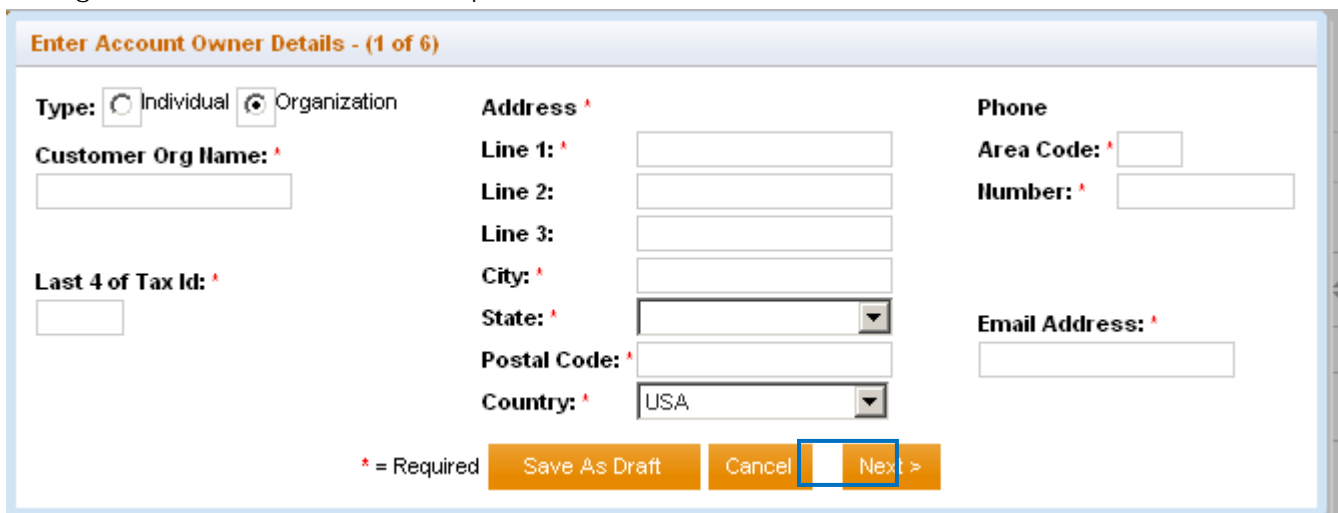
Edit an Existing Customer: Click the **View** button to edit an existing customer.



The screenshot shows the main menu of the Clearing 360 system. At the top, there is a button labeled "Register New Customer". Below it, there is a dropdown menu currently set to "Pending" and a "Filter" button. Underneath, the "Accounts" section is visible, featuring a table with the following columns: Name, Account Number, Alias, Origin, CME TMF, CBOT TMF, HYMEX TMF, Status, and Actions. A single account is listed with the name "CME", account number "99999", alias "99999", origin "C", and status "Pending". A "View" button is located in the Actions column for this account. At the bottom of the screenshot, there is a copyright notice: "Copyright © CME Group Inc. | Version: 2.0.1 | Last Update: 11/04/2009".

To register a new customer:

1. Click on the **Register New Customer** button. Page 1 of *Enter Account Owner Details* will display.
2. Select the **Account Type**. You can select Individual or Organization.
3. If you selected Organization, then enter the Customer **Organization Name**. This identifies the trading entity.
4. If you selected Individual, then enter the account owners **First Name, Middle Initial and Last Name**.
5. Enter the **last 4 digits of the account owner's social security number or TAX ID** of the organization.
6. Enter the **account owner's business address**.
7. Enter the **account owner's business phone number**.
8. Enter the **account owner's email address**.
9. Click on the **Save As Draft** button to save your changes or click on the **Cancel** button to abort your changes or click the **Next** button to proceed.



The screenshot shows the "Enter Account Owner Details - (1 of 6)" form. It has two columns of input fields. The left column contains: "Type:" with radio buttons for "Individual" and "Organization" (the "Organization" button is selected); "Customer Org Name:" with a text input field; "Last 4 of Tax Id:" with a text input field. The right column contains: "Address*" with four text input fields for "Line 1:", "Line 2:", "Line 3:", and "City:"; "State:" with a dropdown menu; "Postal Code:" with a text input field; "Country:" with a dropdown menu showing "USA"; "Phone" with "Area Code:" and "Number:" text input fields; and "Email Address:" with a text input field. At the bottom, there is a legend "* = Required" and three buttons: "Save As Draft", "Cancel", and "Next >".

Clearing 360 Clearing Account Registration System User Guide

Page 2 of *Enter Account Information Details* will display.

10. Enter the **Customer Account Number** (the length may not exceed 15 alpha numeric characters).
11. Enter the **Account Name**. This is the firm assigned identifier for the customer account and is the display value for the account (the length may not exceed 40 alpha numeric characters).
12. Enter the **Account Alias**. This is the firm assigned identifier for the customer account and clearing firm. This field is used to provide anonymity of this data to third parties (the length may not exceed 30 alpha numeric characters and is case sensitive).
13. Enter the **CME Firm Number**. This is a company that is qualified and approved to clear CME trades by CME's Clearing House.
14. Enter the **CBT Firm Number**. This is a company that is qualified and approved to clear CBT trades by CME's Clearing House.
15. Enter the **NYMEX Firm Number**. This is a company that is qualified and approved to clear NYMEX trades by CME's Clearing House.
16. Select the **Origin**. You can select from:
 - Customer
 - House
17. Enter the **CTI Code**. You can choose from:
 - 1 – Local
 - 2 – Proprietary Account
 - 3 – Clerk
 - 4 - Broker
18. Click on the **Save As Draft** button to save your changes or click on the **Cancel** button to abort your changes or click the **Next** button to proceed.

Enter Account Information Details - (2 of 6)

Customer Account Number: * <input type="text"/>	CME Firm Number: <input type="text"/>	Origin: * <input type="text" value="Customer"/>
Account Name: <input type="text"/>	CBT Firm Number: <input type="text"/>	CTI: * <input type="text" value="1 - LOCAL"/>
Account Alias: * <input type="text"/>	NYMEX Firm Number: <input type="text"/>	

* = Required

Clearing 360 Clearing Account Registration System User Guide

Page 3, *Enter Account Credit Limits Details* will display.

19. Enter the **Overall Credit Limit**. This is the maximum limit that can be traded on the account in USD. By default, the No Limit will be selected. This means that a credit limit will be applied to the account and the customer can trade within a clearing firm's total limit.
20. Click on the **Save As Draft** button to save your changes or click on the **Cancel** button to abort your changes or click the **Next** button to proceed.

Enter Account Credit Limits Details - (3 of 6)

Overall Credit Limit: *

No Limit:

Note: Credit Limits will be applied automatically on APPROVED accounts.

* = Required

Page 4, *Enter Inter-dealer Brokers Details* page will display.

21. Select the **submitting brokers** that may report trades for the account. Click on All Inter-dealer Brokers checkbox if you want to select all of the brokers. Select No Inter-dealer Brokers if you do not want to select any of the brokers. You can use the Control (Ctrl) if you want to select more than one broker.
22. Click on the **Save As Draft** button to save your changes, or click on the **Cancel** button to abort your changes or click the **Next** button to proceed.

Enter Inter-dealer Brokers Details - (4 of 6)

Trades for this account may be reported by any of these Submitting Brokers (if any):

EVOLUTION
ICAP SECURITIES LIMITED
TFS
GFI
SPECTRON
CHOICE ENVIRONMENTAL
IDB TEST

All Inter-dealer Brokers:

No Inter-dealer Brokers:

* = Required

Clearing 360 Clearing Account Registration System User Guide

Page 5, *Enter Asset Managers Details* page will display.

23. Select the **Asset Managers** for the account from the dropdown list. You can use the Control (Ctrl) if you want to select more than one Asset Manager.
24. Click on the **Save As Draft** button to save your changes or click on the **Cancel** button to abort your changes or click the **Next** button to proceed.

Enter Asset Managers Details - (5 of 6)

Asset Managers for this account:

CHRIS TEST ASMN
PIMCO
BLACKROCK
ALLIANCE-BERNSTEIN
WELLINGTON CAPITAL MARKETS L.L.C

* = Required Save As Draft Cancel < Back Next >

Page 6, *Enter Details* page will appear.

25. At this point, you can click on the Add ISV button if you want to add ISVs. If you do not want to add an ISV, click on the **Save As Draft** button to save your changes or click on the **Cancel** button to abort your changes or click the **Finish and Submit for Approval** button to submit your changes.

Enter Details - (6 of 6)

Trades may be submitted for this customer via the following sources:

ISV	Credit Limit	Actions
No ISVs have been added to this account.		

Add ISV Add All CDS ISVs

* = Required Save As Draft Cancel < Back Finish and Submit for Approval

Click on **Add ISV** to add a new ISV.

Click on **Add All CDS ISVs** to add all ISVs.

Click on the **Finish and Submit for Approval** button to submit your changes.

Clearing 360 Clearing Account Registration System User Guide

26. The new account will be displayed in the list below.

Register New Customer

Pending

Accounts

<< 1 >>

Name	Account Number	Alias	Origin	CME TMF	CBOT TMF	HYMEX TMF	Status	Actions
CME	99999	99999	C	010	010		Pending	<input type="button" value="View"/>

<< 1 >>

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To edit an existing customer:

1. Click of the **View** button to see the account details. An example is displayed on the following page.
2. Click on the **Edit This Section** button next to the customer and account that you want to edit.
3. Click on the **Submit** button to save your changes, or click on the **Cancel** button to abort your changes.
4. Click on the **Inactive** button to disable the customer's access, if needed.

Clearing 360 Clearing Account Registration System User Guide

Customer Account Registration

Clearing Member Firm: 123 - TEST CLEARING FIRM

Account Status: Pending

[< Return to Account List](#)

[Inactivate Account](#)

* = Required

Account Owner

Customer Name: *

CME GROUP

Address: *

20 S. WACKER
CHICAGO, IL 60611
USA

Email Address: *

ABC@CMEGROUP.COM

[Edit This Section](#)

Last 4 of Tax Id: *

9999

Phone:

(312) 9301000

Account Information

Customer Account Number: *

9999

CME Firm Number:

123 - TEST CLEARING FIRM

Origin: *

Customer

[Edit This Section](#)

Account Name:

Test Account

CBT Firm Number:

CTI: *

1 - LOCAL

Account Alias: *

99999

HYMEX Firm Number:

Account Credit Limits

Overall Credit Limit (\$): *

No Limit

[Edit This Section](#)

Note: Credit Limits will be applied automatically on APPROVED accounts.

Inter-dealer Brokers

Trades for this account may be reported by any of these Submitting Brokers (if any):

Account Allows All Interdealer Brokers

[Edit This Section](#)

Asset Managers

Asset Managers for this account:

TEST

[Edit This Section](#)

Independent Software Vendors

Trades may be submitted for this customer via the following sources:

ISV	Credit Limit	Actions	
CMDX Trading Facility	No Limit	Edit Details	Remove
CMDX Migration Facility	No Limit	Edit Details	Remove
Tullett Prebon	N/A	Edit Details	Remove
MarkitWire	N/A	Edit Details	Remove
Bloomberg	N/A	Edit Details	Remove
Morgan Stanley	N/A	Edit Details	Remove
Barclays	N/A	Edit Details	Remove
TradeWeb	N/A	Edit Details	Remove

[Add ISV](#)

*: Required Fields

Clearing 360 Clearing Account Registration System User Guide

To view customers by status:

1. Select the status by which you would like to conduct your search, in the **Filter By Status**, then choose from the following:
 - o All
 - o All Pending: Includes all three pending statuses, pending, approved/pending and inactive/pending.
 - o Approved: A new or existing customer that has been approved by the Clearing House.
 - o Approved/Draft: A previously approved customer that has been updated with new changes that are not yet submitted for approval.
 - o Pending: A new customer that is waiting for approval by the customer/Clearing House.
 - o Approved/Pending: An existing customer that has been changed and is waiting for re-approval by the Clearing House.
 - o Declined: A customer that has been declined by the Clearing House.
 - o Declined/Draft: A previously declined customer that has been updated with new changes that are not yet submitted for approval.
 - o Declined/Pending: A customer that was previously declined and is waiting for re-approval by the Clearing House.
 - o Inactive: An existing customer that has been inactivated by the Clearing House.
 - o Pending: An existing firm that was in inactive status and is waiting for re-approval by the Clearing House.
 - o Draft: A new customer that has been saved but not yet submitted for approval.
2. Click on the **Filter** button.

Register New Customer

Pending Filter

Accounts

<< 1 >> 10

Name	Account Number	Alias	Origin	CME TMF	CBOT TMF	HYMEX TMF	Status	Actions
CME	99999	99999	C	010	010		Pending	View

<< 1 >> 10

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